

1

- Ce document détaille les spécifications des couches basses du CPL G3.
- Il a été soumis auprès du CENELEC (<http://www.cenelec.eu>) dans le cadre de la normalisation du CPL G3 au titre de “technical specification” (TS). Ce document est issu de la fusion des documents “Spécification de la couche physique CPL G3” et “Spécification de la couche MAC CPL G3”. Des modifications y ont aussi été apportées parmi lesquelles on trouve :
  - Des changements de style imposés par le gabarit des documents sujets à la standardisation au CENELEC,
  - L’ajout de la modulation D8PSK.
- Ce document a servi de base aux travaux du projet européen OPEN meter Project, Topic Energy 2008.7.1.1, Project no.: 226369, [www.openmeter.com](http://www.openmeter.com)
- Ce document peut être changé sans préavis.

2

- This document deals with G3-PLC low layers.
- This document has been submitted to CENELEC (<http://www.cenelec.eu>) for technical specification (TS). This document is the merge of “PLC G3 Physical Layer Specification” and “PLC G3 MAC Layer Specification” with additional changes among which :
  - A change in style due to CENELEC document template,
  - An addition of a modulation scheme : D8PSK.
- This document is based on the results of the European OPEN meter Project, Topic Energy 2008.7.1.1, Project no.: 226369, [www.openmeter.com](http://www.openmeter.com)
- This document can be subject to change without prior notice.

3

Version	Date d'application	Titre et nature de la modification	Annule et remplace
1.0		<b>“Spécification de la couche physique CPL G3”</b>	
1.0		<b>“Spécification de la couche MAC CPL G3”</b>	
2.0	4/04/2011	<b>“Lower layer profile using OFDM modulation type 2”</b> <ul style="list-style-type: none"><li>• Clarifications</li><li>• Ajout de la modulation D8PSK</li><li>• Mise en forme selon le gabarit CENELEC</li></ul>	“Spécification de la couche physique CPL G3” et “Spécification de la couche MAC CPL G3”

4

- 5                    **Electricity metering - Data exchange over powerline**
- 6                    **- Part 2: Lower layer profile using OFDM modulation type 2**
- 7

8	<b>CONTENTS</b>		
9	1	Scope.....	11
10	2	Normative references .....	11
11	3	Terms and definitions .....	12
12	4	Acronyms .....	12
13	5	Overview .....	14
14	6	Physical layer specification.....	15
15	6.1	Overview of the system .....	15
16	6.2	FEC encoder .....	16
17	6.2.1	Overview .....	16
18	6.2.2	Scrambler.....	17
19	6.2.3	Reed-Solomon encoder .....	17
20	6.2.4	Convolutional encoder.....	17
21	6.2.5	Robust and Super Robust Modes .....	18
22	6.2.6	Interleaver .....	18
23	6.3	OFDM modulator .....	20
24	6.3.1	DBPSK / DQPSK / D8PSK mapping.....	20
25	6.3.2	Frequency domain pre-emphasis .....	23
26	6.3.3	OFDM Generation (IFFT and CP addition) .....	24
27	6.3.4	Windowing.....	24
28	6.4	OFDM demodulator .....	25
29	6.5	FEC decoder .....	25
30	6.6	Structure of physical frames .....	26
31	6.6.1	General .....	26
32	6.6.2	Physical data frame .....	26
33	6.6.3	Physical ACK / NACK frame .....	26
34	6.6.4	Preamble.....	26
35	6.6.5	Frame Control Header (FCH).....	27
36	6.7	System fundamental parameters depending CENELEC bands.....	28
37	6.7.1	General specification applied to CENELEC bands .....	28
38	6.7.2	CENELEC A .....	29
39	6.8	Adaptive tone mapping & transmit power control .....	32
40	6.8.1	General .....	32
41	6.8.2	PN Modulating un-used subcarriers .....	33
42	6.9	AC phase detection .....	33
43	6.10	Transmitter electrical specifications.....	34
44	6.10.1	Output level measurement.....	34
45	6.10.2	Transmit spectrum mask (frequency notching) .....	34
46	6.10.3	Spurious transmission .....	36
47	6.10.4	Transmit constellation accuracy.....	36
48	6.10.5	Transmitter Spectral Flatness .....	37
49	6.11	Physical Layer Primitives .....	38
50	6.11.1	Data primitives .....	38
51	6.11.2	Management primitives.....	40
52	7	Data link layer specification .....	44
53	7.1	Introduction .....	44
54	7.2	Conventions .....	44

55	7.3	MAC sublayer specification .....	44
56	7.3.1	MAC sublayer service specification (based on IEEE 802.15.4 clause	
57		7.1) .....	44
58	7.3.2	MAC frame formats (based on IEEE 802.15.4 clause 7.2).....	49
59	7.3.3	MAC command frames (based on IEEE 802.15.4 clause 7.3).....	51
60	7.3.4	MAC constants and PIB attributes (based on IEEE 802.15.4 clause	
61		7.4) .....	55
62	7.3.5	MAC functional description (based on IEEE 802.15.4 clause 7.5) .....	58
63	7.3.6	MAC security suite specifications (selections from IEEE 802.15.4	
64		clause 7.6) .....	62
65	7.3.7	Message Sequence Chart Illustrating MAC – PHY interaction (based	
66		on IEEE 802.15.4 clause 7.7) .....	63
67	7.3.8	MAC annexes (based on IEEE 802.15.4 annexes) .....	67
68	7.4	Adaptation sublayer specification .....	68
69	7.4.1	Services and primitives.....	68
70	7.4.2	Information base attributes .....	68
71	7.4.3	Data frame format, datagram transmission and addressing (based on	
72		RFC 4944).....	70
73	7.4.4	Mesh Routing (based on draft-daniel-6lowpan-load-adhoc-routing-03).....	72
74	7.4.5	Commissioning of New Devices (based on draft-6lowpan-	
75		commissioning-02) .....	78
76	7.4.6	Fragment Recovery (based on draft-thubert-6lowpan-simple-	
77		fragment-recovery-02) .....	90
78	7.4.7	Spy Mode .....	91
79	7.5	Functional description .....	91
80	7.5.1	Network formation .....	91
81	7.5.2	PAN ID conflict detection and handling .....	92
82	8	Security .....	93
83	8.1	Access control and authentication .....	93
84	8.2	Confidentiality and integrity .....	95
85	8.3	Anti-Replay and DoS prevention.....	96
86	8.4	Authentication and key distribution protocol – Selections from RFC 3748 .....	96
87	8.5	EAP Method .....	97
88	8.5.1	Overview of EAP-PSK .....	97
89	8.5.2	Group Key distribution .....	99
90	8.5.3	GMK field format .....	100
91	8.5.4	Peer side procedure .....	100
92	8.5.5	Server side procedure .....	101
93		Annex A (normative) Interleaver pattern generator .....	102
94		Annex B (normative) Protocol Implementation Conformance Statement .....	104
95	B.1	Overview .....	104
96	B.2	PICS proforma tables .....	104
97	B.2.1	Functional device types (from annex D.7.1 of IEEE 802.15.4).....	104
98	B.2.2	PHY functions (from annex D.7.2.1 of IEEE 802.15.4) .....	104
99	B.2.3	PHY packet (from annex D.7.2.2 of IEEE 802.15.4) .....	105
100	B.2.4	Radio frequency (from annex D.7.2.3 of IEEE 802.15.4) .....	105
101	B.2.5	MAC sublayer functions (from annex D.7.3.1 of IEEE 802.15.4).....	105
102	B.2.6	MAC frames (from annex D.7.3.2 of IEEE 802.15.4) .....	106
103	B.3	Conformance to PLC OFDM Type 2 physical layer .....	106
104		Annex C (informative) Routing Cost .....	108

105	Annex D (normative) Channel access .....	109
106	D.1 Overview .....	109
107	D.2 Interframe (IFS) Spacing .....	109
108	D.3 CSMA-CA.....	110
109	D.4 Priority .....	112
110	D.5 ARQ.....	113
111	D.6 Segmentation and reassembly overview .....	115
112	Annex E (normative) Modified MAC sublayer data primitives.....	116
113	E.1 MCPS-DATA.request.....	116
114	E.2 MCPS-DATA.indication .....	117
115	Annex F (normative) MAC acknowledgement.....	120
116	Annex G (normative) Adaptation sublayer service primitives .....	121
117	G.1 ADP Data service .....	121
118	G.1.1 Overview .....	121
119	G.1.2 ADPD-DATA.request .....	121
120	G.1.3 ADPD-DATA.confirm .....	124
121	G.1.4 ADPD-DATA.indication .....	124
122	G.2 ADP Management service .....	125
123	G.2.1 Overview .....	125
124	G.2.2 ADPM-DISCOVERY.request.....	126
125	G.2.3 ADPM-DISCOVERY.confirm .....	126
126	G.2.4 ADPM-NETWORK-START.request .....	127
127	G.2.5 ADPM-NETWORK-START.confirm.....	128
128	G.2.6 ADPM-NETWORK-JOIN.request.....	128
129	G.2.7 ADPM-NETWORK-JOIN.confirm .....	129
130	G.2.8 ADPM-NETWORK-JOIN.indication .....	130
131	G.2.9 ADPM-NETWORK-LEAVE.request .....	130
132	G.2.10 ADPM-NETWORK-LEAVE.indication .....	131
133	G.2.11 ADPM-NETWORK-LEAVE.confirm.....	132
134	G.2.12 ADPM-RESET.request.....	132
135	G.2.13 ADPM-RESET.confirm.....	133
136	G.2.14 ADPM-GET.request .....	133
137	G.2.15 ADPM-GET.confirm .....	134
138	G.2.16 ADPM-SET.request .....	135
139	G.2.17 ADPM-SET.confirm.....	135
140	G.2.18 ADPM-NETWORK-STATUS.indication.....	136
141	G.2.19 ADPM-ROUTE-DISCOVERY.request.....	137
142	G.2.20 ADPM-ROUTE-DISCOVERY.confirm .....	137
143	G.2.21 ADPM-PATH-DISCOVERY.request.....	138
144	G.2.22 ADPM-PATH-DISCOVERY.confirm .....	138
145	G.2.23 ADPM-LBP.request .....	139
146	G.2.24 ADPM-LBP.confirm.....	140
147	G.2.25 ADPM-LBP.indication .....	141
148	G.2.26 ADPM-BUFFER.indication .....	142
149	G.3 Behavior to MAC Indications .....	142
150	G.3.1 Overview .....	142
151	G.3.2 MCPS-DATA.indication.....	142
152	G.3.3 MLME-ASSOCIATE.indication .....	142

153	G.3.4 MLME-DISASSOCIATE.indication .....	142
154	G.3.5 MLME-BEACON-NOTIFY.indication.....	142
155	G.3.6 MLME-GTS.indication.....	143
156	G.3.7 MLME-ORPHAN.indication .....	143
157	G.3.8 MLME-COMM-STATUS.indication .....	143
158	G.3.9 MLME-SYNC-LOSS.indication .....	143
159	Annex H (normative) Device Starting Sequence of messages .....	144

160

161 List of Figures

162	Figure 1 – PLC OFDM Type 2 communication profile .....	15
163	Figure 2 – Block diagram of transceiver .....	16
164	Figure 3 – Data scrambler.....	17
165	Figure 4 – Convolutional encoder .....	18
166	Figure 5 – Interleaver.....	19
167	Figure 6 – Spreading behaviour of the Interleaver.....	20
168	Figure 7 – DBPSK and Robust constellation diagram .....	21
169	Figure 8 – DQPSK constellation diagram .....	22
170	Figure 9 – D8PSK constellation diagram .....	23
171	Figure 10 – Block diagram of the pre-emphasis filter.....	24
172	Figure 11 – IFFT Input / Output and CP addition .....	24
173	Figure 12 – Raised Cosine windowing.....	24
174	Figure 13 – Overlap / add .....	25
175	Figure 14 – Typical physical data frame structure .....	26
176	Figure 15 – Example of typical physical ACK / NACK frame structure (content of FCH	
177	is submitted to variation of the physical channel) .....	26
178	Figure 16 – Zero-crossing detector .....	33
179	Figure 17 – Transmit spectrum mask .....	34
180	Figure 18 – Spectrum with two notches inserted to cohabitate with S-FSK PLC modem .....	36
181	Figure 19 – Data transmission flow (MAC → PHY).....	38
182	Figure 20 – Management Primitive flow .....	41
183	Figure 21 – Frame structure of a Tone Map Response message.....	53
184	Figure 22 – PAN start message sequence chart.....	64
185	Figure 23 – Active scan message sequence chart.....	65
186	Figure 24 – Data transmission message sequence chart.....	66
187	Figure 25 – Channel estimation (tone map request) message sequence chart .....	67
188	Figure 28 – Path Request (PREQ) message format .....	77
189	Figure 29 – Path Reply (PREP) message format.....	78
190	Figure 30 – LBP message format.....	81
191	Figure 31 – Embedded EAP message format (generic) .....	82
192	Figure 32 – Configuration parameter format.....	83
193	Figure 33 – Bootstrapping protocol messages sequence chart.....	84
194	Figure 34 – Bootstrapping protocol messages forwarding .....	85
195	Figure 35 – Message sequence chart during removal of a device by the coordinator.....	89
196	Figure 36 – Message sequence chart during removal of a device by itself.....	90

197	Figure 37 – CONFLICT message format .....	92
198	Figure 38 – LBP and EAP Relaying Capabilities .....	94
199	Figure 39 – Confidentiality and Security.....	95
200	Figure 40 – EAP-PSK Key Hierarchy overview.....	99
201	Figure 41 – GMK field format.....	100
202	Figure D.1 – IFS .....	110
203	Figure D.2 – CSMA/CA algorithm.....	112
204	Figure D.3 – Priority Contention Windows.....	112
205	Figure D.4 – Transmit ARQ.....	114
206	Figure D.5 – Receive ARQ.....	114
207		
208	List of Tables	
209	Table 1 – DBPSK and Robust encoding table of k-th Subcarrier .....	20
210	Table 2 – DQPSK encoding table of k-th subcarrier .....	21
211	Table 3 – DQPSK encoding table of k-th subcarrier .....	22
212	Table 4 – The Raised Cosine samples .....	25
213	Table 5 – FCH bit fields .....	27
214	Table 6 – Physical parameter values applied to CENELEC bands.....	29
215	Table 7 – CENELEC bands.....	29
216	Table 8 – CENELEC A – Number of carriers .....	29
217	Table 9 – CENELEC A – Phase vector definition.....	29
218	Table 10 – CENELEC A - Number of FCH symbols .....	30
219	Table 11 – CENELEC A – RS block size for various modulations.....	30
220	Table 12 – CENELEC A – Data rate for various modulations (excluding FCH).....	30
221	Table 13 – CENELEC A – Data rate for various modulations (including FCH) .....	31
222	Table 14 – Notched subcarriers in S-FSK cohabitation mode .....	35
223	Table 15 – PD-DATA.request primitive.....	39
224	Table 16 – PD-DATA.confirm primitive .....	39
225	Table 17 – PD-DATA.indication primitive .....	39
226	Table 18 – PD-ACK.request primitive.....	40
227	Table 19 – PD-ACK.confirm primitive .....	40
228	Table 20 – PD-ACK.indication primitive.....	40
229	Table 21 – PLME-SET.request primitive.....	41
230	Table 22 – PLME-SET.confirm primitive.....	42
231	Table 23 – PLME-GET.confirm primitive .....	43
232	Table 24 – PLME_SET.TRX_STATE.request primitive .....	43
233	Table 25 – PLME_SET.TRX_STATE.confirm primitive .....	43
234	Table 26 – PLME_CS.confirm primitive .....	44
235	Table 27 – Selections from IEEE 802.15.4 clause 7.1 .....	45
236	Table 28 – QualityOfService parameter definition .....	49
237	Table 29 – Selections from clause 7.2 of the IEEE 802.15.4 .....	49
238	Table 30 – General MAC frame format.....	51
239	Table 31 – Segment control fields.....	51

240	Table 32 – Selections from clause 7.3 of the IEEE 802.15.4 .....	51
241	Table 33 – MAC command frames supported.....	52
242	Table 34 – Tone map response format.....	53
243	Table 35 – Tone Map Response message description for CENELEC A band .....	53
244	Table 36 – Modulation Method Field .....	54
245	Table 37 – Selections from clause 7.4 of the 802.15.4 .....	55
246	Table 38 – Additional MAC sublayer constants to IEEE 802.15.4 clause 7.4.1 .....	56
247	Table 39 – Additional attributes to IEEE 802.15.4 clause 7.4.2 .....	57
248	Table 40 – MAC sublayer attributes and their associated ID .....	58
249	Table 41 – Selections from clause 7.5 of the IEEE 802.15.4 .....	58
250	Table 42 – Neighbour Table.....	61
251	Table 43 – Selections from clause 7.6 of the IEEE 802.15.4 .....	62
252	Table 44 – Selections from clause 7.7 of the IEEE 802.15.4 .....	63
253	Table 45 – Selections from MAC annexes of the IEEE 802.15.4.....	68
254	Table 46 – Adaptation sublayer IB attributes.....	68
255	Table 47 – Broadcast log table entry.....	69
256	Table 48 – Selections from RFC 4944.....	70
257	Table 49 – Command frame header identifier.....	71
258	Table 50 – CFA value field description.....	72
259	Table 51 – Selections from draft-daniel-6lowpan-load-adhoc-routing-03 .....	72
260	Table 52 – Broadcast log table .....	74
261	Table 53 – Path Request (PREQ) fields' definition .....	78
262	Table 54 – Path Reply (PREP) fields' definition .....	78
263	Table 55 – Selections from draft-6lowpan-commissioning-02 .....	79
264	Table 56 – T & Code fields in LBP message .....	82
265	Table 57 – Selections from draft-thubert-6lowpan-simple-fragment-recovery-02.....	90
266	Table 58 – Selections from RFC 3748.....	96
267	Table 59 – Selections from RFC 4764.....	97
268	Table B.1 – PICS – Functional device types (from annex D.7.1 of IEEE 802.15.4) .....	104
269	Table B.2 – PICS – PHY functions (from annex D.7.2.1 of IEEE 802.15.4).....	104
270	Table B.3 – PICS – PHY packet (from annex D.7.2.2 of IEEE 802.15.4).....	105
271	Table B.4 – PICS – Radio frequency (from annex D.7.2.3 of IEEE 802.15.4) .....	105
272	Table B.5 – PICS – MAC sublayer functions (from annex D.7.3.1 of IEEE 802.15.4).....	105
273	Table B.6 – PICS – MAC frames (from annex D.7.3.2 of IEEE 802.15.4).....	106
274	Table B.7 – conformance to PLC OFDM Type 2 physical layer.....	106
275	Table D.1 – Segment control fields .....	115
276	Table E.1 – MCPS-DATA.request parameters.....	116
277	Table E.2 – MCPS-DATA.request parameters.....	118
278	Table G.1 – Parameters of the ADPD-DATA.request primitive.....	121
279	Table G.2 – Parameters of the ADPD-DATA.confirm primitive.....	124
280	Table G.3 – Parameters of the ADPD-DATA.indication primitive .....	125
281	Table G.4 – Parameters of the ADPM-DISCOVERY.request primitive .....	126
282	Table G.5 – Parameters of the ADPM-DISCOVERY.confirm primitive .....	126



283	Table G.6 – PAN descriptor structure specification .....	127
284	Table G.7 – Parameters of the ADPM-NETWORK-START.request primitive.....	127
285	Table G.8 – Parameters of the ADPM-NETWORK-START.confirm primitive.....	128
286	Table G.9 – Parameters of the ADPM-NETWORK-JOIN.request primitive .....	129
287	Table G.10 – Parameters of the ADPM-NETWORK-JOIN.confirm primitive .....	129
288	Table G.11 – Parameters of the ADPM-NETWORK-JOIN.indication primitive .....	130
289	Table G.12 – Parameters of the ADPM-NETWORK-LEAVE.request primitive .....	131
290	Table G.13 – Parameters of the ADPM-NETWORK-LEAVE.indication primitive.....	131
291	Table G.14 – Parameters of the ADPM-NETWORK-LEAVE.confirm primitive .....	132
292	Table G.15 – Parameters of the ADPM-RESET.confirm primitive .....	133
293	Table G.16 – Parameters of the ADPM-GET.request primitive .....	134
294	Table G.17 – Parameters of the ADPM-GET.confirm primitive.....	134
295	Table G.18 – Parameters of the ADPM-SET.request primitive.....	135
296	Table G.19 – Parameters of the ADPM-SET.confirm primitive .....	136
297	Table G.20 – Parameters of the ADPM-NETWORK-STATUS.indication primitive .....	136
298	Table G.21 – Parameters of the ADPM-ROUTE-DISCOVERY.request primitive .....	137
299	Table G.22 – Parameters of the ADPM-ROUTE-DISCOVERY.confirm primitive .....	137
300	Table G.23 – Parameters of the ADPM-PATH-DISCOVERY.request primitive .....	138
301	Table G.24 – Parameters of the ADPM-PATH-DISCOVERY.confirm primitive .....	139
302	Table G.25 – Parameters of the ADPM-LBP.request primitive .....	139
303	Table G.26 – Parameters of the ADPM-LBP.confirm primitive .....	140
304	Table G.27 – Parameters of the ADPM-LBP.indication primitive.....	141
305	Table G.28 – Parameters of the ADPM-BUFFER.indication primitive.....	142
306		
307		
308		

309

## INTRODUCTION

310 This Technical Specification is based on the results of the European OPEN meter Project,  
311 Topic Energy 2008.7.1.1, Project no.: 226369, [www.openmeter.com](http://www.openmeter.com).

312

313 **Electricity metering – Data exchange over powerline – Part 2: Lower layer**  
314 **profile using OFDM modulation Type 2**

315 **1 Scope**

316 This standard specifies the Physical and MAC Layer for an Orthogonal Frequency Division  
317 Multiplexing (OFDM) Power Line Communications (PLC) system

318 The physical layer provides a modulation technique that efficiently utilizes the allowed  
319 bandwidth within the CENELEC band (3 kHz – 148,5 kHz) thereby allowing the use of  
320 advanced channel coding techniques. This combination enables a very robust communication  
321 in the presence of narrowband interference, impulsive noise, and frequency selective  
322 attenuation.

323 The medium access control (MAC) layer allows the transmission of MAC frames through the  
324 use of the power line physical channel. It provides data services, frame validation control,  
325 node association and secure services.

326 **2 Normative references**

327 The following referenced documents are indispensable for the application of this document.  
328 For dated references, only the edition cited applies. For undated references, the latest edition  
329 of the referenced document (including any amendments) applies.

330 EN 50065-1:2001, *Signalling on low-voltage electrical installations in the frequency range 3*  
331 *kHz to 148,5 kHz – Part 1: General requirements, frequency bands and electromagnetic*  
332 *disturbances*

333 IEC 61334-5-1:2001, *Distribution automation using distribution line carrier systems – Part 5-1:*  
334 *Lower layer profiles – The spread frequency shift keying (S-FSK) profile*

335 IEEE 802:2001, *IEEE Standard for Local and Metropolitan Area Networks – Overview and*  
336 *Architecture*

337 IEEE 802.15.4:2006, *IEEE Standard for Information technology – Telecommunications and*  
338 *information exchange between systems – Local and metropolitan area networks – Specific*  
339 *requirements – Part 15.4: Wireless Medium Access (MAC) and Physical Layer (PHY)*  
340 *Specifications for Low-Rate Wireless Personal Area Networks (WPANs)*

341 IEEE 802.2:1998, *IEEE Standard for Information technology – Telecommunications and*  
342 *information exchange between systems – Local and metropolitan area networks – Specific*  
343 *requirements – Part 2: Logical Link Control*

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350 Blunk, J. Vollbrecht, J. Carlson, H. Levkowitz. June 2004. Available from:  
351 <http://www.ietf.org/rfc/rfc3748.txt>

352 IETF RFC 4291: IP Version 6 Addressing Architecture [online]. Edited by R. Hinden, S.  
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354 IETF RFC 4764: The EAP-PSK Protocol: A Pre-Shared Key Extensible Authentication  
355 Protocol (EAP) Method [online]. Edited by F. Bersani, H. Tschofenig. January 2007. Available  
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357 IETF RFC 4862: IPv6 Stateless Address Autoconfiguration [online]. Edited by S. Thomson, T.  
358 Narten, T. Jinmey. September 2007. Available from: <http://www.ietf.org/rfc/rfc4862.txt>

359 IETF RFC 4944: Transmission of IPv6 Packets over IEEE 802.15.4 Networks [online]. Edited  
360 by G. Montenegro, N. Kushalnagar, D. Culler. September 2007. Available from:  
361 <http://www.ietf.org/rfc/rfc4944.txt>

362 NOTE The following IETF documents are in the draft stage.

363 IETF draft-daniel-6lowpan-load-adhoc-routing-03: 6LoWPAN Ad Hoc On-Demand Distance  
364 Vector Routing (LOAD) [online]. Edited by K. Kim, S. Daniel, G. Montenegro, S. Yoo, N.  
365 Kushalnager. June 19, 2007. Available from: [http://tools.ietf.org/id/draft-daniel-6lowpan-load-  
366 adhoc-routing-03.txt](http://tools.ietf.org/id/draft-daniel-6lowpan-load-adhoc-routing-03.txt)

367 IETF draft-6lowpan-commissioning-02: Commissioning in 6LoWPAN [online]. Edited by K.  
368 Kim, S. Shams, S. Yoo, S. Park, G. Mulligan. July 15, 2008. Available from:  
369 <http://tools.ietf.org/html/draft-daniel-6lowpan-commissioning-02>

370 IETF draft-thubert-6lowpan-simple-fragment-recovery-02: LoWPAN simple fragment Recovery  
371 [online]. Edited by P. Thubert. May 29, 2008. Available from: [http://tools.ietf.org/html/draft-  
372 thubert-6lowpan-simple-fragment-recovery-02](http://tools.ietf.org/html/draft-thubert-6lowpan-simple-fragment-recovery-02)

### 373 **3 Terms and definitions**

374 For the purposes of this document, the following definitions, together with those of IEEE  
375 802.15.4-2006 and RFC 4944, apply.

376 Bit fields can be expressed in various notations :

- 377 – a field beginning with “0b” is followed by a binary sequence,
- 378 – a field beginning with “0h” is followed by a hexadecimal sequence.

### 379 **4 Acronyms**

AAA	Authentication, Authorization, and Accounting
ACK	ACKnowledge
ADP	Adaptation
AFE	Analog Front End
AGC	Automatic Gain Control
BPSK	Binary Phase Shift Keying
CC	Convolutional Code
CENELEC	European Committee for Electrotechnical Standardization
CFA	Contention Free Access
CP	Cyclic Prefix
CRC	Cyclic Redundancy Check
DBPSK	Differential Binary Phase Shift Keying
DQPSK	Differential Quadrature Phase Shift Keying
D8PSK	Differential Eight Phase Shift Keying
FCH	Frame Control Header

FEC	Forward Error Correction
FFT	Fast Fourier Transform
FL	Frame Length
GF	Galois Field
GI	Guard Interval
GMK	Group Master Key
ICI	Inter Carrier Interference
IEEE	Institute of Electrical and Electronics Engineers
IEC	International Electrotechnical Commission
IFFT	Inverse Fast Fourier Transform
IS	Information System
LBD	LoWPAN BootStrapping Device
LBP	LoWPAN Bootstrapping Protocol
LSB	Least Significant Bit
LSF	Last Segment Flag
MAC	Media Access Control
MIB	Management Information Base
MPDU	MAC Protocol Data Unit
MSB	Most Significant Bit
NACK	Negative ACKnowledge
NIB	Neighbour Information Base
NSDU	Network Service Data Unit
OFDM	Orthogonal Frequency Division Multiplexing
PAN	Personal Area Network
PAR	Peak to Average Ratio
PDC	Phase Detection Counter
PHY	PHYSical layer
PIB	PAN Information Base
PLC	Power Line Communication
PN	<i>Pseudo-Noise Sequence</i>
POS	Personal Operating Space
PPDU	PHY Protocol Data Unit
PPM	Parts Per Million
PSD	Power Spectrum Density
PSDU	PHY Service Data Unit
RADIUS	Remote Authentication Dial in User Service
RC	Repetition Code
RES	Reserved (bit fields)
RMS	Root Mean Square
RS	Reed-Solomon
RX	Receiver
S-FSK	Spread Frequency Shift Keying
S-Robust	Super Robust
SC	Segment Count
SN	Sequence Number

SNR	Signal to Noise Ratio
SYNCP, SYNCM	SYNChronization symbols
TMI	Tone Map Index
TMR	Tone Map Request
TX	Transmit
6LoWPAN	IPv6 over Low power Wireless Personal Area Networks

380 Furthermore, the abbreviations given in the following clauses apply also:

- 381 – Clause 4 of IEEE 802.15.4-2006;
- 382 – Clause 1.2 of RFC 4944.

## 383 **5 Overview**

384 The present standard constitutes the specification for PLC OFDM Type 2 communication  
385 based on OFDM modulation, and details the Data Link layer of the protocol stack.

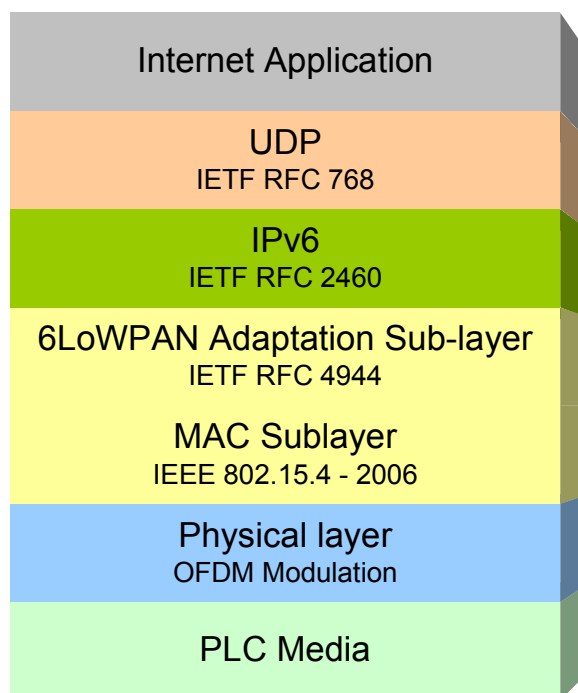
386 This standard has been developed to meet the following aims:

- 387 – Robustness: the communication profile must be suited to severe environments;
- 388 – Performance: it must take full advantage of the CENELEC A band;
- 389 – Simplicity: it must be simple to implement, install (Plug and Play), operate and maintain;
- 390 – Flexibility: it must be compatible with diverse applications and network topologies;
- 391 – Security: it must offer a safe environment for the promotion of Value Added services;
- 392 – Openness: it must be based on open standards in order to support multi-supplier  
393 solutions;
- 394 – Scalability: it must support all future metering developments.

395 To this end, the OFDM PLC protocol stack aggregates several layers and sublayers that form  
396 the PLC OFDM Type 2 profile:

- 397 – A robust high-performance physical layer based on OFDM and adapted to the PLC  
398 environment;
- 399 – A MAC sublayer of the IEEE type, well suited to low data rates;
- 400 – IPv6, the new generation of IP (Internet Protocol), which widely opens the range of  
401 potential applications and services;
- 402 – And to allow good IPv6 and MAC interoperability, an adaptation sublayer taken from the  
403 Internet world and called 6LoWPAN.

404 Figure 1 gives an overall view of the PLC OFDM Type 2 communication profile:



405

406

**Figure 1 – PLC OFDM Type 2 communication profile**

## 407 **6 Physical layer specification**

### 408 **6.1 Overview of the system**

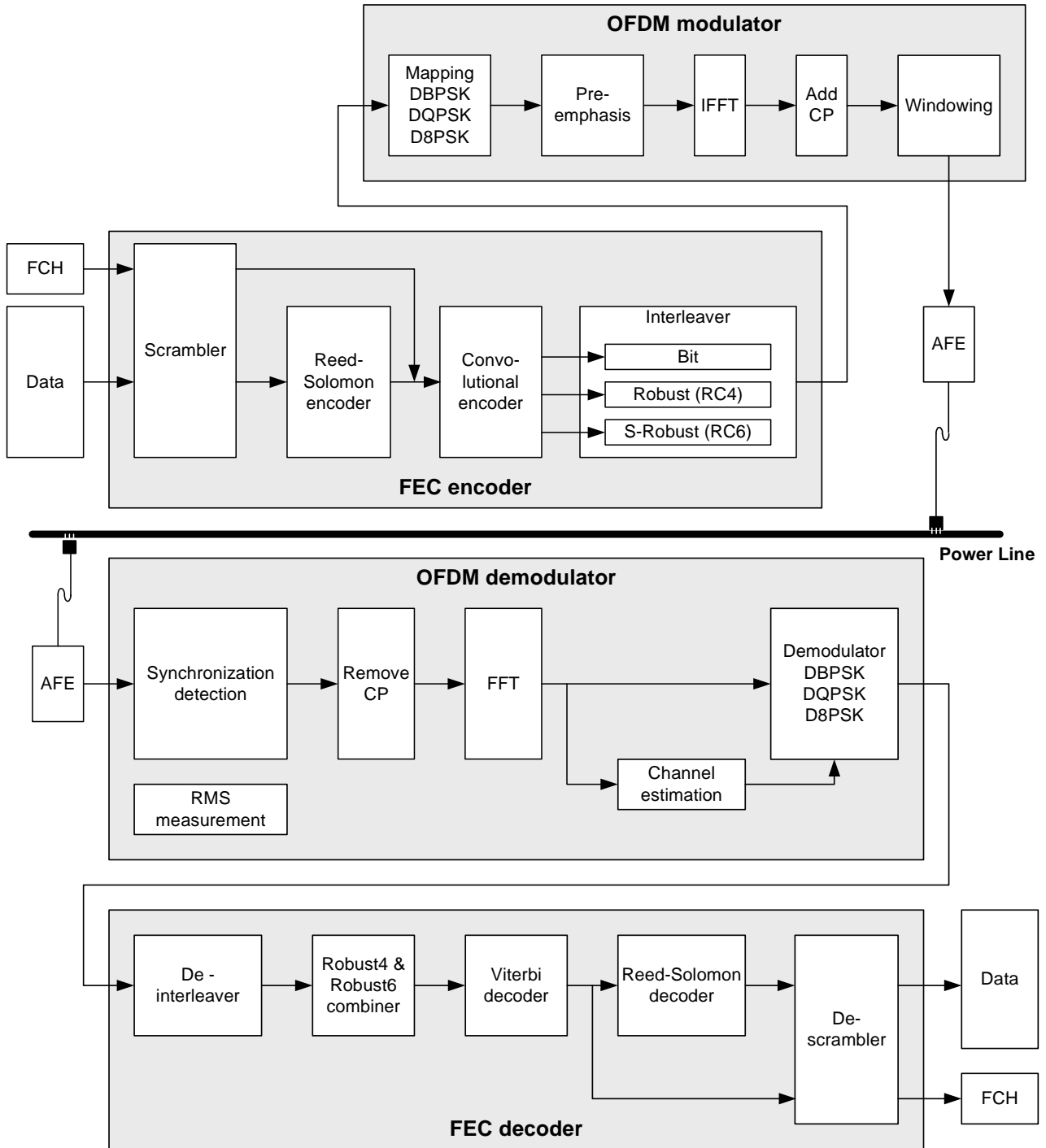
409 The power line channel is very hostile. Channel characteristics and parameters vary with  
410 frequency, location, time and the type of equipment connected to it. The lower frequency  
411 regions from 10 kHz to 200 kHz are especially susceptible to interference. Furthermore, the  
412 power line is a very frequency selective channel. Besides background noise, it is subject to  
413 impulsive noise often occurring at 50/60Hz and group delays up to several hundred  
414 microseconds.

415 OFDM uses advanced modulation and channel coding techniques and thereby it can  
416 efficiently utilize the limited bandwidth of CENELEC A band and facilitates a very robust  
417 communication over the power line channel.

418 Figure 2 shows the block diagram of an OFDM system. The allowed bandwidth is divided into  
419 a number of sub-channels, which can be viewed as many independent PSK modulated  
420 carriers with different non-interfering (orthogonal) carrier frequencies. Convolutional and  
421 Reed-Solomon coding provide redundancy bits allowing the receiver to recover lost bits  
422 caused by background and impulsive noise. A time-frequency interleaving scheme is used to  
423 decrease the correlation of received noise at the input of the decoder, providing diversity.

424 The OFDM signal is generated by performing IFFT on the complex-valued signal points that  
425 are produced by differentially encoded phase modulation (DBPSK, DQPSK and D8PSK) and  
426 which are allocated to individual sub-carriers. An OFDM symbol is built by appending a cyclic  
427 prefix to the beginning of each block generated by IFFT. The length of cyclic prefix is chosen  
428 so that a channel group delay will not cause successive OFDM symbols or adjacent sub-  
429 carriers to interfere.

430 A blind channel estimator technique is used for link adaptation. Based on the quality of the  
431 signal received, the receiver decides on the modulation scheme to be used. Moreover, the  
432 system differentiates the subcarriers with bad SNR and does not transmit data on them.



433

434

Figure 2 – Block diagram of transceiver

435 **6.2 FEC encoder**

436 **6.2.1 Overview**

437 The FEC encoder is composed of a Reed-Solomon encoder followed by a convolutional  
438 encoder. In Robust mode, an extra encoder, namely, Repetition Code (RC) is used after the  
439 convolutional encoder in order to repeat the bits at the output of convolutional.

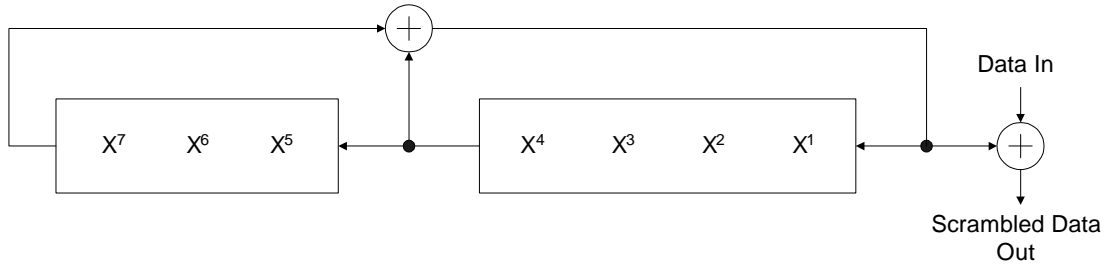


440 **6.2.2 Scrambler**

441 The scrambler block helps to give to the data and the FCH a random distribution. The data  
442 and FCH stream is 'XOR-ed' with a repeating PN sequence using the following generator  
443 polynomial:

444 
$$S(x) = x^7 \oplus x^4 \oplus 1$$

445 This is illustrated in Figure 3:



446

447 **Figure 3 – Data scrambler**

448 The bits in the scrambler are initialised to all ones at the start of processing each physical  
449 frame. The scrambler will be reinitialized for FCH and data.

450 **6.2.3 Reed-Solomon encoder**

451 Data from the scrambler is encoded by shortened systematic Reed-Solomon (RS) codes using  
452 Galois Field (GF). Depending on the mode used, the following parameter is applied:

- 453 – Normal mode - RS (N = 255, K = 239, T = 8) codes using GF (2<sup>8</sup>);
- 454 – Robust mode - RS (N = 255, K = 247, T = 4) codes using GF (2<sup>8</sup>)

455 Where N, K and T are respectively the total number of symbols transmitted, the number of  
456 symbols of data, the number of correctable symbol errors.

457 The RS symbol word length (i.e., the size of the data words used in the Reed-Solomon block)  
458 is fixed at 8 bits. The number of parity words in a RS-block is fixed to 2T bytes.

459 Code Generator Polynomial 
$$g(x) = \prod_{i=1}^{2T} (x - \alpha^i)$$

460 Field Generator Polynomial 
$$p(x) = x^8 + x^4 + x^3 + x^2 + 1$$
 (4 35 octal)

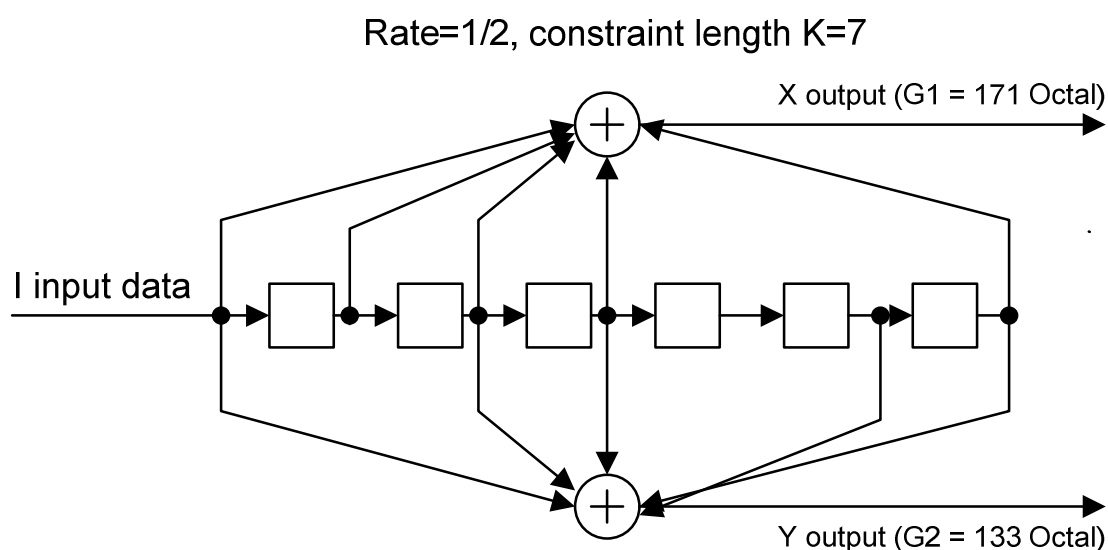
461 The representation of  $\alpha^0$  is "00000001", where the left-most bit of this RS symbol is the MSB  
462 and is first in time from the scrambler and is the first in time out of the RS encoder.

463 The arithmetic is performed in the Galois Field GF (2<sup>8</sup>), where  $\alpha_1$  is a primitive element that  
464 satisfies the primitive binary polynomial  $x^8 + x^4 + x^3 + x^2 + 1$ .

465 A data byte ( $d^7, d^6 \dots d^1, d^0$ ) is identified with the Galois Field element  $d^7\alpha^7 + d^6\alpha^6 \dots + d^1\alpha + d^0$ .

466 **6.2.4 Convolutional encoder**

467 The bit stream at the output of the Reed-Solomon encoder is encoded with a standard rate =  
468 1/2, K=7 Convolutional encoder. The tap connections are defined as x = 0b1111001 and y =  
469 0b1011011, as shown in Figure 4:



470

471

**Figure 4 – Convolutional encoder**

472 When the last bit of data to the Convolutional encoder has been received, the Convolutional  
473 encoder inserts six tail bits, which are required to return the Convolutional encoder to the  
474 "zero state". This reduces the error probability of the Convolutional decoder, which relies on  
475 future bits when decoding. The tail bits are defined as six zeros. The bits X and Y are placed  
476 in the output stream as XY, X being the first bit leaving the Convolutional encoder.

## 477 6.2.5 Robust and Super Robust Modes

### 478 6.2.5.1 Robust : Repetition Coding by 4 (RC4)

479 In Robust mode, the binary stream coming from the convolutional encoder is repeated 4 times  
480 before transmission to the interleaver. The repetition is done bit by bit. For an input of '0101',  
481 the output will be '0000111100001111'.

482 NOTE This mode can be used for the data part of the frame only.

### 483 6.2.5.2 Super Robust : Repetition Coding by 6 (RC6)

484 In Super Robust Mode, the binary stream coming from the convolutional encoder is repeated  
485 6 times before transmission to the interleaver. The repetition is done bit by bit. For an input of  
486 '0101', the output will be '000000111111000000111111'.

487 This mode is used for the Frame Control Header (FCH) part of the frame.

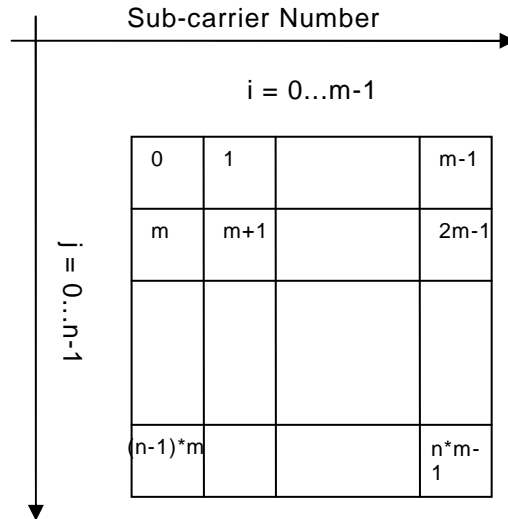
## 488 6.2.6 Interleaver

489 The Interleaver is designed such that it can provide protection against two different sources of  
490 errors:

- 491 – A burst error that corrupts a few consecutive OFDM symbols;
- 492 – A frequency deep fade that corrupts a few adjacent frequencies for a large number of  
493 OFDM symbols.

494 To fight both problems at the same time, interleaving is done in two steps. In the first step,  
495 each column is circularly shifted a different number of times. Therefore, a corrupted OFDM  
496 symbol is spread over different symbols. In the second step, each row is circularly shifted a  
497 different number of times, which prevents a deep frequency fade from disrupting the whole  
498 column. The amount of circular shifts is determined by the parameters  $m_i$ ,  $m_j$ ,  $n_i$ , and  $n_j$

499 which are selected based on the number of subcarriers in each OFDM symbol (m) as well as  
 500 the number of OFDM symbols in each interleaving block (n). Figure 5 shows the order of bits  
 501 as they are put in the interleaver buffer (i.e. row by row).



502

503

**Figure 5 – Interleaver**

504 If the data doesn't completely fill the interleaving matrix, a padding constituted of “zero” bits is  
 505 added at the end to complete the matrix. The relation between the input and output indexes  
 506 are determined from the following relations:

507 Original bit position (i,j) where  $i = 0, 1, \dots, m-1$  and  $j = 0, 1, \dots, n-1$

508 Interleaved position (I,J) where

509 
$$J = (j * n_j + i * n_i) \% n$$

510 
$$I = (i * m_i + J * m_j) \% m$$

511 NOTE % is the modulus operator that gives the remainder of the integer division of one number by another. Ex:  
 512  $12\%5 = 2, 25\%3 = 1$

513 NOTE Good interleaving patterns will be generated only if  $GCD(m_i, m) = GCD(m_j, m) = GCD(n_i, n) = GCD(n_j, n)$   
 514  $= 1$ .

515 A simple search is done to find a good set of parameters based on m and n. Figure 6 displays  
 516 the spreading behaviour of the Interleaver for  $n = 8, m = 10, n_j = 5, n_i = 3, m_i = 3$  and  $m_j$   
 517  $= 7$ .

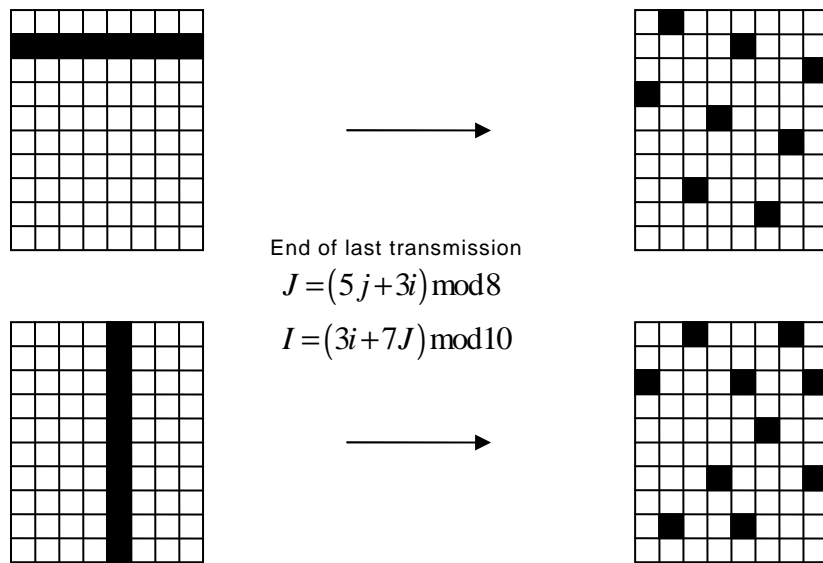


Figure 6 – Spreading behaviour of the Interleaver

518

519

520 To generate a good interleaving pattern, Annex A must be applied.

521 After interleaving, the mapping functions used for modulation read the output bit in the order  
 522 defined in Figure 6. If the modulation use M bits per symbol, M bits will be read to compute  
 523 the modulation for one carrier, so, to form one OFDM Symbol N\*m bits are needed.

524 **6.3 OFDM modulator**

525 **6.3.1 DBPSK / DQPSK / D8PSK mapping**

526 **6.3.1.1 Overview**

527 Each carrier is modulated with Differential Binary or Differential Quadrature or Differential  
 528 Eight Phase Shift Keying (DBPSK or DQPSK or D8PSK).

529 The mapping entity is also responsible for assuring that the transmitted signal conforms to the  
 530 given Tone Map and Tone Mask. The Tone Map and Tone Mask are determined by the MAC  
 531 layer. The Tone Mask is a predefined (static) system-wide parameter defining the start, stop  
 532 and notch frequencies. The Tone Map is an adaptive parameter that, based on channel  
 533 estimation, contains a list of carriers that are to be used for a particular communication  
 534 between two modems. For example, carriers that suffer deep fades can be avoided, and no  
 535 information is transmitted on those carriers.

536 Data bits are mapped for differential modulation (DBPSK, DQPSK, D8PSK or Robust). Instead  
 537 of using a fixed phase, each phase vector uses the same carrier, previous symbol, as its  
 538 phase reference. The first FCH symbol uses phase from the last preamble symbol and the  
 539 first data symbol uses the phase from last FCH symbol. The data encoding for Robust,  
 540 DBPSK, DQPSK and D8PSK is defined in following clause where  $\Psi_k$  is the phase of the k-th  
 541 carrier from the previous symbol.

542 **6.3.1.2 Mapping for DBPSK and Robust modulations**

543 In DBPSK (and Robust) modulation a phase shift of 0 degrees represents a binary "0" and a  
 544 phase shift of 180 degrees represent a binary "1" as shown in Table 1.

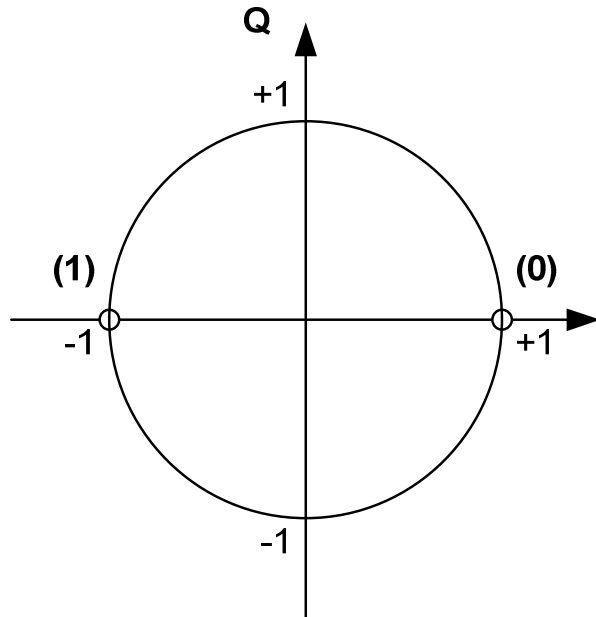
545 **Table 1 – DBPSK and Robust encoding table of k-th Subcarrier**

Input Bit	Output phase
-----------	--------------

Input Bit	Output phase
0	$\Psi_k$
1	$\Psi_k + \pi$

546 Figure 7 shows the constellation diagram of the DBPSK and Robust modulation:

**DBPSK, Robust**



547

548 **Figure 7 – DBPSK and Robust constellation diagram**

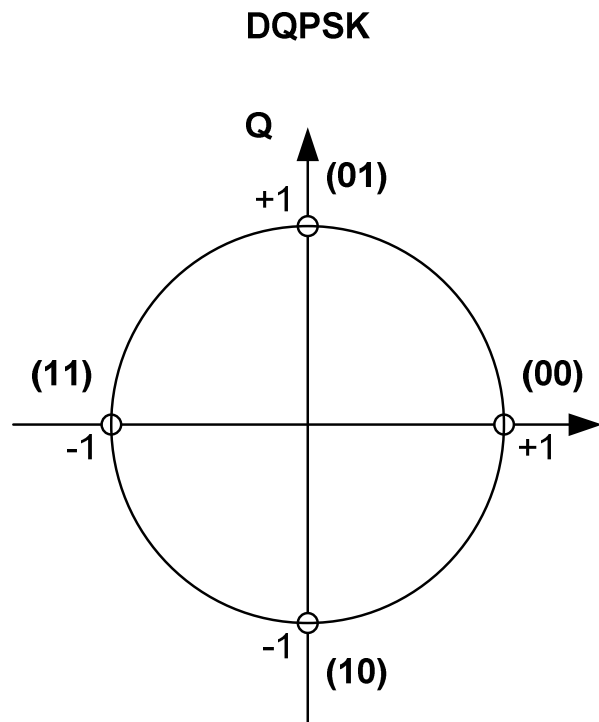
549 **6.3.1.3 Mapping for DQPSK modulation**

550 In DQPSK a pair of 2 bits is mapped to 4 different output phases. The phase shifts of 0, 90,  
 551 180, and 270 degrees represent binary “00”, “01”, “11”, and “10”, respectively as shown in  
 552 Table 2.

553 **Table 2 – DQPSK encoding table of k-th subcarrier**

Input bit pattern (X,Y), Y is first interleaver output	Output phase
00	$\Psi_k$
01	$\Psi_k + \pi/2$
11	$\Psi_k + \pi$
10	$\Psi_k + 3\pi/2$

554 Figure 8 shows the constellation diagram of the DQPSK modulation.



555

556

**Figure 8 – DQPSK constellation diagram**

557 **6.3.1.4 Mapping for D8PSK modulation**

558 In D8PSK a triplet of 3 bits is mapped to one of 8 different output phases. The phase shifts of  
559 0, 45, 90, 135, 180, 225, 270 and 315 degrees represent binary “000”, “001”, “101”, “111”,  
560 “011”, “010”, “110” and “100” respectively as shown in Table 3.

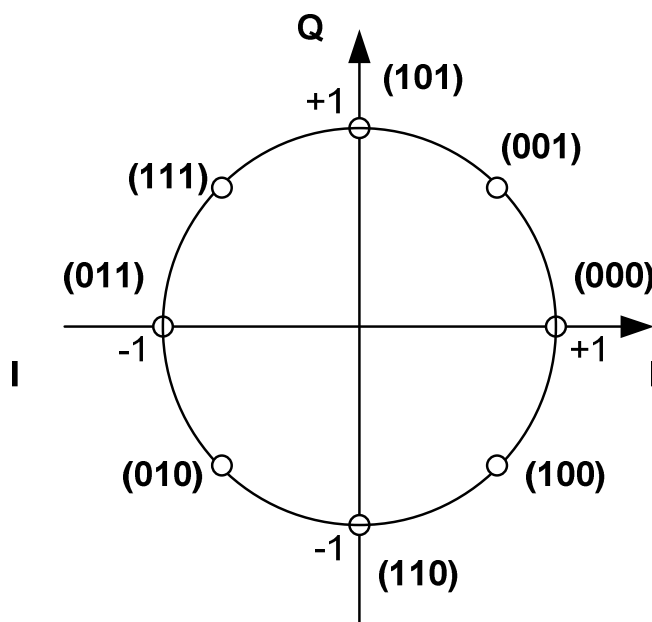
561

**Table 3 – DQPSK encoding table of k-th subcarrier**

Input bit pattern (X,Y,Z), Z is first interleaver output	Output phase
000	$\Psi_k$
001	$\Psi_k + \pi/4$
101	$\Psi_k + \pi/2$
111	$\Psi_k + 3\pi/4$
011	$\Psi_k + \pi$
010	$\Psi_k + 5\pi/4$
110	$\Psi_k + 3\pi/2$
100	$\Psi_k + 7\pi/4$

562 Figure 9 shows the constellation diagram of the D8PSK modulation.

### D8PSK



563

564

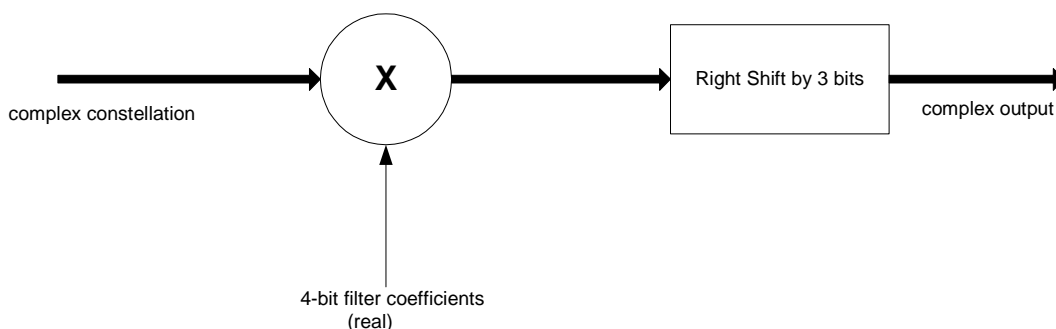
Figure 9 – D8PSK constellation diagram

#### 565 6.3.2 Frequency domain pre-emphasis

566 The purpose of this block is to provide frequency shaping to the signal transmitted in order to  
567 compensate for the attenuation introduced as the signal travels through the power line.

568 The frequency-domain pre-emphasis filter shall consist of a multiplier that multiplies the  
569 complex frequency domain samples of an OFDM symbol with 128 real filter coefficients. If the  
570 optional TXCOEFF parameters are not implemented, the frequency domain pre-emphasis  
571 filter should use values to satisfy the spectrum flatness criterion stated in 6.10.5. Otherwise,  
572 the filter coefficients are 4 bits representing signed values from -8 to +7. Their values are  
573 computed from the TXRES and TXCOEFF parameters that are part of the Tone Map  
574 Response message that the destination station sends to the source station. The definition of  
575 these values is given in 6.8.

576 The filter multiplies the first 128 frequency-domain complex samples of an OFDM symbol with  
577 the 128 real coefficients of the filter. The rest of the 128 frequency-domain samples of the  
578 OFDM symbol shall be set to zero and shall not be multiplied by the filter coefficients. Figure  
579 10 shows the block diagram of the pre-emphasis filter. The output of the filter is the input to  
580 the IFFT.

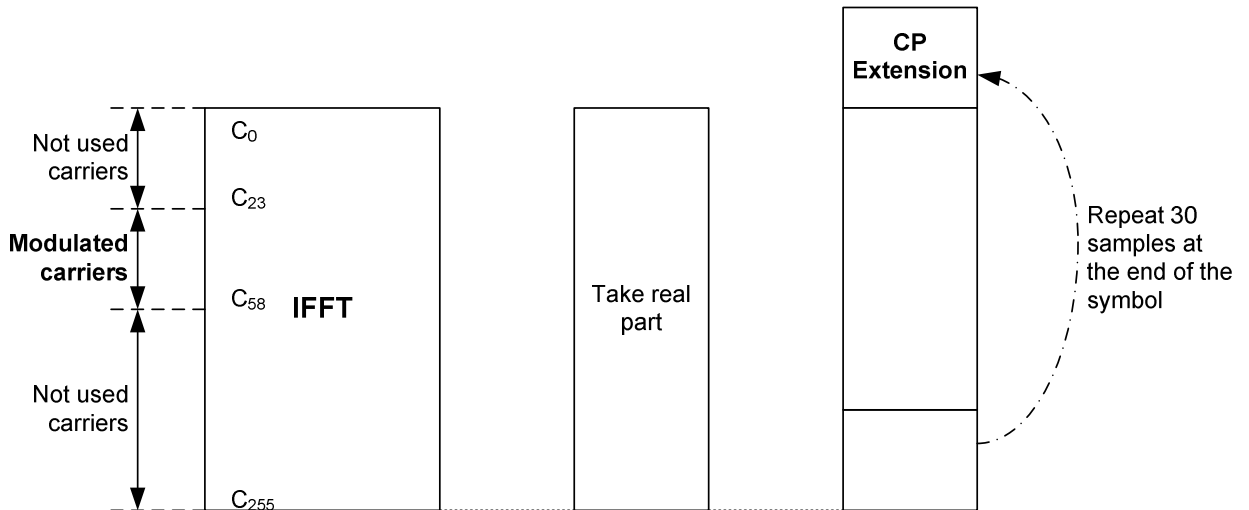


581

582 **Figure 10 – Block diagram of the pre-emphasis filter**

583 **6.3.3 OFDM Generation (IFFT and CP addition)**

584 The OFDM signal is generated using IFFT. The IFFT block takes the 256-point IFFT of the  
 585 input vector and generates the main 256 time-domain OFDM words pre-pended by 30  
 586 samples of cyclic prefix (CP). In other words, we take the last 30 samples at the output of the  
 587 IFFT and place them in front of the symbol. The useful output is the real part of the IFFT  
 588 coefficients. The Input/Output configuration is as depicted in Figure 11:

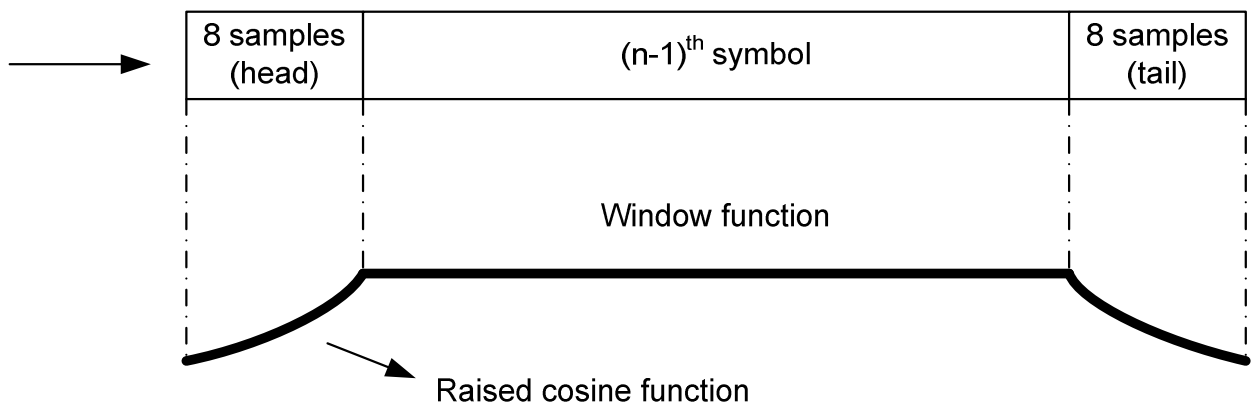


589  
590

591 **Figure 11 – IFFT Input / Output and CP addition**

592 **6.3.4 Windowing**

593 In order to reduce the out of band emission and to reduce the spectral side lobe, the Raised  
 594 Cosine shaping is applied to all the data symbols. Then the tails and heads of successive  
 595 symbols are overlapped and added together. This process is described below. Each side of a  
 596 symbol is first shaped by a Raised Cosine function as shown in Figure 12.



597  
598

599 **Figure 12 – Raised Cosine windowing**

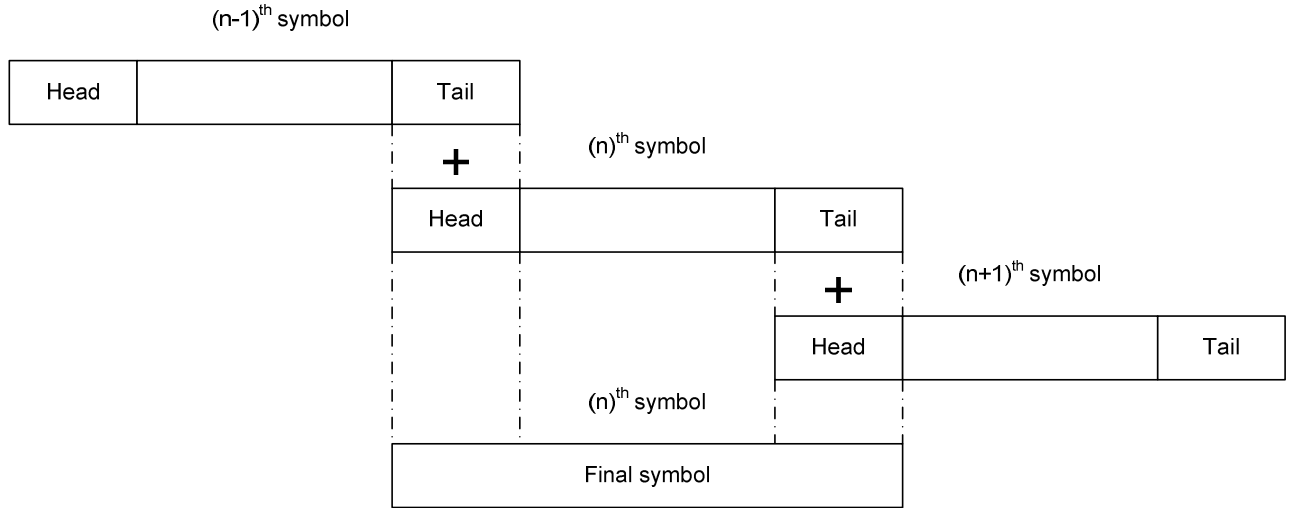
600 The windowing function at each 8-sample boundary is a Raised Cosine function and its values  
 601 are given in Table 4. The window function has a value equal to one at all the remaining  
 602 samples of the symbol. The 8 tail and 8 head shaped samples of the symbol from each side of



603 symbol are overlapped with the tail and head samples of adjacent symbols as shown in Figure  
 604 13.

605 In other words, in order to construct the nth symbol, firstly its 8 head samples are overlapped  
 606 with the 8 tail samples of the (n-1)<sup>th</sup> symbol and its 8 tail samples is overlapped with the 8  
 607 head samples of the (n+1)<sup>th</sup> symbol. Finally, the corresponding overlapped parts are added  
 608 together.

609 NOTE The head of the first symbol is overlapped with the tail of preamble. The tail of last symbol is sent out with  
 610 no overlapping applied.



611  
 612

613

**Figure 13 – Overlap / add**

614

**Table 4 – The Raised Cosine samples**

	Head samples	Tail samples
1	0	0,961 9
2	0,038 1	0,853 6
3	0,146 4	0,691 3
4	0,308 7	0,500 0
5	0,500 0	0,308 7
6	0,691 3	0,146 4
7	0,853 6	0,038 1
8	0,961 9	0

615

616 **6.4 OFDM demodulator**

617 The OFDM demodulator is implementation dependant and out of scope of this standard.

618 **6.5 FEC decoder**

619 The FEC decoder is implementation dependant and out of scope of this standard.

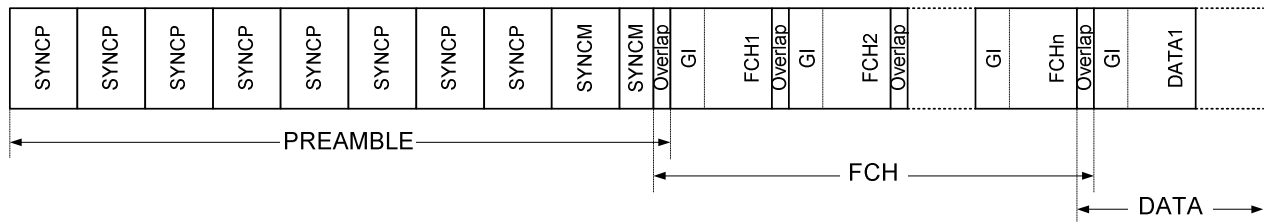
620 **6.6 Structure of physical frames**

621 **6.6.1 General**

622 The physical layer supports two types of frames: data and acknowledgment / non-  
623 acknowledgment (ACK / NACK) frame.

624 **6.6.2 Physical data frame**

625 A typical data frame for the PLC OFDM Type 2 physical layer is shown in Figure 14:



626  
627

628 **Figure 14 – Typical physical data frame structure**

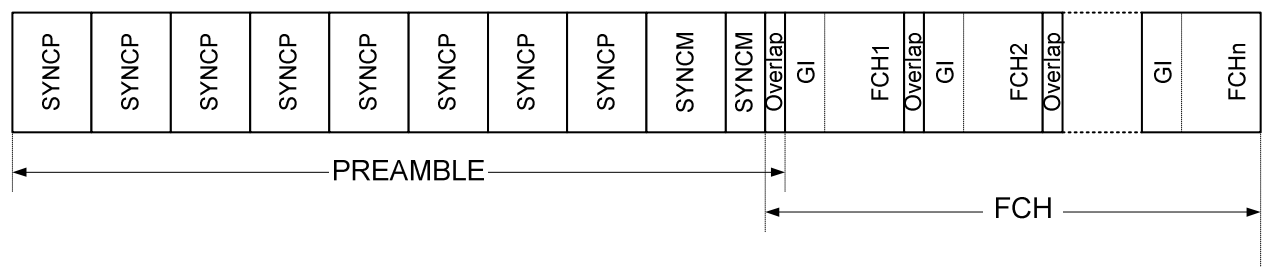
629 Each frame starts with a preamble, which is used for synchronization and detection in addition  
630 to the automatic gain control (AGC) adaptation.

631 The preamble is followed by n data symbols allocated to Frame Control Header (FCH). The  
632 number of symbols depends of the number of carriers used by the OFDM modulation. The  
633 different values to be applied in CENELEC A band are defined in 6.7.

634 FCH carries control information required to demodulate the data frame. Data symbols are  
635 transmitted next. In the figure, 'GI' stands for guard interval, which is the interval containing  
636 the cyclic prefix.

637 **6.6.3 Physical ACK / NACK frame**

638 The physical layer also supports an ACK/NACK frame, which consists of preamble and the  
639 FCH only. The frame structure of the ACK frame is shown in Figure 15 :



640  
641

642 **Figure 15 – Example of typical physical ACK / NACK frame structure (content of FCH is**  
643 **submitted to variation of the physical channel)**

644 The bit fields in the FCH explained in 6.6.5 will perform the ACK/NACK signalling.

645 **6.6.4 Preamble**

646 The preamble is composed of 8 identical P symbols and 1½ identical M symbols. SYNCp  
647 simply refers to symbols that are multiplied by +1 and SYNCm refers to symbols multiplied by  
648 -1. The Preamble consists of eight SYNCp symbols followed by one and a half SYNCm

649 symbols with no cyclic prefix between adjacent symbols. The first symbol includes raised  
 650 cosine shaping on the leading points. The last half symbol also includes raised cosine  
 651 shaping on the trailing points. Each of the P and M symbols contain 256 samples and they are  
 652 pre-stored in the transmitter and transmitted right before the data symbols. The P symbols are  
 653 used for AGC adaptation, symbol synchronization, channel estimation and initial phase  
 654 reference estimation. The M symbols are identical to P symbols except that all the carriers are  
 655  $\pi$  phase shifted.

656 At the receiver, the phase distance between symbol P and symbol M waveforms is used for  
 657 frame synchronization. A P symbol is generated by creating n equally spaced carriers with the  
 658 phase of each carrier given by the phase vector ( $\Phi_C$ ). The phase vector definition depends to  
 659 the number of carriers used. Clause 6.7 describes in detail the different values in case of  
 660 CENELEC A band.

661 One way to generate this signal is to start in the frequency domain and create n complex  
 662 carriers with the initial phase  $\Phi_C$  (where n depends to the number of carriers used).

### 663 6.6.5 Frame Control Header (FCH)

664 Immediately after the preamble pattern, the next data symbols are reserved for the frame  
 665 control header (FCH). Depending of the number of carriers used, the number of symbols  
 666 varies as defined in next clause.

667 The FCH is a data structure transmitted at the beginning of each data frame and contains  
 668 information regarding the current frame. It has information about the type of frame. Table 5  
 669 defines the fields of the FCH :

670

**Table 5 – FCH bit fields**

Field	Byte	Bit number	Bits	Definition
PDC	0	7-0	8	Phase detection counter see §6.9 AC phase detection
MOD	1	7-6	2	Modulation type: 0 – Robust, 1 – DBPSK, 2 – DQPSK, 3 – D8PSK
FL	1	5-0	6	The frame data length expressed in OFDM symbols (equal to FL*4)
TM[0:7]	2	7-0	8	TM[0:7] – Tone map see §6.7 for binding between tone map bits and carriers
TM[8]	3	7	1	TM[8] – Tone map
DT	3	6-4	3	Delimiter type: 000: Start of frame with no response expected; 001: Start of frame with response expected; 010: Positive acknowledgement (ACK) 011: Negative acknowledgement (NACK) 100-111: Reserved
FCCS	3	3-0	4	Frame Control Check Sequence (CRC5)
	4	7	1	

Field	Byte	Bit number	Bits	Definition
Total bits			33	

671 The FCH shall use the default tone map (all allowed subcarriers).

672 A 5-bit Frame Control Check Sequence (CRC5) is used for error detection in the FCH. The  
 673 CRC5 is computed as a function of the 28-bit sequence. It is calculated using the following  
 674 standard generator polynomial of degree 5:

675 
$$G(x) = x^5 + x^2 + 1$$

676 The CRC5 is the remainder of the division of the FCH polynomial by the generator polynomial.  
 677 For this calculation, the CRC should be initialized to all ones and the remainder of the division  
 678 should be inverted (XORed with 11111b). It should be noted that as the CRC5 is not as robust  
 679 as CRC8, guard bands may be used to make it more robust (such as using reserved values  
 680 and checking the validity of combination of received values).

681 NOTE Please note the packing of CRC5 in the FCH as the CRC MSB is packed on the 4th Byte. Please note that  
 682 the FCH scrambling is performed after the addition of CRC5.

683 **6.7 System fundamental parameters depending CENELEC bands**

684 **6.7.1 General specification applied to CENELEC bands**

685 PLC OFDM Type 2 supports the allowed frequencies defined in EN 50065-1.

686 The DBPSK, DQPSK and D8PSK modulation for each carrier makes the receiver design  
 687 significantly simpler since no tracking circuitry is required at the receiver for coherently  
 688 detecting the phase of each carrier. Instead, the phases of carriers in the adjacent symbol are  
 689 taken as reference for detecting the phases of the carriers in the current symbol.

690 There is potential to use this standard to support communication in frequencies up to 148,5  
 691 kHz. As a result, the sampling frequency at the transmitter and the receiver is selected to be  
 692 0,4 MHz in order to provide some margin above the Nyquist frequency for signal filtering in  
 693 the transmitter (for PSD shaping to remove the signal images) and at the receiver (for band  
 694 selection and signal enhancement).

695 The maximum number of carriers that can be used is selected to be 128, resulting in an IFFT  
 696 size of 256. This results in a frequency spacing between the OFDM carriers equal to  
 697 1,5625 kHz \* (Fs / N), where Fs is the sampling frequency and N is the IFFT size. Note that  
 698 imperfections such as sampling clock frequency variation may cause Inter Carrier Interference  
 699 (ICI). In practice, the ICI caused by a typical sampling frequency variation of about 2 % of the  
 700 frequency spacing is negligible. In other word, considering ±25 ppm sampling frequency  
 701 variation in the transmitter and receiver clocks, the drift of the carriers is approximately equal  
 702 to 8Hz that is approximately 0,5 % of the selected frequency spacing.

703 The system works in two different modes namely Normal and Robust modes.

704 In Normal mode, the FEC encoder is composed of a Reed-Solomon encoder (with parity 16  
 705 bytes) and a Convolutional encoder.

706 In Robust mode the FEC encoder is composed of a Reed-Solomon (parity 8 bytes) and a  
 707 Convolutional encoders followed by a Repetition Code (RC). The RC coder repeats each bit  
 708 four times making the system more robust to channel impairments. This of course will reduce  
 709 the throughput by about factor of 4.

710 The number of symbols in each PHY (Physical Layer) frame is selected based on two  
711 parameters, the required data rate and the required robustness.

712 Table 6 defines the parameter values applied to this standard in the case of CENELEC bands:

713 **Table 6 – Physical parameter values applied to CENELEC bands**

Number of FFT points	$N = 256$
Number of overlapped samples	$N_O = 8$
Number of cyclic prefix samples	$N_{CP} = 30$
Sampling frequency	$F_s = 0,4 \text{ MHz}$
Number of symbols in Preamble	$N_{pre} = 9,5$

714 The following clauses define specific parameters to be applied for each CENELEC band. As  
715 specified in EN 50065-1, the frequency band is divided as described in Table 7:

716 **Table 7 – CENELEC bands**

CENELEC band	Frequency range, kHz
A	$3 \leq f \leq 95$
B	$95 < f \leq 125$
C	$125 < f \leq 140$
D	$140 < f \leq 148,5$

717 **6.7.2 CENELEC A**

718 PLC OFDM Type 2 supports the portion between 35,9 kHz and 90,6 kHz of the CENELEC A  
719 band. An OFDM with DBPSK, DQPSK and D8PSK modulation schemes per carrier is selected  
720 to support up to 48 kbps data rate in normal mode of operation.

721 Considering the general parameter values defined in previous clause, the number of usable  
722 carriers is given in Table 8.

723 **Table 8 – CENELEC A – Number of carriers**

Band	Number of carriers	First carrier (kHz)	Last carrier (kHz)
CENELEC A	36	35,938	90,625

724 Due to the 36 carriers used for CENELEC A band, the phase vector definition of the preamble  
725 and the number of symbols of the FCH are conformant to Table 9 and Table 10:

726 **Table 9 – CENELEC A – Phase vector definition**

Carrier	$\phi_c$	Carrier	$\phi_c$	Carrier	$\phi_c$
0	$2(\pi/8)$	12	$1(\pi/8)$	24	$13(\pi/8)$
1	$1(\pi/8)$	13	$11(\pi/8)$	25	$2(\pi/8)$
2	0	14	$5(\pi/8)$	26	$6(\pi/8)$
3	$15(\pi/8)$	15	$14(\pi/8)$	27	$10(\pi/8)$
4	$14(\pi/8)$	16	$7(\pi/8)$	28	$13(\pi/8)$
5	$12(\pi/8)$	17	$15(\pi/8)$	29	0
6	$10(\pi/8)$	18	$7(\pi/8)$	30	$2(\pi/8)$
7	$7(\pi/8)$	19	$15(\pi/8)$	31	$3(\pi/8)$

Carrier	$\phi_c$	Carrier	$\phi_c$	Carrier	$\phi_c$
8	$3(\pi/8)$	20	$6(\pi/8)$	32	$5(\pi/8)$
9	$15(\pi/8)$	21	$13(\pi/8)$	33	$6(\pi/8)$
10	$11(\pi/8)$	22	$2(\pi/8)$	34	$7(\pi/8)$
11	$6(\pi/8)$	23	$8(\pi/8)$	35	$7(\pi/8)$

727

**Table 10 – CENELEC A - Number of FCH symbols**

	Number of FCH symbols, $N_{FCH}$
CENELEC A	13

728 Given the FCH data length is 33 bits, there are 13 FCH symbols required. This can be  
729 calculated using:

730 Number of FCH Symbols = ceiling  $((N_{FCH\_bits} + 6) \times 2 \times 6) / 36$  = ceiling  $((39 \times 2 \times 6) / 36)$  =  
731 13.

732 NOTE Ceiling(x) = is the smallest integer not less than x.

733 The number of symbols, Reed-Solomon block sizes, and data rate associated with 36 carriers  
734 is tabulated in Table 11 and Table 12. Table 13 shows the data rate including the data  
735 transmitted in FCH. To calculate the data rate, it is assumed that the packets are continuously  
736 transmitted with no inter-frame time gap.

737

**Table 11 – CENELEC A – RS block size for various modulations**

CENELEC A	RS block size (Out/In) per modulation type, bytes			
	D8PSK, P16 <sup>1)</sup>	DQPSK, P16 <sup>1)</sup>	DBPSK, P16 <sup>1)</sup>	Robust, P8 <sup>2)</sup>
12	(80/64)	(53/37)	(26/10)	N/A
20	(134/118)	(89/73)	(44/28)	N/A
32	(215/199)	(143/127)	(71/55)	N/A
40	N/A	(179/163)	(89/73)	(21/13)
52	N/A	(233/217)	(116/100)	(28/20)
56	N/A	(251/235)	(125/109)	(30/22)
112	N/A	N/A	(251/235)	(62/54)
252	N/A	N/A	N/A	(141/133)

<sup>1)</sup> P16 is Reed-Solomon with 16 bit parity  
<sup>2)</sup> P8 is Reed-Solomon with 8 bit parity  
 NOTE N/A means not applicable and the reason is that the corresponding number of symbols specified results in RS encoder block length that exceeds the maximum allowable limit of 255.

738

**Table 12 – CENELEC A – Data rate for various modulations (excluding FCH)**

CENELEC A	Data rate per modulation type, bps			
	D8PSK, P16 <sup>1)</sup>	DQPSK, P16 <sup>1)</sup>	DBPSK, P16 <sup>1)</sup>	Robust, P8 <sup>2)</sup>
12	21 829	12 103	3 271	N/
20	32 534	19 456	7 462	N/A
32	42 618	26 489	11 471	N/A
40	N/A	29 693	13 298	2 423
52	N/A	33 221	15 309	3 121

CENELEC A	Data rate per modulation type, bps			
	D8PSK, P16 <sup>1)</sup>	DQPSK, P16 <sup>1)</sup>	DBPSK, P16 <sup>1)</sup>	Robust, P8 <sup>2)</sup>
56	N/A	34 160	15 844	3 257
112	N/A	N/A	20 009	4 647
252	N/A	N/A	N/A	5 592
<sup>1)</sup> P16 is Reed-Solomon with 16 bit parity <sup>2)</sup> P8 is Reed-Solomon with 8 bit parity  NOTE N/A means not applicable and the reason is that the corresponding number of symbols specified results in RS encoder block length that exceeds the maximum allowable limit of 255.				

739 **Table 13 – CENELEC A – Data rate for various modulations (including FCH)**

CENELEC A	Data rate per modulation type, bps			
	D8PSK, P16 <sup>1)</sup>	DQPSK, P16 <sup>1)</sup>	DBPSK, P16 <sup>1)</sup>	Robust, P8 <sup>2)</sup>
12	23 235	13 453	4 620	N/A
20	33 672	20 556	8 562	N/A
32	43 501	27 349	12 332	N/A
40	N/A	30 445	14 049	3 192
52	N/A	33 853	15 941	3 765
56	N/A	34 759	16 444	3 867
112	N/A	N/A	20 360	5 002
252	N/A	N/A	N/A	5 765
<sup>1)</sup> P16 is Reed-Solomon with 16 bit parity <sup>2)</sup> P8 is Reed-Solomon with 8 bit parity  NOTE N/A means not applicable and the reason is that the corresponding number of symbols specified results in RS encoder block length that exceeds the maximum allowable limit of 255.				

740 The data rate is calculated based on the number of symbols per PHY frame ( $N_S$ ), the number  
 741 of carriers per symbol ( $N_{carr}$ ) and the number of parity bits added by FEC blocks.

742 As an example, consider the system in the CENELEC A band working in Robust mode with 40  
 743 symbols of data. The total number of bits carried by the whole physical frame is equal to:

744 
$$\text{Total\_No\_Bits} = N_S \times N_{carr} = 40 \times 36 = 1\,440 \text{ bits}$$

745 The number of bits required at the input of the Robust encoder is given by:

746 
$$\text{No\_Bits\_Robust} = 1\,440 \times \text{RobustRate} = 1\,440 \times \frac{1}{4} = 360 \text{ bits}$$

747 NOTE Due to the fact that the Robust mode reduces the throughput by about factor 4, the rate is also reduced by  
 748 about factor 4.

749 Considering the fact that the convolutional encoder has a rate equal to  $\frac{1}{2}$  ( $\text{CCRate} = \frac{1}{2}$ ) and  
 750 also consider adding  $\text{CCZeroTail} = 6$  bits of zeros to terminate the states of the encoder to all  
 751 zero states then the maximum number of symbols at the output of the Reed-Solomon encoder  
 752 ( $\text{MAXRS}_{\text{bytes}}$ ) is equal to:

753 
$$\text{MAXRSbytes} = \text{floor}((\text{No\_Bits\_Robust} \times \text{CCRate} - \text{CCZeroTail})/8) = \text{floor}((360 \times \frac{1}{2} - 6)/8) = 21$$

754 NOTE floor(x) is the largest integer not greater than x

755 According for eight symbols associated with the parity bits (in Robust mode), we obtain:

756 
$$\text{DataLength} = (21 - \text{ParityLength}) \times 8 = 104 \text{ bits}$$

757 These 104 bits are carried within the duration of a physical frame. The duration of a physical  
758 frame is calculated by the following formula:

759 
$$T_{\text{Frame}} = ((NS+N_{\text{FCH}}) \times (N_{\text{CP}} + N - N_{\text{O}}) + (N_{\text{pre}} \times N))/F_s$$

760 Where:

- 761 – NS is the number of symbols to transmit;
- 762 –  $N_{\text{pre}}$  is the number of symbols in the preamble;
- 763 – N is the FFT length;
- 764 –  $N_{\text{O}}$  is the number of samples overlapped at each side of one symbol;
- 765 –  $N_{\text{CP}}$  is the number of samples in the cyclic prefix;
- 766 –  $N_{\text{FCH}}$  is the number of symbols in the FCH;
- 767 –  $F_s$  is the sampling frequency (in Hz).

768 With the actual values of the parameters the physical frame duration is equal to:

769 
$$T_{\text{Frame}} = ((40+13) \times (30 + 256 - 8) + (9.5 \times 256))/ 400\,000 = 0,043 \text{ s.}$$

770 Therefore the data rate is:

771 
$$\text{Data rate} = 104 / 0.042 \sim 2,4 \text{ kbps.}$$

## 772 **6.8 Adaptive tone mapping & transmit power control**

### 773 **6.8.1 General**

774 PLC OFDM Type 2 shall estimate the SNR of the received signal sub-carriers (tones) and  
775 adaptively select the usable tones, the optimum modulation and coding type (including  
776 DBPSK, DQPSK, D8PSK and the Robust mode) to ensure reliable communication over the  
777 power line channel. It shall also specify what power level the remote transmitter shall use and  
778 what gain values it should apply for the various sections of the spectrum. The per-carrier  
779 quality measurement enables the system to adaptively avoid transmitting data on sub-carriers  
780 with poor quality. Using a tone map indexing system, where the index is passed from receiver  
781 to transmitter and vice versa, allows the receiver to adaptively select which sub-carriers will  
782 be used for transmission and which ones will be used to send dummy data that the receiver  
783 will ignore.

784 The goal of the adaptive tone mapping is to allow the PLC OFDM Type 2 receiver to achieve  
785 the greatest possible throughput under the given channel conditions between the transmitter  
786 and the receiver. In order to accomplish this goal, the receiver shall inform the remote  
787 transmitter which tones it should use to send data bits on, and which tones it should use to  
788 send dummy data bits that the receiver shall ignore. The receiver shall also inform the remote  
789 transmitter how much amplification or attenuation it should apply to each of the tones.

790 The transmitter may request the receiver to estimate a channel condition by setting the TMR  
791 bit of the Segment Control header of the MAC layer, as described in 7.3.3.2.2.

792 The receiver has to estimate this particular communication link between the two points and  
793 choose optimal PHY parameters. This information will be sent back to the originator as a



794 Tone Map Response. Note that for broadcast always the Robust mode will be used. The other  
795 modulation schemes are used for point-to-point or multi-point.

## 796 6.8.2 PN Modulating un-used subcarriers

797 The mapping function for DBPSK, DQPSK, D8PSK and Robust must obey the Tone Mask,  
798 thus carriers that are masked are not assigned phase symbols, and the amplitude is zero.  
799 When the modulation type is DBPSK, DQPSK or D8PSK the mapping function also obeys the  
800 Tone Map. When a carrier is encountered on which no information is to be transmitted, the  
801 mapping function substitutes a binary value from a Pseudo Noise (PN) sequence. The binary  
802 value shall be used as the value for both bits in the case of DQPSK and for 3 bits for D8PSK.

803 The PN sequence shall be generated using the same generator polynomial introduced in  
804 6.2.2. The bits in the PN sequence generator shall all be initialized to ones at the start of  
805 processing each frame and sequenced to the next value after every mapped, unmapped or  
806 masked carrier. The first value of the PN sequence (the output when all bits are initialized to  
807 ones) corresponds to carrier number “0” of the first OFDM symbol of each frame and the 37<sup>th</sup>  
808 value corresponds to carrier number “0” of the second OFDM symbol.

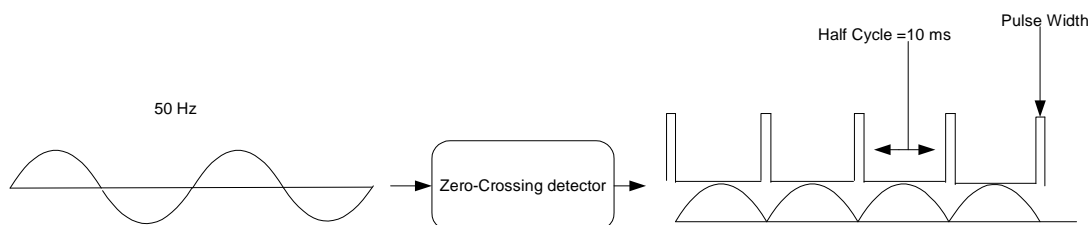
## 809 6.9 AC phase detection

810 This is a basic approach to detect AC phase associated to a device, however the optimal  
811 design would be dependent on the particular network topology. This information is mainly  
812 useful at system level in order to check for unexpected losses on the distribution line and  
813 must be stored locally.

814 Three phases on the mains are sinusoidal waveforms with a phase shift of 120° from each  
815 other where each half cycle is equal to 10 ms at 50 Hz and 8,3 ms at 60 Hz. A zero-crossing  
816 detector delivers an output pulse based on the signal transition through zero volt of a 50/60  
817 Hz sinusoidal on the power line, and is used to synchronize a Tx-device and an Rx-device.  
818 The Tx-device generates a time stamp based on an internal counter at the instant a packet  
819 shall be transmitted. The receiver provides its own time stamp, and the difference between  
820 the Tx-device and the Rx-device time stamps provides the phase difference. The procedure to  
821 achieve the phase difference between transmitter and receiver is as follows.

- 822 a) All devices shall have internal timers, which are synchronized to zero-crossing detector.
- 823 b) All devices shall have a zero-crossing detector that delivers an output pulse such that the  
824 pulse width is 5 % of the total period. The characteristic of the zero-crossing detector is  
825 shown in Figure 16:

826



827

828

**Figure 16 – Zero-crossing detector**

- 829 c) An eight bits counter provides a time stamp placed on the FCH frame upon transmission of  
830 payload.

- 831 d) Upon detection of the FCH frame, the receiver shall compute the delay, which is the  
832 difference between transmit counter (PDC) and receive counter. The phase differential  
833 shall be computed shown below.

834

e) 
$$\text{Phase differential} = (\text{Rx\_counter} - \text{Tx\_Counter}) / 3$$

835 Electromagnetic propagation time and additional delay for packet processing and detection  
836 shall be considered measuring delay. The electromagnetic propagation delay is 5,775  $\mu\text{s}/\text{km}$ ,  
837 which is negligible. However, a processing delay, comprising the `transmission_delay` and  
838 `detection_delay` shall be factored into the equation above as follows.

839 
$$\text{New\_Phase differential} = ((\text{Rx\_counter} - \text{detection\_delay}) - (\text{Tx\_Counter} -$$
  
840 
$$\text{transmission\_delay}))/3.$$

## 841 6.10 Transmitter electrical specifications

### 842 6.10.1 Output level measurement

843 PLC OFDM Type 2 transmitter output level shall be compliant with EN 50065-1. No part of the  
844 spectrum of the signal shall exceed 120 dB  $\mu\text{V}$ .

845 *The output level is measured with a peak detector (with 200 Hz bandwidth).*

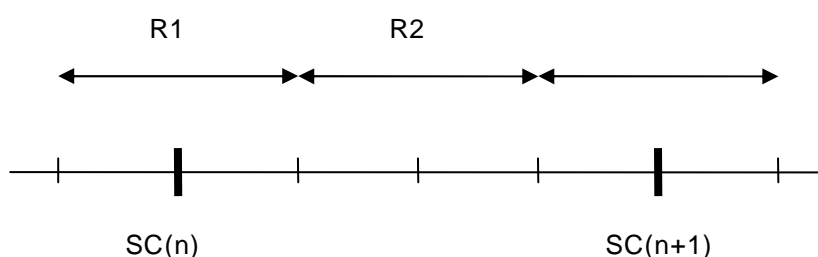
### 846 6.10.2 Transmit spectrum mask (frequency notching)

#### 847 6.10.2.1 General

848 PLC OFDM Type 2 PHY is provisioned to have programmable notches at certain frequencies  
849 in order to:

- 850 – Avoid certain frequencies that are reserved by power line regulatory bodies for other  
851 applications;
- 852 – Allow cohabitation with PLC S-FSK systems in compliance with IEC 61334-5-1;
- 853 – Allow cohabitation with other potential systems operating on power line.

854 Depending on the relative position of the required notch frequency to ensure cohabitation, a  
855 few sub-carriers are masked. No data is sent over the masked sub-carriers. According to  
856 Figure 17, if the notch frequency is in the R1 region, SC(n-1), SC(n) and SC(n+1) are masked  
857 (total three sub-carriers). If the notch frequency is in the R2 region the two nearest sub-  
858 carriers in either side (i.e. SC(n-1), SC(n), SC(n+1) and SC(n+2) ) are masked (a total of four  
859 sub-carriers).



860

861 **Figure 17 – Transmit spectrum mask**

862 The notching map should be a global parameter that is set in the initialization step of the  
863 devices. As described above, to provide sufficiently deep notches for a particular frequency  
864 band, it is required to zero one (or sometimes two) extra sub-carriers before and after that  
865 band, depending on the position of the notch with respect to the sub-carriers. The following  
866 pseudo code can be used for the decision between one/two extra sub-carriers.

867 `if NotchFreq / SamplingFreq * FFTSize is in R1`

868 `Sc(n-1) = Sc(n) = Sc(n+1) = 0;`

869 if NotchFreq / SamplingFreq \* FFTSize is in R2

870  $Sc(n-1) = Sc(n) = Sc(n+1) = Sc(n+2) = 0;$

871 Where, Sc is an array that determines which sub-carriers are used to transmit data (if Sc(i) is  
872 zero, no data is sent using that sub-carrier).

873 Frequency notching reduces the number of active tones that are used for transmitting  
874 information. Since notching is done for all the transmit signals, including the Frame Control  
875 (FC) data, the number of symbols in FC depends on the number of active carriers.

876 **6.10.2.2 Cohabitation with S-FSK systems**

877 In order to cohabit with S-FSK systems, the transmitter shall use an appropriate scheme to  
878 insert deep notches in the spectrum. In particular, the two frequencies referred to in the IEC  
879 61334-5-1 standard as mark and space frequencies  $f_M$  and  $f_S$ , shall be notched.

880 In order to have minimum effect on S-FSK, the OFDM modem working in CENELEC A band,  
881 shall not transmit any signal between S-FSK frequencies i.e. in 63 kHz to 74 kHz band. The  
882 notched subcarriers in this mode are shown in Table 14:

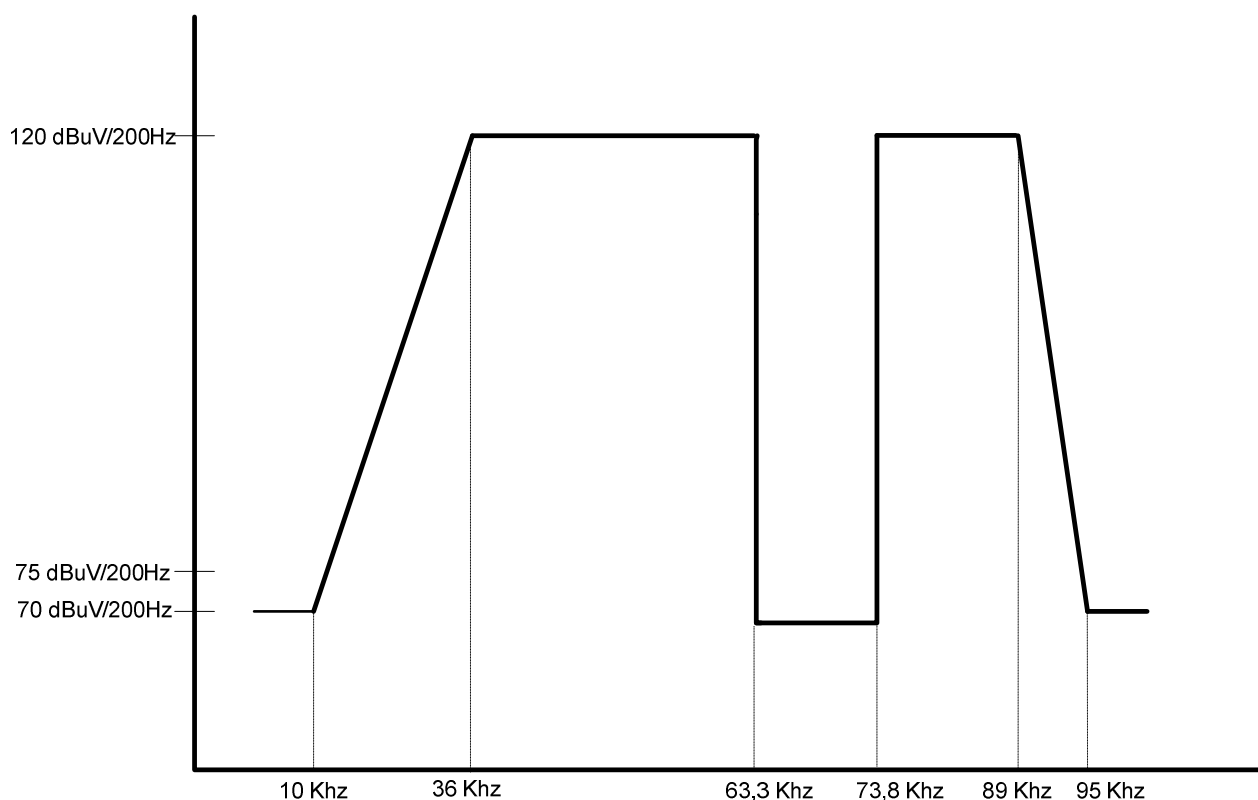
883 **Table 14 – Notched subcarriers in S-FSK cohabitation mode**

Sub-carrier number	Frequency of the sub-carrier
39	60,937 5
40	62,500 0
41	64,062 5
42	65,625 0
43	67,187 5
44	68,750 0
45	70,312 5
46	71,875 0
47	73,437 5
48	75,000 0
49	76,562 5

884 With this, 11 sub-carriers cannot transmit data. Considering the fact that there are a total of  
885 36 carriers available, 25 sub-carriers remain for data transmission, resulting in FC with 19  
886 OFDM symbols because:

887 Number of OFDM symbols = ceiling  $((33 + 6) * 2 * 6 / 25) = 19$ .

888 NOTE Ceiling(x) = is the smallest integer not less than x.



889  
890

891 **Figure 18 – Spectrum with two notches inserted to cohabitate with S-FSK PLC modem**

892 All devices shall use tone masking on the carriers specified in each S-FSK device in order to  
893 be compliant with the transmit spectrum mask shown in Figure 18. The transmitted power  
894 spectral density of notched frequency shall be 25 dB below the limits specified for the  
895 remaining sub-carriers.

896 *In order to verify compliance to the above requirement, measurements should be made using*  
897 *a spectrum analyzer with a resolution bandwidth set at 200 Hz and a quasi-peak detector. The*  
898 *transmitter shall be configured to repeatedly transmit frames with lengths equal to the*  
899 *maximum length allowed in normal mode.*

### 900 **6.10.3 Spurious transmission**

901 It is the obligation of the manufacturer to ensure that spurious transmissions conform to  
902 regulations in effect for the country in which this station is used.

#### 903 **6.10.3.1 System clock frequency tolerance**

904 The system clock tolerance shall be  $\pm 25$  ppm maximum. The transmit frequency and symbol  
905 timing shall be derived from the same system clock oscillator.

### 906 **6.10.4 Transmit constellation accuracy**

#### 907 **6.10.4.1 Transmit constellation error**

908 The relative constellation RMS error, averaged over all subcarriers in a symbol, and averaged  
909 over several OFDM symbols, shall not exceed -15 dB from the ideal signal RMS level.

910 **6.10.4.2 Transmit modulation accuracy test**

911 The transmit modulation accuracy test shall be performed by instrumentation capable of  
 912 converting the transmitted signal into a stream of samples, using at least the sampling rate  
 913 applicable for the CENELEC A band, with sufficient accuracy in terms of amplitude, DC  
 914 offsets, and phase noise. The sampled signal shall be processed in a manner similar to an  
 915 actual receiver, according to the following steps, or an equivalent procedure.

916 An example is provided below:

- 917 a) Pass a sequence of 88 bytes all ones, representing a 12-symbol QPSK frame, through an  
 918 ideal floating point *pseudo-transmitter*, for example using matlab, and save the complex  
 919 IFFT input for each of the 12 data symbols as  $A_{ic} \exp[j\Phi_{ic}]$ , where  $i$  is the symbol number  
 920 and  $c$  is the carrier number corresponding to that symbol. ' $i$ ' will have values between 0  
 921 and 11 while  $c$  will be between 0 and 35. The ideal sub-transmitter should include all the  
 922 transmitter blocks specified in this standard, including Scrambler, RS encoder,  
 923 convolutional encoder, interleaver and mapper.
- 924 b) Next, use the transmitter under test to generate the same frame using the bits specified in  
 925 step a).
- 926 c) Connect the test equipment that will simulate the receiver directly to the transmitter to  
 927 detect start of frame.
- 928 d) Save all 12 data symbols of the frame.
- 929 e) Offline, apply a floating-point FFT on each symbol and store the complex values as  
 930  $B_{ic} \exp[j\theta_{ic}]$  where  $i$  is the symbol number and  $c$  is the carrier number corresponding to that  
 931 symbol.
- 932 f) Compute the RMS error between the transmitted and ideal constellation points for each  
 933 symbol as the sum of the squared Euclidean distance between the two points over all the  
 934 carriers in the symbol:

935 
$$error\_rms_i = \sum_{c=0}^{35} abs\{A_{ic} * \exp[j\Phi_{ic}] - B_{ic} * \exp[j\Theta_{ic}]\}^2$$

936 Next compute the total RMS error as the sum of the RMS errors of the individual symbols:

937 
$$total\ error\ rms = \sum_{i=0}^{11} error\_rms_i$$

938 Compute the RMS of each transmitted symbol as:

939 
$$Tx\_rms_i = \sum_{c=0}^{35} A_{ic}^2$$

940 And the total RMS for all transmitted symbols as:

941 
$$total\ Tx\ rms = \sum_{i=0}^{11} Tx\_rms_i$$

942 g) Total error RMS should satisfy the following equation:

943 
$$20 * \log_{10} (total\ error\ rms / total\ Tx\ rms) < -15\ dB$$

944 **6.10.5 Transmitter Spectral Flatness**

945 No individual carrier shall have average power outside of the range +/- 2 dB with respect to  
 946 the average power in all of the carriers.

947 *The measurement shall be performed into 50Ω impedance.*

948 **6.11 Physical Layer Primitives**

949 **6.11.1 Data primitives**

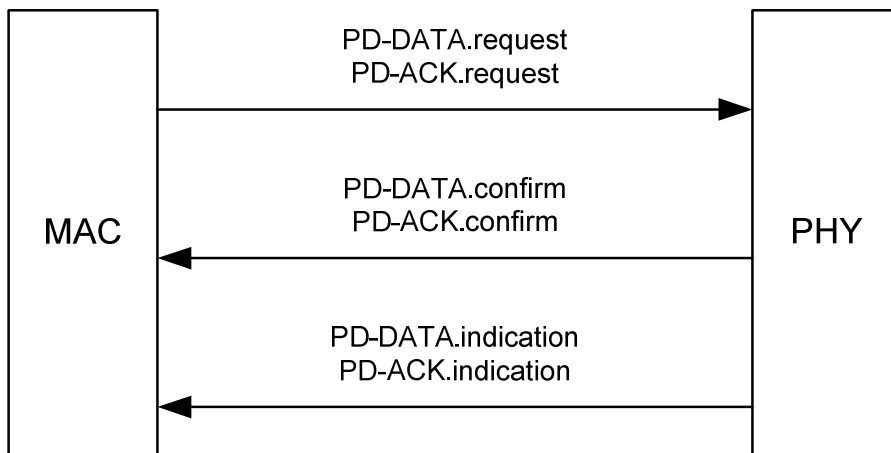
950 This clause describes the different data primitives accessible between the MAC and PHY layers.  
951

952 The transmission protocol between the MAC and the PHY layer shall be done as follow (see  
953 also Figure 19):

- 954 a) The receipt of the PD-DATA.request primitive by the PHY entity will cause the  
955 transmission of the supplied PSDU to be attempted;
- 956 b) The PHY will first construct a PPDU, containing the supplied PSDU, and then transmit the  
957 PPDU;
- 958 c) When the PHY entity has completed the transmission, it will issue the PD-DATA.confirm  
959 primitive with a status of SUCCESS.

960 NOTE 1 At PHY level, if a PD-DATA.request primitive is received while the receiver is enabled (RX\_ON  
961 state), the PHY entity will discard the PSDU and issue a PD-DATA.confirm primitive with a status of FAILED.

962 NOTE 2 At PHY level, if a PD-DATA.request primitive is received while the transmitter is already busy  
963 transmitting (BUSY\_TX state), the PHY entity will discard the PSDU and issue a PD-DATA.confirm primitive with a  
964 status of BUSY\_TX.



965

966 **Figure 19 – Data transmission flow (MAC → PHY)**

967 **6.11.1.1 PD-DATA.request**

968 The PD-DATA.request primitive is generated by a local MAC sublayer entity and issued to its  
969 PHY entity to request the transmission of an MPDU.

970 The semantics of the PD-DATA.request primitive is as follows:

```
971 PD-DATA.request (  
972     psduLength  
973     psdu  
974 )
```

975 Table 15 specifies the parameters for the PD-DATA.request primitive.



1001 FCH  
1002 )

1003 Table 18 specifies the parameter for the PD-ACK.request primitive.

1004 **Table 18 – PD-ACK.request primitive**

Name	Type	Valid Range	Description
FCH	Structure	See 6.6.5	MAC layer provides all Frame Control Header parameters to construct FCH frame for ACK.

1005 **6.11.1.5 PD-ACK.confirm**

1006 The PD-ACK.confirm confirms the end of the transmission of an ACK packet.

1007 The semantics of the PD-ACK.confirm primitive is as follows:

1008 PD-ACK.confirm (  
1009 Status  
1010 )

1011 Table 19 specifies the parameter for the PD-ACK.confirm primitive.

1012 **Table 19 – PD-ACK.confirm primitive**

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS (0), TXBUSY (1)	Confirm transmission of ACK frame.

1013 **6.11.1.6 PD-ACK.indication**

1014 The PD-ACK.indication primitive indicates the MAC entity reception of ACK frame from the  
1015 PHY.

1016 The semantics of the PD-ACK.indication primitive is as follows:

1017 PD-DATA.indication (  
1018 FCH  
1019 )

1020 Table 20 specifies the parameter for the PD-ACK.indication primitive.

1021 **Table 20 – PD-ACK.indication primitive**

Name	Type	Valid Range	Description
FCH	Structure	See 6.6.5	MAC layer receives all Frame Control Header parameters from PHY layer.

1022 **6.11.2 Management primitives**

1023 **6.11.2.1 General**

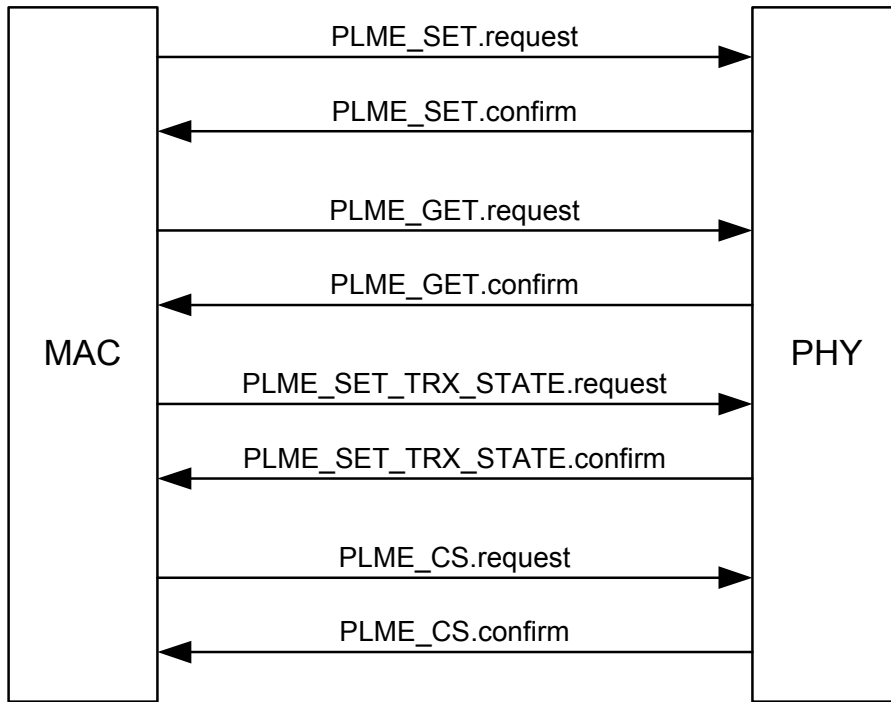
1024 This clause describes the different management primitives accessible between the MAC and  
1025 PHY layers.

1026 As shown in Figure 20, there are three types of management primitives, which are Get, Set  
1027 and Confirm used to initiate commands or retrieve data from Phy :

- 1028 • PLME-SET.request function configures PHY to initial specific function,



- 1029 • PLME-GET.request to retrieve specific parameters from PHY,
- 1030 • PLME-xxx.confirm reports the result of an action initiated by MAC.



1031

1032

**Figure 20 – Management Primitive flow**

1033 **6.11.2.2 PLME\_SET.request**

1034 The semantics of the PLME-SET.request primitive is as follows:

```

1035 PLME_SET.request (
1036     TXPower,
1037     AGCGain,
1038     ModulationType,
1039     ToneMap,
1040     PreEmphasis,
1041     ToneMask
1042 )
    
```

1043 Table 21 specifies the parameters for the PLME-SET.request primitive.

1044

**Table 21 – PLME-SET.request primitive**

Name	Type	Valid Range	Description
TXPower	Integer	0x00–0x20	MAC layer uses this primitive to notify PHY about the gain/power setting PHY has to use to transmit the next packet.
AGCGain	Integer	0x0–0x3F	MAC changes the AGC gain to a desired energy level.
ModulationType	Integer	0x0-0x2	Set the TX modulation scheme for the next transmitted frame.
ToneMap	Bitmap	-----	Tone map parameter. The value of 0 indicates to the remote transmitter that

Name	Type	Valid Range	Description
			dummy data should be transmitted on the corresponding sub-carrier while a value of 1 indicates that valid data should be transmitted on the corresponding sub-carrier.
PreEmphasis	Integer	0x00-0x1F	Specify transmit gain for each 10khz section of the available spectrum.
ToneMask	Bitmap	----	Tone Mask parameter. The value of 0 indicates tone is notched while, the value 1 indicates that tone is enabled.

1045 **6.11.2.3 PLME\_SET.confirm**

1046 PHY stores new parameters and returns new stored value back to MAC layer.

1047 The semantics of the PLME-SET.confirm primitive is as follows:

1048 PLME\_SET.confirm (  
 1049 TXPower,  
 1050 AGCGain,  
 1051 ModulationType,  
 1052 ToneMap,  
 1053 PreEmphasis,  
 1054 ToneMask  
 1055 )

1056 Table 22 specifies the parameters for the PLME\_SET.confirm primitive.

1057 **Table 22 – PLME-SET.confirm primitive**

Name	Type	Valid Range	Description
TXPower	Integer	0x00–0x20	Returns new stored value back to MAC layer
AGCGain	Integer	0x00–0x3F	Returns new stored value back to MAC layer (optional)
ModulationType	Integer	0x0-0x2	Returns new stored value back to MAC layer
ToneMap	Bitmap	-----	Returns new stored value back to MAC layer
PreEmphasis	Integer	0x00-0x1F	Returns new stored value back to MAC layer
ToneMask	Bitmap	-----	Returns new stored value back to MAC layer

1058 **6.11.2.4 PLME\_GET.request**

1059 The PLME-GET.request primitive requests PHY to get the parameters described in Table 58.

1060 The semantics of the PLME-GET.request primitive is as follows:

1061 PLME\_GET.request ( )

1062 **6.11.2.5 PLME\_GET.confirm**

1063 The semantics of the PLME-GET.confirm primitive is as follows:

1064 PLME\_SET.confirm (  
 1065 SNR  
 1066 CarrierSNR  
 1067 RXSensitivity  
 1068 ZCTDifferential

1069 )

1070 Table 23 specifies the parameters for the PLME-GET.confirm primitive.

1071 **Table 23 – PLME-GET.confirm primitive**

Name	Type	Valid Range	Description
SNR	Integer	0x00–0x1F	Channel SNR value in dB.
CarrierSNR	Array	0x00–0x1F for each carriers	SNR value per each carrier (in dB)
RX Sensitivity	Integer	0x0-0x1F	Receiver sensitivity (in dB)
ZCTDifferential	Integer	0x00–0xFF	PHY computes and provide time difference between local 50 Hz phase and remote end.

1072 **6.11.2.6 PLME\_SET\_TRX\_STATE.request**

1073 The PLME\_SET\_TRX\_STATE.request primitive requests PHY to change the state of the TX /  
1074 RX.

1075 The semantics of the PLME\_SET\_TRX\_STATE.request primitive is as follows:

```
1076 PLME_SET.TRX_STATE.request(  
1077     State  
1078 )
```

1079 Table 24 specifies the parameters for the PLME\_SET.TRX\_STATE.request primitive.

1080 **Table 24 – PLME\_SET.TRX\_STATE.request primitive**

Name	Type	Valid Range	Description
State	Enumeration	TXON_RXOFF	Transmission state: turns off the RX PHY when transmitting packets.
		TXOFF_RXON	Receive state: turns off the transmitter and enable RX to wait packets.

1081 **6.11.2.7 PLME\_SET\_TRX\_STATE.confirm**

1082 The PLME\_SET\_TRX\_STATE.confirm primitive confirms the changing PHY state.

1083 The semantics of the PLME\_SET\_TRX\_STATE.confirm primitive is as follows:

```
1084 PLME_SET_TRX_STATE.confirm(  
1085     Status  
1086 )
```

1087 Table 25 specifies the parameters for PLME\_SET\_TRX\_STATE.confirm primitive.

1088 **Table 25 – PLME\_SET.TRX\_STATE.confirm primitive**

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS, TXBUSY, RXBUSY	Confirm RX and TX are set or provide error message if TX or RX are busy.

1089 **6.11.2.8 PLME\_CS.request**

1090 The PLME-CS.request primitive requests PHY to get media status using carrier sense.

1091 The semantics of the PLME\_CS.request primitive is as follows:

1092 PLME\_CS.request ()

1093 **6.11.2.9 PLME\_CS.confirm**

1094 The PLME-CS.confirm primitive reports media status.

1095 The semantics of the PLME\_CS.confirm primitive is as follows:

1096 PLME\_CS.confirm (  
1097                    Status  
1098                    )

1099 Table 26 specifies the parameters for the PLME\_CS.confirm primitive.

1100 **Table 26 – PLME\_CS.confirm primitive**

Name	Type	Valid Range	Description
Status	Enumeration	IDLE, BUSY	Powerline media status

1101 **7 Data link layer specification**

1102 **7.1 Introduction**

1103 The PLC OFDM Type 2 data link layer specification comprises two sublayers:

- 1104 – The MAC sublayer based on IEEE 802.15.4; and
- 1105 – The Adaptation sublayer based on RFC 4944: Transmission of IPv6 Packets over IEEE  
1106 802.15.4 Networks (6LowPan).

1107 The present standard specifies the necessary selections from and extensions to these  
1108 standards.

1109 **7.2 Conventions**

1110 In the present section, the status of each requirement from the reference documents is given  
1111 using the following convention:

- 1112 – I = "Informative". The statements of the reference document are provided for information  
1113 only;
- 1114 – N = "Normative": The statements of the reference document apply without modifications or  
1115 remarks;
- 1116 – S = "Selection": The statements of the reference document apply with the selections  
1117 specified;
- 1118 – E = "Extension": The statements of the reference document apply with the extensions  
1119 specified;
- 1120 – N/R = "Not Relevant": The statements of the reference document do not apply. An  
1121 explanation may be given under the part title.

1122 **7.3 MAC sublayer specification**

1123 **7.3.1 MAC sublayer service specification (based on IEEE 802.15.4 clause 7.1)**

1124 **7.3.1.1 Selections from IEEE 802.15.4 clause 7.1: MAC sublayer service specification**

1125 The MAC sublayer service specification as described in clause 7.1 of IEEE 802.15.4-2006  
1126 applies, with the selections specified in Table 27.

1127

**Table 27 – Selections from IEEE 802.15.4 clause 7.1**

Clause	Title & remarks/modifications	Statement
7.1	MAC sublayer service specification	N
7.1.1	MAC data service - MCPS-PURGE primitives are not used in this specification.	S
7.1.1.1	MCPS-DATA.request	N
7.1.1.1.1	Semantics of the service primitive - Extension: Additional QualityOfService parameter: see 7.3.1.2. - Only non beacon-enabled PAN is used; - Bit b2 of TxOptions parameter must always be 0 See Annex E of the present document for complete semantics description of this primitive.	S, E
7.1.1.1.2	Appropriate usage	N
7.1.1.1.3	Effect on receipt - GTS transmission is not used; - Only unslotted CSMA-CA for nonbeacon-enabled PAN is used; - Indirect transmission is not supported	S
7.1.1.2	MCPS-DATA.confirm	N
7.1.1.2.1	Semantics of the service primitive	N
7.1.1.2.2	When generated	N
7.1.1.2.3	Appropriate usage	N
7.1.1.3	MCPS-DATA.indication	N
7.1.1.3.1	Semantics of the service primitive - Extension: Additional QualityOfService parameter: see clause 7.3.1.2. See Annex E of the present document for complete semantics description of this primitive.	S, E
7.1.1.3.2	When generated	N
7.1.1.3.3	Appropriate usage	N
7.1.1.4	MCPS-PURGE.request - MCSP-PURGE.request is not handled in the present specification.	N/R
7.1.1.5	MCPS-PURGE.confirm - MCSP-PURGE.confirm is not handled in the present specification.	N/R
7.1.1.6	Data service message sequence chart	N
7.1.2	MAC management service	N
7.1.3	Association primitives	N/R
7.1.3.1	MLME-ASSOCIATE.request - MLME-ASSOCIATE.request is not used in this specification. Association is performed by the 6LoWPAN Bootstrap Protocol described in clause 7.4.5 of the present document.	N/R
7.1.3.2	MLME-ASSOCIATE.indication - MLME-ASSOCIATE.indication is not used in this specification. Association is performed by the 6LoWPAN Bootstrap Protocol described in clause 7.4.5 of the present document.	N/R
7.1.3.3	MLME-ASSOCIATE.response - MLME-ASSOCIATE.response is not used in this specification. Association is performed by the 6LoWPAN Bootstrap Protocol described in clause 7.4.5 of the present document.	N/R
7.1.3.4	MLME-ASSOCIATE.confirm - MLME-ASSOCIATE.confirm is not used in this specification. Association is	N/R

Clause	Title & remarks/modifications	Statement
	performed by the 6LoWPAN Bootstrap Protocol described in clause 7.4.5 of the present document.	
7.1.3.5	Association message sequence chart - The association message sequence chart described in figure 31 must be ignored for this specification, as association is performed using the bootstrap mechanism described in clause 7.4.5 of the present document.	N/R
7.1.4	Disassociation primitive	N/R
7.1.4.1	MLME-DISASSOCIATE.request - MLME-DISASSOCIATE.request is not used in this specification. Disassociation is performed by the 6LoWPAN Bootstrap Protocol described in clause 7.4.5 of the present document.	N/R
7.1.4.2	MLME-DISASSOCIATE.indication - MLME-DISASSOCIATE.indication is not used in this specification. Disassociation is performed by the 6LoWPAN Bootstrap Protocol described in clause 7.4.5 of the present document.	N/R
7.1.4.3	MLME-DISASSOCIATE.confirm - MLME-DISASSOCIATE.confirm is not used in this specification. Disassociation is performed by the 6LoWPAN Bootstrap Protocol described in clause 7.4.5 of the present document.	N/R
7.1.4.4	Disassociation message sequence chart - The disassociation message sequence chart described in figure 31 must be ignored for this specification, as disassociation is performed using the bootstrap mechanism described in clause 7.4.5 of the present document.	N/R
7.1.5	Beacon notification primitive	N/R
7.1.5.1	MLME-BEACON-NOTIFY.indication - Only nonbeacon-enabled PANs are used. - This primitive is generated upon reception of a beacon during an active scan	S
7.1.6	Primitives for reading PIB attributes	N
7.1.6.1	MLME-GET.request	N
7.1.6.1.1	Semantics of the service primitive	N
7.1.6.1.2	Appropriate usage	N
7.1.6.1.3	Effect on receipt	N
7.1.6.2	MLME-GET.confirm	N
7.1.6.2.1	Semantics of the service primitive	N
7.1.6.2.2	When generated	N
7.1.6.2.3	Appropriate usage	N
7.1.7	GTS management primitives - GTS are not used in the present specification	N/R
7.1.8	Primitives for orphan notification - Beacon synchronization is not used in the present specification	N/R
7.1.9	Primitives for resetting the MAC sublayer	N
7.1.9.1	MLME-RESET.request	N
7.1.9.1.1	Semantics of the service primitive	N
7.1.9.1.2	Appropriate usage	N
7.1.9.1.3	Effect on receipt	N
7.1.9.2	MLME-RESET.confirm	N
7.1.9.2.1	Semantics of the service primitive	N
7.1.9.2.2	When generated	N
7.1.9.2.3	Appropriate usage	N

Clause	Title & remarks/modifications	Statement
7.1.10	Primitives for specifying the receiver enable time - The primitives for specifying the receiver enable time are not used in the present application of the norm. The receiver is always enabled	N/R
7.1.11	Primitives for channel scanning	N
7.1.11.1	MLME-SCAN.request	N
7.1.11.1.1	Semantics of the service primitive - The only supported values for the ScanType parameter is 0x01 for active scan. - The ScanChannels parameter is not used, and all of its 27 bits must be set to 0. - The ChannelPage parameter is not used and must always be set to 0. - The SecurityLevel must be 0. Thus the KeyIdMode, KeyIndex and KeySource parameters can be ignored and set to 0.	S
7.1.11.1.2	Appropriate usage - Only active scan is supported - ED scans, passive scans and orphan scans are not used. All devices must be capable of performing active scans.	S
7.1.11.1.3	Effect on receipt - Only active scan is supported, - ED scan, passive scan and orphan scan are not supported, - There is no physical channel notion during the scans, as the underlying PHY layer does not support multiple channels.	S
7.1.11.2	MLME-SCAN.confirm	N
7.1.11.2.1	Semantics of the service primitive - The only supported values for the ScanType parameter is 0x01 for active scan. - The UnscannedChannels parameter is not used, and all of its 27 bits must be set to 0. - The ChannelPage parameter is not used and must always be set to 0. - The EnergyDetectList parameter is not used, and must always be null.	S
7.1.11.2.2	When generated - Only active scan is supported - ED scan, passive scan and orphan scan are not supported.	S
7.1.11.2.3	Appropriate usage	N
7.1.11.3	Channel scan message sequence chart - Figure 79 must be ignored (ED scan not supported) - Figure 82 must be ignored (passive scan not supported) - Figure 86 must be ignored (orphan scan not supported) - Active scan message sequence chart is specified in clause 7.4.5.2.2 of the present document, and replaces figure 83 of the reference document.	S
7.1.12	Communication status primitive	N
7.1.12.1	MLME-COMM-STATUS.indication	N
7.1.12.1.1	Semantics of the service primitive - Valid values for the status parameters are: SUCCESS, CHANNEL_ACCESS_FAILURE, NO_ACK, COUNTER_ERROR, FRAME_TOO_LONG, IMPROPER_KEY_TYPE, IMPROPER_SECURITY_LEVEL, SECURITY_ERROR, UNAVAILABLE_KEY, UNSUPPORTED_LEGACY, UNSUPPORTED_SECURITY or INVALID_PARAMETER	S
7.1.12.1.2	When generated - This primitive is not used to notify the upper layer about association, disassociation, indirect transmission and transactions management	S
7.1.12.1.3	Appropriate usage	N

Clause	Title & remarks/modifications	Statement
7.1.13	Primitives for writing PIB attributes	N
7.1.13.1	MLME-SET.request	N
7.1.13.1.1	Semantics of the service primitive	N
7.1.13.1.2	Appropriate usage	N
7.1.13.1.3	Effect on receipt	N
7.1.13.2	MLME-SET.confirm	N
7.1.13.2.1	Semantics of the service primitive	N
7.1.13.2.2	When generated	N
7.1.13.2.3	Appropriate usage	N
7.1.14	Primitives for updating the superframe configuration - This primitive is only used on the PAN coordinator in case of network formation (see clause 7.5.1 of the present document).	S
7.1.14.1	MLME-START.request - This primitive is only used to initiate a new PAN.	S
7.1.14.1.1	Semantics of the service primitive - Primitive parameters must be set as described in clause 7.5.1 of the present document.	S
7.1.14.1.2	Appropriate usage	N
7.1.14.1.3	Effect on receipt - Primitive parameters must be set as described in clause 7.5.1 of the present document.	S
7.1.14.2	MLME-START.confirm	N
7.1.14.2.1	Semantics of the service primitive	N
7.1.14.2.2	When generated	N
7.1.14.2.3	Appropriate usage	N
7.1.14.3	Message sequence chart for updating the superframe configuration - Figure 38 must be ignored.	N/R
7.1.15	Primitives for synchronizing with a coordinator - This part is used to inform the upper layers in case of a PAN ID conflict or PAN realignment.	S
7.1.15.1	MLME-SYNC.request	N/R
7.1.15.2	MLME-SYNC-LOSS.indication - PAN ID conflict detection is performed by the 6LoWPAN Bootstrap Protocol as described in clause 7.5.2 of the present document.	N/R
7.1.15.3	Message sequence chart for synchronizing with a coordinator - Synchronization with beacons is not used in the present specification	N/R
7.1.16	Primitives for requesting data from a coordinator - Indirect transmission and transactions are not supported by the present specification	N/R
7.1.17	MAC enumeration description	N

1128 **7.3.1.2 Extensions to IEEE 802.15.4 clause 7.1: additional QualityOfService**  
1129 **parameter**

1130 As shown in Table 28, the Quality of Service (QOS) parameter defines the level of priority  
1131 assigned to the MSDU to be transmitted. The Annex D defines the priority mechanism of the  
1132 PLC OFDM Type 2.



1133

**Table 28 – QualityOfService parameter definition**

Name	Type	Valid range	Description
QualityOfService	Integer	0x00 – 0x02	The QoS (Quality of Service) parameter of the MSDU to be transmitted by the MAC sublayer entity. This value can take one of the following values: 0 = Normal priority, 1 = High priority, 2 = Contention free

1134 **7.3.2 MAC frame formats (based on IEEE 802.15.4 clause 7.2)**

1135 **7.3.2.1 Selections from IEEE 802.15.4 clause 7.2: MAC frame formats**

1136 The MAC frame formats as described in clause 7.2 of IEEE 802.15.4-2006 apply, with the  
1137 selections specified in Table 29.

1138

**Table 29 – Selections from clause 7.2 of the IEEE 802.15.4**

Clause	Title & remarks/modifications	Statement
7.2	MAC frame formats	N
7.2.1	General MAC frame format - Segment Control fields are added to MHR (see 7.3.2.2) - Detailed description of the Segment Control fields is shown in Table 31.	E
7.2.1.1	Frame control field	N
7.2.1.1.1	Frame type subfield - The present specification does not use acknowledgement frame type value. - The detailed ACK implementation is described in clause 5 and annex Annex F of the present document. An acknowledgment can be sent by invoking the PD-ACK.request primitive (see 6.11.1.1).	S
7.2.1.1.2	Security Enabled subfield	N
7.2.1.1.3	Frame Pending subfield - Indirect transmission is not supported, so this bit must always be set to 0.	S
7.2.1.1.4	Acknowledgement Request subfield - The present specification translates the Acknowledgment Request subfield to the proper delimiter type of frame control header. - The detailed ACK implementation is described in clause 5 and annex Annex F of the present document. An acknowledgement can be sent by invoking the PD-ACK.request primitive (see 6.11.1.1).	S
7.2.1.1.5	PAN ID compression subfield	N
7.2.1.1.6	Destination Addressing Mode subfield	N
7.2.1.1.7	Frame Version subfield - These 2 bits are reserved for future use. In this version of the specification they must be set to 0.	S
7.2.1.1.8	Source Addressing Mode subfield	N
7.2.1.2	Sequence Number field	N
7.2.1.3	Destination PAN Identifier field	N
7.2.1.4	Destination Address field	N
7.2.1.5	Source PAN Identifier field	N
7.2.1.6	Source Address field	N
7.2.1.7	Auxiliary Security Header field - Possible lengths for the Auxiliary Security Header are 0 and 5 bytes (see clause 8)	S

Clause	Title & remarks/modifications	Statement
7.2.1.8	Frame Payload field	N
7.2.1.9	FCS field	N
7.2.2	Format of individual frame types	N
7.2.2.1	Beacon frame format	N
7.2.2.1.1	Beacon frame MHR fields	N
7.2.2.1.2	Superframe Specification field - Beacons are not transmitted at regular time intervals (beaconless network). Therefore the Beacon Order parameter of the Superframe Specification field is not used and must always be set to 0. - The receiver is active all the time when not transmitting. Therefore the Superframe Order parameter of the Superframe Specification field is not used and must always be set to 0. - No superframe structure is used for communication, so the Final CAP Slot parameter of the Superframe Specification field is not used and must always be set to 0. - Devices will not be operating on batteries, so the Battery Life Extension subfield of the Superframe Specification field is not used and must always be set to 0. - Within the framework of the present standard, the association is performed by the 6LoWPAN Bootstrap Protocol in the upper layer, so the Association Permit parameter of the Superframe Specification field is meaningless here, and should always be set to 1. If another profile is used, this field should be set as described in clause 7.2.2.1.2 of IEEE 802.15.4.	S
7.2.2.1.3	GTS Specification field - The GTS Descriptor Count must always be set to 0 (GTS are not supported). - The PAN coordinator never accepts GTS request, therefore the GTS Permit parameter of the GTS Specification field must always be set to 0.	S
7.2.2.1.4	GTS Direction field - The GTS feature is not used, and the GTS Direction field must not be present in the frame	N/R
7.2.2.1.5	GTS List field - The GTS feature is not used, and considering the values of the GTS specification field described in clause 7.2.2.1.3 of the IEEE 802.15.4, this list must be empty	N/R
7.2.2.1.6	Pending Address specification field - Indirect transmission is not supported in this specification. Consequently, the Number of Short Addresses Pending is always 0, and Number of Extended Addresses Pending is also 0.	S
7.2.2.1.7	Address List field - Indirect transmission is not used, and this field must not be present in beacons.	N/R
7.2.2.1.8	Beacon Payload field - In the current version of this specification, the beacon payload field is empty.	S
7.2.2.2	Data frame format	N
7.2.2.2.1	Data frame MHR fields	N
7.2.2.2.2	Data payload field	N
7.2.2.3	Acknowledgement frame format - Acknowledgement frame format described in clause 7.2.2.3 of IEEE 802.15.4 is not relevant. - The detailed ACK implementation is described in clause 5 and annex Annex F of the present document. An acknowledgement can be sent by invoking the PD-ACK.request primitive (see 6.11.1.1).	S
7.2.2.4	MAC command frame format	N
7.2.2.4.1	MAC command frame MHR fields	N

Clause	Title & remarks/modifications	Statement
7.2.2.4.2	Command Frame Identifier field	N
7.2.2.4.3	Command Payload field	N
7.2.3	Frame compatibility - The use of the Frame Version subfield is reserved.	N/R

1139 **7.3.2.2 Extensions to IEEE 802.15.4 clause 7.2: MAC frame formats**

1140 Table 30 and Table 31 define the Segment Control field added in the MAC Header (MHR)  
1141 specified in IEEE 802.15.4 clause 7.2.

1142 **Table 30 – General MAC frame format**

Octets: 3	2	1	0/2	0/2/8	0/2	0/2/8	0/5/6/10	Variable	2
Segment Control	Frame Control	Sequence Number	Destination PAN	Destination Address	Source PAN	Source Address	Auxiliary Security Header	Frame payload	FCS
MHR								MAC payload	MFR

1143 **Table 31 – Segment control fields**

Field	Byte	Bit number	Bits	Definition
RES	0	7-4	4	Reserved
TMR	0	3	1	Tone map request 1: Tone map is requested 0: Tone map is not requested
CC	0	2	1	Contention Control: 0: contention is allowed in next contention state 1: contention free access
CAP	0	1	1	Channel access priority: 0: Normal 1: High
LSF	0	0	1	Last Segment Flag 0: Not last segment 1: Last segment
SC	1	7-2	6	Segment Count
SL[9-8]	1	1-0	2	Segment Length of MAC frame
SL[7-0]	2	7-0	8	Segment Length of MAC frame

1144 **7.3.3 MAC command frames (based on IEEE 802.15.4 clause 7.3)**

1145 **7.3.3.1 Selections from IEEE 802.15.4 clause 7.3: MAC command frames**

1146 The MAC frame formats as described in clause 7.3 of IEEE 802.15.4-2006 apply, with the  
1147 selections specified in Table 32.

1148 **Table 32 – Selections from clause 7.3 of the IEEE 802.15.4**

Clause	Title & remarks/modifications	Statement
7.3	MAC command frames - All devices are Full Function Devices - The supported command list is defined in 7.3.3.2.1	S, E

Clause	Title & remarks/modifications	Statement
7.3.1	Association request command - Within the framework of the present standard, association is performed by the 6LoWPAN Bootstrap protocol described in clause 7.4.5.2.2 of the present document, so the clause 7.3.1 of IEEE 802.15.4 is not relevant.	N/R
7.3.2	Association response command - Within the framework of the present standard, association is performed by the 6LoWPAN Bootstrap protocol described in clause 7.4.5.2.2 of the present document, so the clause 7.3.2 of IEEE 802.15.4 is not relevant.	N/R
7.3.3	Disassociation Notification command - Within the framework of the present standard, association is performed by the 6LoWPAN Bootstrap protocol described in clause 7.4.5.2.2 of the present document, so the clause 7.3.2 of IEEE 802.15.4 is not relevant.	N/R
7.3.4	Data Request command	N/R
7.3.5	PAN ID Conflict Notification command - PAN ID Conflict Notification is performed by the adaptation layer, see 7.5.2.	N/R
7.3.6	Orphan notification command - Orphan notification is not used in the present specification	N/R
7.3.7	Beacon request command - This command must be implemented in every device	S
7.3.8	Coordinator realignment command - The coordinator realignment command is not used in the present notification	N/R
7.3.9	GTS request command - GTS are not used in the present specification	N/R

1149 **7.3.3.2 Extensions to IEEE 802.15.4 clause 7.3: MAC command frames**

1150 **7.3.3.2.1 MAC command frames supported**

1151 The present standard supports the MAC command frames described in Table 33:

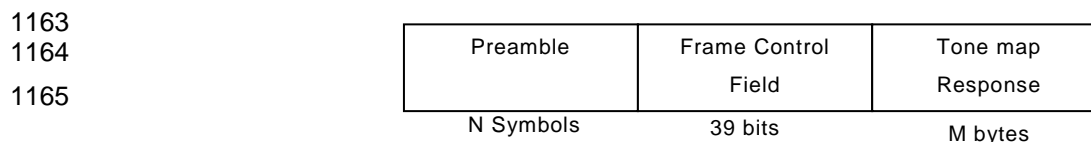
1152 **Table 33 – MAC command frames supported**

Command frame identifier	Command name	Sub-clause
0x07	Beacon request	See clause 7.3.7 of IEEE 802.15.4
0x0a	Tone map response	See clause 7.3.3.2.2

1153 **7.3.3.2.2 The Tone Map Response**

1154 The MAC sublayer generates Tone Map Response command if Tone Map Request (TMR) bit  
1155 of received packet Segment Control field is set. It means that a packet originator requested  
1156 tone map information from destination device. The destination device has to estimate this  
1157 particular communication link between two points and choose optimal PHY parameters. The  
1158 tone map information includes the index associated with PHY parameters: number of used  
1159 tones and allocation (Tone Map), modulation mode and TX power control parameters. The  
1160 *Tone Map Response* message parameters are described in table below.

1161 Figure 21 shows the format of the *Tone Map Response* message that is sent from the receiver  
1162 back to the transmitter requesting the channel estimation.



1166

1167

1168

**Figure 21 – Frame structure of a Tone Map Response message**

1169 The channel estimation response command frame must be formatted as illustrated in Table  
1170 34:

1171

**Table 34 – Tone map response format**

<b>Octets:</b> <b>(see clause 7.2.2.4 of IEEE 802.15.4)</b>	<b>1</b>	<b>7 (for CENELEC)</b>
MHR fields	Command frame identifier (see Table 35)	Tone Map response payload (see Table 35)

1172 The Tone Map Response message parameters are shown in Table 35.

1173

**Table 35 – Tone Map Response message description for CENELEC A band**

Field	Byte	Bit number	Bits	Definition
TXRES	0	7	1	Tx Gain resolution corresponding to one gain step. 0 : 6 dB 1 : 3 dB
TXGAIN	0	6-3	4	Desired Transmitter gain specifying how many gain steps are requested.
MOD	0	2-1	2	Modulation type: 0 – Robust; 1 – DBPSK 2 – DQPSK 3 – D8PSK
TM[8]	0	0	1	Tone Map [8]
TM[0:7]	1	7-0	8	Tone Map [0:7]
LQI	2	7-0	8	Link Quality Indicator
TXCOEF[3:0]	3	7-4	4	Specifies number of gain steps requested for 10kHz-20kHz spectrum (optional)
TXCOEF[7:4]	3	3-0	4	Specifies number of gain steps requested for 20kHz-30kHz spectrum (optional)
TXCOEF[11:8]	4	7-4	4	Specifies number of gain steps requested for 30kHz-40kHz spectrum (optional)
TXCOEF[15:12]	4	3-0	4	Specifies number of gain steps requested for 40kHz-50kHz spectrum (optional)
TXCOEF[19:16]	5	7-4	4	Specifies number of gain steps requested for 50kHz-60kHz spectrum (optional)
TXCOEF[23:20]	5	3-0	4	Specifies number of gain steps requested for 60kHz-70kHz spectrum (optional)
TXCOEF[27:24]	6	7-4	4	Specifies number of gain steps requested for 70kHz-80kHz spectrum (optional)
TXCOEF[31:28]	6	3-0	4	Specifies number of gain steps requested for 80kHz-90kHz spectrum (optional)

1174 Where:

- 1175 – MOD: Parameter that specifies the desired modulation type. The receiver computes the  
1176 SNR of the *Tone Map Request* message that it receives from the transmitter and it decides  
1177 which of the three modulation modes (DBPSK, DQPSK, D8PSK or Robust) it wants the  
1178 transmitter to use when sending next data frame or *Tone Map Request* message. Table 36  
1179 lists the allowed bit values and the modulation modes they correspond to;

1180

**Table 36 – Modulation Method Field**

MOD Value	Interpretation
00	Robust Modulation
01	DBPSK Modulation
10	DQPSK Modulation
11	D8PSK Modulation

1181 – TXRES: Parameter that specifies the transmit gain resolution corresponding to one gain  
 1182 step;

1183 – TXGAIN: Parameter that specifies to the transmitter the total amount of gain that it should  
 1184 apply to its transmitted signal. The value in this parameter shall specify the total number  
 1185 of gain steps needed. The receiver computes the received signal level and compares it to  
 1186 a VTARGET (pre-defined desired receive level). The difference in dB between the two  
 1187 values is mapped to a 5-bit value that specifies the amount of gain increase or decrease  
 1188 that the transmitter shall apply to the next frame to be transmitted. A “0” in the most  
 1189 significant bit indicates a positive gain value, hence an increase in the transmitter gain  
 1190 and a 1 indicates a negative gain value, hence a decrease in the transmitter gains. A  
 1191 value of TXGAIN = 0 informs the transmitter to use the same gain value it used for  
 1192 previous frame (Default value);

1193 – TM: Parameter that specifies the Tone Map. The receiver estimates the per-tone quality of  
 1194 the channel and maps each sub-band (6 tones per sub-band) to a one-bit value where a  
 1195 value of 0 indicates to the remote transmitter that dummy data should be transmitted on  
 1196 the corresponding sub-carrier while a value of “1” indicates that valid data should be  
 1197 transmitted on the corresponding sub-carrier;

1198 – TXCOEF (optional): Parameter that specifies transmitter gain for each 10 kHz section of  
 1199 the available spectrum. The receiver measures the frequency-dependent attenuation of  
 1200 the channel and may request the transmitter to compensate for this attenuation by  
 1201 increasing the transmit power on sections of the spectrum that are experiencing  
 1202 attenuation in order to equalize the received signal. Each 10 kHz section is mapped to a  
 1203 4-bit value where a “0” in the most significant bit indicates a positive gain value, hence an  
 1204 increase in the transmitter gain is requested for that section, and a “1” indicates a  
 1205 negative gain value, hence a decrease in the transmitter gain is requested for that section.  
 1206 Implementing this feature is optional and it is intended for frequency selective channels. If  
 1207 this feature is not implemented, the value zero should be used.

1208 On reception of a Tone Map Response command frame, the MAC sublayer updates the  
 1209 neighbour table with the corresponding Tone Map and communication parameters for that  
 1210 device. If no entry already exists in the table for that device a new entry should be added,  
 1211 based on implementation dependant limitations. The neighbour table is defined in Table 42.

1212 The following procedure must be used to perform the adaptive tone mapping function:

1213 a) When a station is ready to transmit data it will first check if the neighbour table already  
 1214 has a record related to the destination device address. If the record don't exists or aged  
 1215 (Age counter is 0), the MAC sublayer sets the TMR bit of outgoing packet Segment  
 1216 Control field and requests new Tone Map information. In this case the MAC data should  
 1217 be sent in Robust mode;

1218 b) If a neighbour table record exists and it is not aged the MAC sublayer does not need to  
 1219 send Tone Map Request message. In this case, the MAC sublayer uses information from  
 1220 the neighbour table to properly configure physical TX in transmitting mode and construct  
 1221 Frame Control Header (FCH) of the outgoing frame;

1222 c) When the destination station receives a data frame it shall check the Tone Map Request  
 1223 bit in the Segment Control field. If the bit is set, the destination station must measure the  
 1224 per-carrier quality of the channel, construct and send a Tone Map Response message  
 1225 back to the originator station. The destination station must not send a Tone Map  
 1226 Response message if the Tone Map Request bit is not set. The Tone Map Response  
 1227 message shall always be transmitted using default Robust modulation. The destination  
 1228 device uses parameters from the Frame Control Header to decode the MAC data fields;

- 1229 d) The destination station must attempt to send a Tone Map Response message as soon as  
1230 possible after receiving a Tone Map Request message from the source station;
- 1231 e) If the source station receives a Tone Map Response message, it will update a neighbour  
1232 table record related to the destination address with new Tone Map, modulation and TX  
1233 gain parameters. If the record doesn't exist, the MAC sublayer will create a new one. The  
1234 Age counter should be set to defined value (see clause 7.3.4). After receiving a Tone Map  
1235 Response message, a device shall begin to use the updated neighbour table information  
1236 for all transmissions to the associated destination until the Age counter will reach the  
1237 value "0";
- 1238 f) If the source station does not receive a Tone Map Response message after transmitting a  
1239 Tone Map Request message to a certain destination, it must set the Tone Map Request  
1240 bit in the Segment Control of the next MAC data frame that it wants to transmit to the  
1241 same destination. In other words, the MAC sublayer will continue to transmit a Tone Map  
1242 Request message to the same destination;
- 1243 g) The MAC sublayer shall not send a Tone Map Request message to the destination device  
1244 if no data sent to this device.

1245 The Tone Map request/response message sequence chart is shown in 7.3.7.2.4.

1246 **7.3.4 MAC constants and PIB attributes (based on IEEE 802.15.4 clause 7.4)**

1247 **7.3.4.1 Selections from IEEE 802.15.4 clause 7.4: MAC constants and PIB attributes**

1248 The MAC frame formats as described in clause 7.4 of IEEE 802.15.4 apply, with the  
1249 selections specified in Table 37.

1250 **Table 37 – Selections from clause 7.4 of the 802.15.4**

Clause	Title & remarks/modifications	Statement
7.4	MAC constants and PIB attributes	N
7.4.1	MAC constants - The aBaseSlotDuration parameter is not used and must be set to 0. - The aBaseSuperframeDuration parameter is not used and must be set to 0. - The aExtendedAddress parameter must be equal to the EUI-48 address of the device mapped to a EUI-64 address. - The aGTSDescPersistenceTime parameter is not used and must be set to 0. - The aMaxBeaconOverhead parameter must be set to 0. - The aMaxBeaconPayloadLength parameter is not used and must be set to 0. - The aMaxLostBeacons parameter is not used and must be set to 0. - The aMaxMACSafePayloadSize parameter is not used and must be set to 0. - The aMaxMACPayloadSize parameter is fixed to 400 bytes by the present standard. - The aMaxMPDUUnsecuredOverhead parameter must be set to 25 (bytes). - The aMaxSIFSFrameSize parameter is not used and must be set to 0. - The aMinCAPLength parameter is not used and must be set to 0. - The aMinMPDUOverhead parameter is fixed to 9 bytes by the present standard. - The aNumSuperframeSlots parameter is not used and must be set to 0. - The aUnitBackoffPeriod parameter must be set to aSlotTime. - Extensions: Additional MAC sublayer constants are defined in 7.3.4.2.1.	S, E

Clause	Title & remarks/modifications	Statement
7.4.2	<p>MAC PIB attributes</p> <ul style="list-style-type: none"> <li>- The macAckWaitDuration parameter must be set according to the following formula:  <math display="block">\text{macAckWaitDuration} = \text{aRIFS} + \text{aAckTime} + \text{aCIFS}</math> </li> <li>- The macAssociatedPANCoord parameter is not used and must be set to FALSE.</li> <li>- The macAssociationPermit parameter must always be set to TRUE, and must be read-only.</li> <li>- The macAutoRequest parameter is not used and must be set to FALSE.</li> <li>- The macBattLifeExt parameter is not used; changing it has no effect on the behaviour of the device. Its default value must be FALSE, and must not be changed.</li> <li>- The macBattLifeExtPeriods parameter is not used; changing it has no effect on the behaviour of the device. Its default value must be 0, and must not be changed.</li> <li>- The macBeaconPayload parameter is not used; changing it has no effect on the behaviour of the device. Its default value must be NULL, and must not be changed.</li> <li>- The macBeaconPayloadLength parameter is not used and must be set to 0.</li> <li>- The macBeaconOrder parameter is not used; changing it has no effect on the behaviour of the device. Its default value must be left to 15, and must not be changed.</li> <li>- When the macBeaconTxTime parameter reaches 0xFFFFF, it must not change anymore.</li> <li>- The macGTSPermit parameter is not used; changing it has no effect on the behaviour of the device. Its default value must be FALSE, and must not be changed.</li> <li>- The macMaxBE parameter is fixed to 5 by the present standard.</li> <li>- The macMaxCSMABackoffs default value is fixed to 8 by the present standard.</li> <li>- The macMaxFrameTotalWaitTime parameter is not used must be set to 0.</li> <li>- The macMinBE parameter is fixed to 3 by the present standard.</li> <li>- The macMinLIFSPeriod parameter is not used; changing it has no effect on the behaviour of the device.</li> <li>- The macMinSIFSPeriod parameter is not used; changing it has no effect on the behaviour of the device.</li> <li>- The macResponseWaitTime parameter must be set to macAckWaitDuration.</li> <li>- The macRxOnWhenIdle parameter must always be set to TRUE.</li> <li>- The macSecurityEnabled parameter must always be set to TRUE.</li> <li>- The macShortAddress parameter must be equal to 0xFFFF when the device does not have a short address. An associated device necessarily has a short address, so that a device cannot be in the state where it is associated but does not have a short address.</li> <li>- The macSuperframeOrder parameter is not used, and must be left to 15.</li> <li>- The macSyncSymbolOffset is not used and must be set to 0.</li> <li>- The macTimestampSupported parameter must be set to TRUE.</li> <li>- The macTransactionPersistenceTime parameter is not used and must be set to 0.</li> <li>- Extensions: Additional set of IB attributes are defined in 7.3.4.2.</li> </ul>	S, E

1251 **7.3.4.2 Extensions to IEEE 802.15.4 clause 7.4: MAC constants and PIB attributes**

1252 **7.3.4.2.1 Additional MAC sublayer constants to IEEE 802.15.4 clause 7.4.1**

1253 Table 38 defines the list of MAC sublayer constants added by the present standard:

1254 **Table 38 – Additional MAC sublayer constants to IEEE 802.15.4 clause 7.4.1**

Constant	Description	Value
aSymbolTime	Defines the duration of one symbol on physical layer (milliseconds).	-
aSlotTime	The duration of the contention window (in symbols)	2
aCIFS	Defines the contention interframe space (number of	10



Constant	Description	Value
	symbols). It is defined in Annex D of the present document.	
aRIFS	Defines the response interframe space (number of symbols). It is defined in Annex D of the present document.	10
aEIFS	Defines the extended interframe space (number of symbols). It is defined in Annex D of the present document.	252
aMinFrameSize	Defines the minimum MAC frame size in symbols.	4
aMaxFrameSize	Defines the maximum MAC frame size in symbols.	252
aAckTime	Defines the acknowledgment maximum time in symbols.	23

1255 **7.3.4.2.2 Additional MAC sublayer attributes to IEEE 802.15.4 clause 7.4.2**

1256 Table 39 defines the list of MAC sublayer attributes added by the present standard:

1257 **Table 39 – Additional attributes to IEEE 802.15.4 clause 7.4.2**

Attribute	Identifier	Type	Range	Description	Default value
macHighPriorityWindowSize	0x01000113	Unsigned Integer	0-7	The high priority contention window size in number of slots. Default value is 7*aSlotTime	7
macTxDataPacketCount	0x02000101	Unsigned Integer	0 – 4 294 967 295	Statistic counter of successfully transmitted MSDUs	0
macRxDataPacketCount	0x02000202	Unsigned Integer	0 – 4 294 967 295	Statistic counter of successfully received MSDUs	0
macTxCmdPacketCount	0x02000201	Unsigned Integer	0 – 4 294 967 295	Statistic counter of successfully transmitted command packets	0
macRxCmdPacketCount	0x02000102	Unsigned Integer	0 – 4 294 967 295	Statistic counter of successfully received command packets	0
macCSMAFailCount	0x02000103	Unsigned Integer	0 – 4 294 967 295	Statistic counter of failed CSMA transmit attempts	0
macCSMACollisionCount	0x02000104	Unsigned Integer	0 – 4 294 967 295	Statistic counter of collision due to channel busy or failed transmission	0
macBroadcastCount	0x02000106	Unsigned Integer	0 – 4 294 967 295	Statistic counter of the number of broadcast frames sent	0
macMulticastCount	0x02000107	Unsigned Integer	0 – 4 294 967 295	Statistic counter of the number of multicast frames sent	0
macBadCRCCount	0x02000108	Unsigned Integer	0 – 4 294 967 295	Statistic counter of the number of frames received with bad CRC	0
macMaxOrphanTimer	0x02000109	Unsigned Integer	0 – 4 294 967 295	The maximum number of seconds without communication with a particular device after which it is declared as an orphan.	0

Attribute	Identifier	Type	Range	Description	Default value
macNeighborTable	0x1B000100	Set	-	The neighbour table defined in 7.3.5.2.	-
macNumberOfHops	0x02000110	Unsigned Integer	0 – 8	The number of hops to reach the PAN coordinator.	0
macFreqNotching	0x02000111	Bool	FALSE TRUE	S-FSK 63 and 74 kHz frequency notching. Default value is FALSE (disabled)	FALSE

1258 **7.3.4.2.3 MAC sublayer attributes and their associated ID**

1259 Table 40 defines the new identifier associated to IEEE 802.15.4 MAC sublayer attributes used  
1260 by the present standard:

1261 **Table 40 – MAC sublayer attributes and their associated ID**

Attribute	Identifier
macAckWaitDuration	0x01000103
macAssociationPermit	0x01000102
macMaxCSMABackoffs	0x0100010B
macMinBE	0x0100010E
macShortAddress	0x01000112
macAssociatedPANCoord	0x01000104
macCoordShortAddress	0x01000107
macMaxBE	0x0100010A
macMaxFrameTotalWaitTime	0x0100010C
macResponseWaitTime	0x01000110
macSecurityEnabled	0x01000111
macPanId	0x0100010F

1262 **7.3.5 MAC functional description (based on IEEE 802.15.4 clause 7.5)**

1263 **7.3.5.1 Selections from IEEE 802.15.4 clause 7.5: MAC functional description**

1264 The MAC functional description as described in clause 7.5 of IEEE 802.15.4-2006 applies,  
1265 with the selections specified in Table 41.

1266 **Table 41 – Selections from clause 7.5 of the IEEE 802.15.4**

Clause	Title & remarks/modifications	Statement
7.5	MAC functional description - beacon-enabled PAN and GTS are not supported - contention free access is not implemented	S
7.5.1	Channel access - See Annex D of the present document for channel access functional description.	E
7.5.1.1	Superframe structure	N/R
7.5.1.2	Incoming and outgoing frame structure	N/R
7.5.1.3	Interframe (IFS) spacing - See Annex D of the present document for Interframe spacing description.	E
7.5.1.4	CSMA-CA algorithm - See Annex D of the present document for description of CSMA-CA algorithm	E

Clause	Title & remarks/modifications	Statement
	(including priority, ARQ, segmentation and reassembly overview).	
7.5.2	Starting and maintaining PANs	N
7.5.2.1	Scanning through channels - Passive scanning is not supported - Orphan scanning is not supported - ED scanning is not supported - Active scanning is the only supported scanning mode - As there is no channel page or channel list notion at the physical level, a scan request does not care about a particular channel.	S
7.5.2.1.1	ED channel scan - ED channel scan is not supported by the present standard	N/R
7.5.2.1.2	Active channel scan - Active channel scan is only used by an un-associated device prior to starting association and by the PAN coordinator prior to starting a new network. - As there is no channel page or channel list notion at the physical level, a scan request does not care about a particular channel.	S
7.5.2.1.3	Passive channel scan - Passive channel scan is not supported by the present standard	N/R
7.5.2.1.4	Orphan channel scan - Orphan channel scan is not supported by the present standard	N/R
7.5.2.2	PAN identifier conflict resolution	N
7.5.2.2.1	Detection - PAN conflict detection is also performed by scanning all incoming PAN Id of frames received by the devices.	S
7.5.2.2.2	Resolution - On detection of a PAN identifier conflict, a device must generate a CONFLICT frame as described in 7.5.2 of the present document.	N/R
7.5.2.3	Starting and realigning a PAN	N
7.5.2.3.1	Starting a PAN - A PAN coordinator cannot lose its MAC address. It can however be changed based on criteria out of the scope of this standard, for example in case of PAN ID conflict detection.	S
7.5.2.3.2	Realigning a PAN - PAN realignment is not supported by the present specification.	N/R
7.5.2.3.3	Realignment in a PAN - PAN realignment is not supported by the present specification.	N/R
7.5.2.3.4	Updating superframe configuration and channel PIB attributes - The macBeaconOrder parameter must always be set to 15 to have a beaconless PAN. - The phyCurrentPage and phyCurrentChannel parameters are not used, and must always be set to 0.	S
7.5.2.4	Beacon generation - Only non beacon-enabled PAN are used - Beacon must be transmitted using the Robust modulation	S
7.5.2.5	Device discovery - Device discovery is done using the active scanning procedure described in 7.4.5.2.2.2, to force a coordinator to send a beacon.	E
7.5.3	Association and disassociation	N
7.5.3.1	Association	N/R

Clause	Title & remarks/modifications	Statement
	- Association is fully described in 7.4.5 of the present document.	
7.5.3.2	Disassociation - Disassociation is fully described in 7.4.5 of the present document.	N/R
7.5.4	Synchronization	N
7.5.4.1	Synchronization with beacons - Beacon synchronization is not used in the present standard.	N/R
7.5.4.2	Synchronization without beacons	N
7.5.4.3	Orphaned device realignment - Orphaned device realignment is not used in the present specification. - Orphaned device detection is performed at the application level using a timer, which is reset each time the device receives a frame with the Destination Address field of the MAC header equal to the MAC address (either short or extended) of the device. If this timer reaches its maximum value (macMaxOrphanTimer), then the device loses its short MAC address, and must begin an association procedure.	S
7.5.5	Transaction handling - Transactions are not supported in the present standard.	N/R
7.5.6	Transmission, reception and acknowledgement	N
7.5.6.1	Transmission	N
7.5.6.2	Reception and rejection	N
7.5.6.3	Extracting pending data from a coordinator	N/R
7.5.6.4	Use of acknowledgements and retransmissions	N
7.5.6.4.1	No acknowledgement - The present standard defines an acknowledgement differently. The detailed ACK implementation is described in 6.6.3 and Annex F.	E
7.5.6.4.2	Acknowledgement - The present standard defines an acknowledgement differently. The detailed ACK implementation is described in 6.6.3 and Annex F.	E
7.5.6.4.3	Retransmissions	N
7.5.6.5	Promiscuous mode	N
7.5.6.6	Transmission scenario	N
7.5.7	GTS allocation and management - GTS are not used in the present specification	N/R
7.5.8	Frame security	N
7.5.8.1	Security related MAC PIB attributes	N
7.5.8.1.1	Key table	N
7.5.8.1.2	Device table	N
7.5.8.1.3	Minimum security level table	N
7.5.8.1.4	Frame counter	N
7.5.8.1.5	Automatic request attributes	N
7.5.8.1.6	Default key source	N
7.5.8.1.7	PAN coordinator address	N
7.5.8.2	Functional description	N
7.5.8.2.1	Outgoing frame security procedure	N
7.5.8.2.2	Outgoing frame key retrieval procedure	N
7.5.8.2.3	Incoming frame security procedure	N
7.5.8.2.4	Incoming frame security material retrieval procedure	N

Clause	Title & remarks/modifications	Statement
7.5.8.2.5	KeyDescriptor lookup table	N
7.5.8.2.6	Blacklist checking procedure	N
7.5.8.2.7	DeviceDescriptor lookup procedure	N
7.5.8.2.8	Incoming security level checking procedure	N
7.5.8.2.9	Incoming key usage policy checking procedure	N

1267 **7.3.5.2 Extensions to IEEE 802.15.4 clause 7.5: Neighbour Table**

1268 Every device must maintain a Neighbour Table, which contains information about all the  
 1269 devices within the POS of a device. This table is actualized each time any frame is received  
 1270 from a neighbouring device, and each time a Tone Map Response command is received. This  
 1271 table must be accessible by the Adaptation, MAC sublayers and physical layer. Each entry of  
 1272 this table contains the fields listed in Table 42:

1273 **Table 42 – Neighbour Table**

Field Name	Size/Type	Description
ToneMap	9 bits	The Tone Map parameter defines which frequency sub-band can be used for communication with the device. A bit set to 1 means that the frequency sub-band can be used, and a bit set to 0 means that frequency sub-band must not be used.
Modulation	2 bits	Defines the modulation type to use for communicating with the device. 0x00: Robust 0x01: DBPSK 0x02: DQPSK 0x03: D8PSK
TxGain	4 bits	Defines the Tx Gain to use to transmit frames to that device
TxRes	1 bit	Defines the Tx Gain resolution corresponding to one gain step. 0 : 6 dB 1 : 3 dB
TxCoeff	8 x 4 bits	The Tx gain for each 10 kHz-wide spectrum band
LQI	8 bits	Link Quality Indicator
Age	8 bits	The remaining lifetime of the device in minutes. - When the entry is created, this value must be set to the default value 0; - When it reaches 0, a Tone Map request may be issued if data is sent to this device. Upon successful reception of a tone map response, this value is set to <i>adpMaxAgeTime</i> .
IsNeighbour	8 bits	Indicate either the device is a neighbour or not.

1274 If the device receives a frame whose source address field (MAC sublayer header) does not  
 1275 exist in the neighbour table, it must add a new entry for that device with the following default  
 1276 values:

- 1277 – Modulation = 0 (Robust);
- 1278 – ToneMap = (all bits set to 1) AND (*adpToneMask*);
- 1279 – TxGain = 0b0000;
- 1280 – TxCoeff = 0xFFFFFFFF;
- 1281 – LQI = 0;
- 1282 – Age = 0.

1283 The Neighbour Table is available in the Information Base under the attribute  
1284 *macNeighborTable* (see 7.3.4.2.2).

1285 **7.3.6 MAC security suite specifications (selections from IEEE 802.15.4 clause 7.6)**

1286 The security suite specifications as described in clause 7.6 of IEEE 802.15.4-2006 apply, with  
1287 the selections specified in Table 43.

1288 **Table 43 – Selections from clause 7.6 of the IEEE 802.15.4**

Clause	Title & remarks/modifications	Statement
7.6	Security suite specification	N
7.6.1	PIB security material	N
7.6.2	Auxiliary security header	N
7.6.2.1	Integer and octet representation	N
7.6.2.2	Security Control field	N
7.6.2.2.1	Security Level subfield - Two values are allowed by the present standard: 0x00 = "none", 0x05 = "ENC-MIC-32".	S
7.6.2.2.2	Key Identifier Mode subfield - One Key Identifier Mode is allowed by the present standard: 0x01 = "Key determined from the 1-octet Key Index subfield" The number of keys is limited to 4 (range = 0-3)	S
7.6.2.3	Frame Counter field	N
7.6.2.4	Key Identifier field	N
7.6.2.4.1	Key Source subfield	N/R
7.6.2.4.2	Key Index subfield	N
7.6.3	Security operations	N
7.6.3.1	Integer and octet representation	N
7.6.3.2	CCM* Nonce	N
7.6.3.3	CCM* prerequisites	N
7.6.3.3.1	Authentication field length	N
7.6.3.4	CCM* transformation data representation	N
7.6.3.4.1	Key and nonce data inputs	N
7.6.3.4.2	a data and m data - Two values are allowed by the present standard: 0x00 = "none", 0x05 = "ENC-MIC-32".	S
7.6.3.4.3	c data output - Two values are allowed by the present standard: 0x00 = "none", 0x05 = "ENC-MIC-32".	S
7.6.3.5	CCM* inverse transformation data representation	N
7.6.3.5.1	Key and nonce data inputs	N
7.6.3.5.2	c data and a data	N
7.6.3.5.3	m data output	N

1290 **7.3.7 Message Sequence Chart Illustrating MAC – PHY interaction (based on IEEE**  
 1291 **802.15.4 clause 7.7)**

1292 **7.3.7.1 Selections from IEEE 802.15.4 clause 7.7: Message Sequence Chart**  
 1293 **Illustrating MAC**

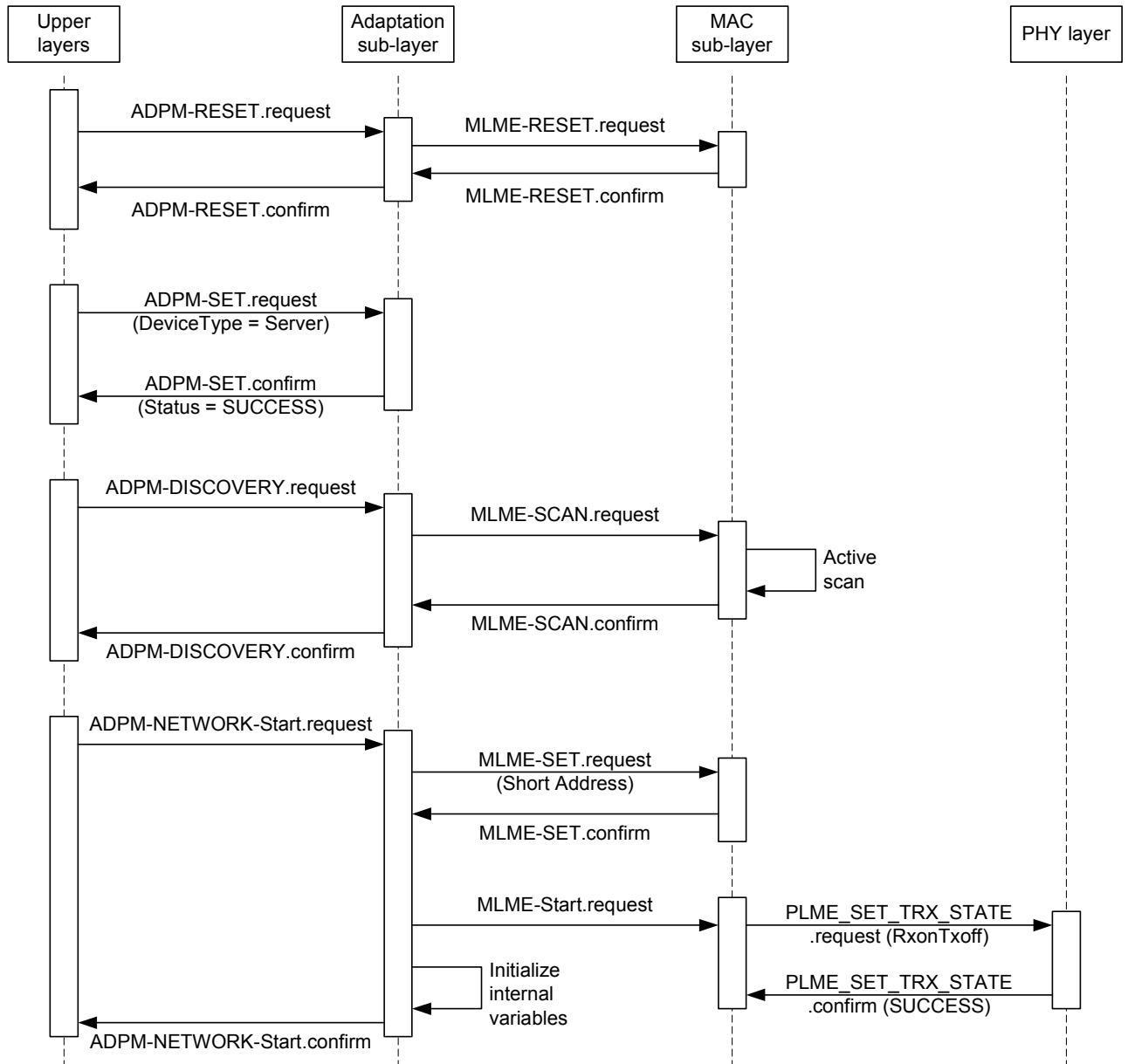
1294 The message Sequence Chart Illustrating MAC – PHY interaction as described in clause 7.7  
 1295 of IEEE 802.15.4-2006 apply, with the selections specified in Table 44.

1296 **Table 44 – Selections from clause 7.7 of the IEEE 802.15.4**

Clause	Title & remarks/modifications	Statement
7.7	Message sequence chart illustrating MAC-PHY interaction - Figure 78: Replaced by clause 4.7.1 of this document - Figure 79: N/R - Figure 80: N/R - Figure 81: N/R - Figure 82: N/R - Figure 83: Replaced by clause 4.7.2 of this document - Figure 84 & Figure 85: Replaced by clause 4.7.3 of this document - Figure 86: N/R - Additional figure about channel estimation in clause 4.7.4 of this document	S, E

1297 **7.3.7.2 Extensions to IEEE 802.15.4 clause 7.7: Message Sequence Chart Illustrating**  
 1298 **MAC**

1299 **7.3.7.2.1 PAN start message sequence chart for PAN coordinators**



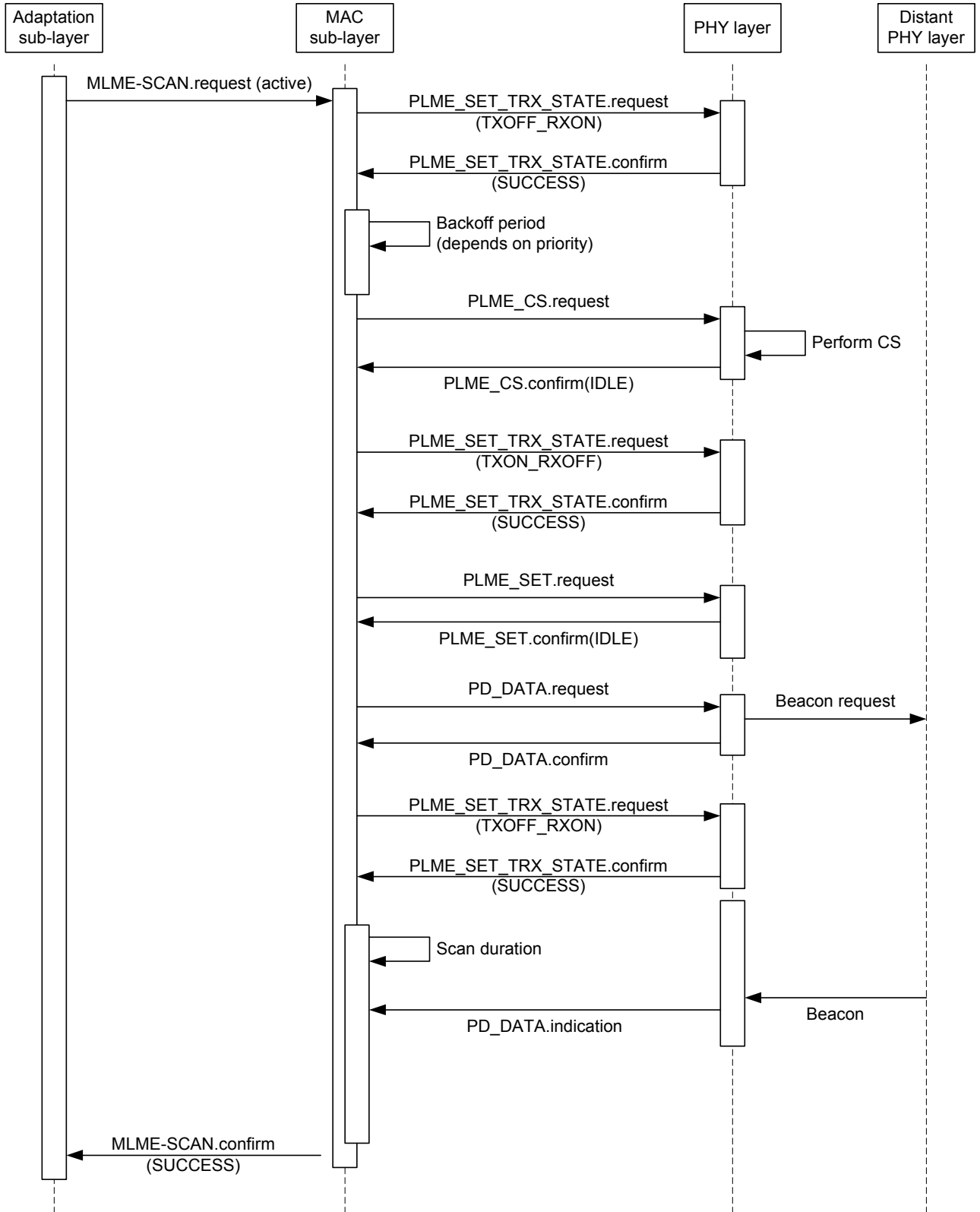
1300  
1301

1302 **Figure 22 – PAN start message sequence chart**

1303 **7.3.7.2.2 Active Scan message sequence chart**

1304



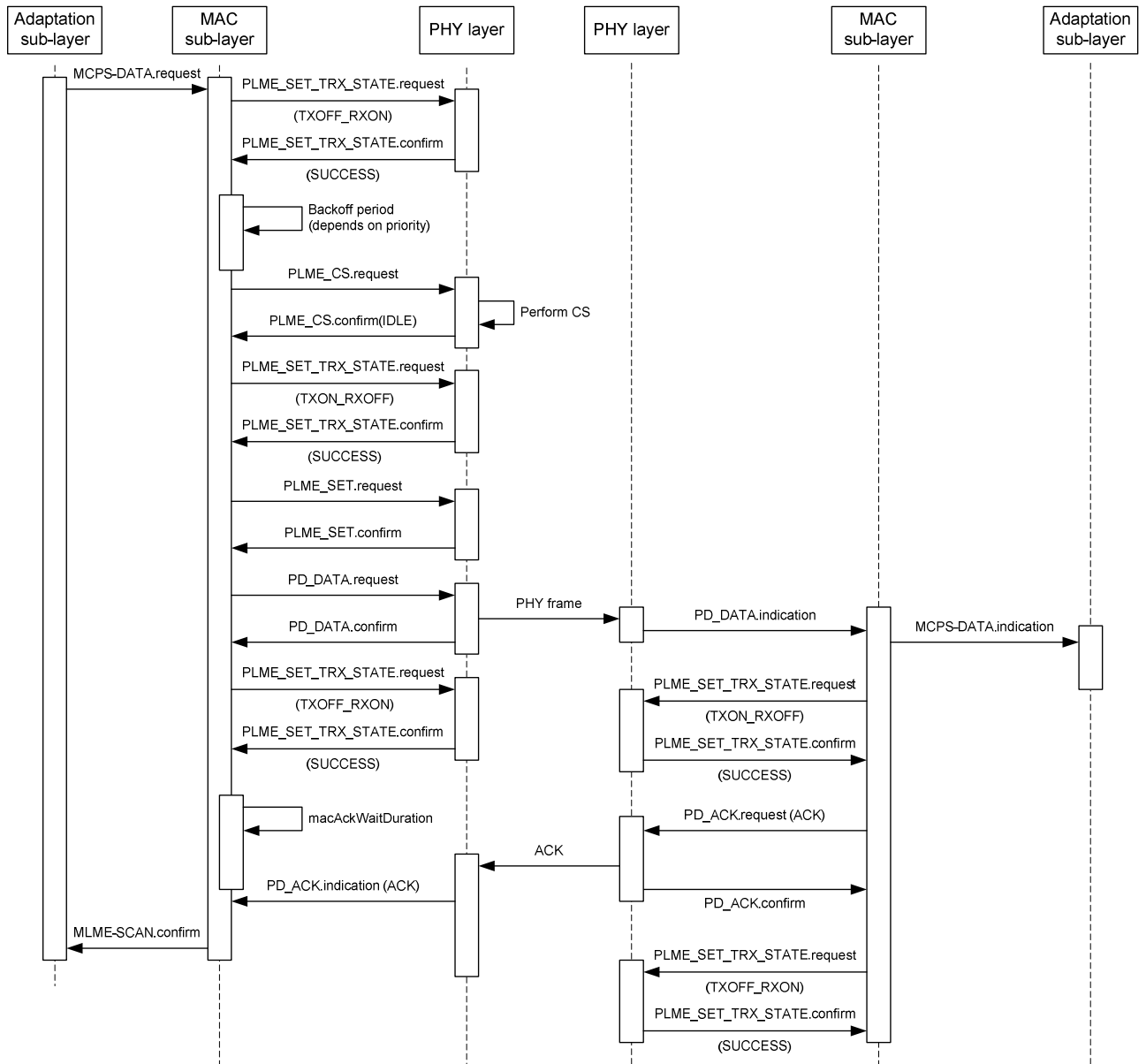


1305  
1306

1307

Figure 23 – Active scan message sequence chart

1308 7.3.7.2.3 Data Transmission message sequence chart

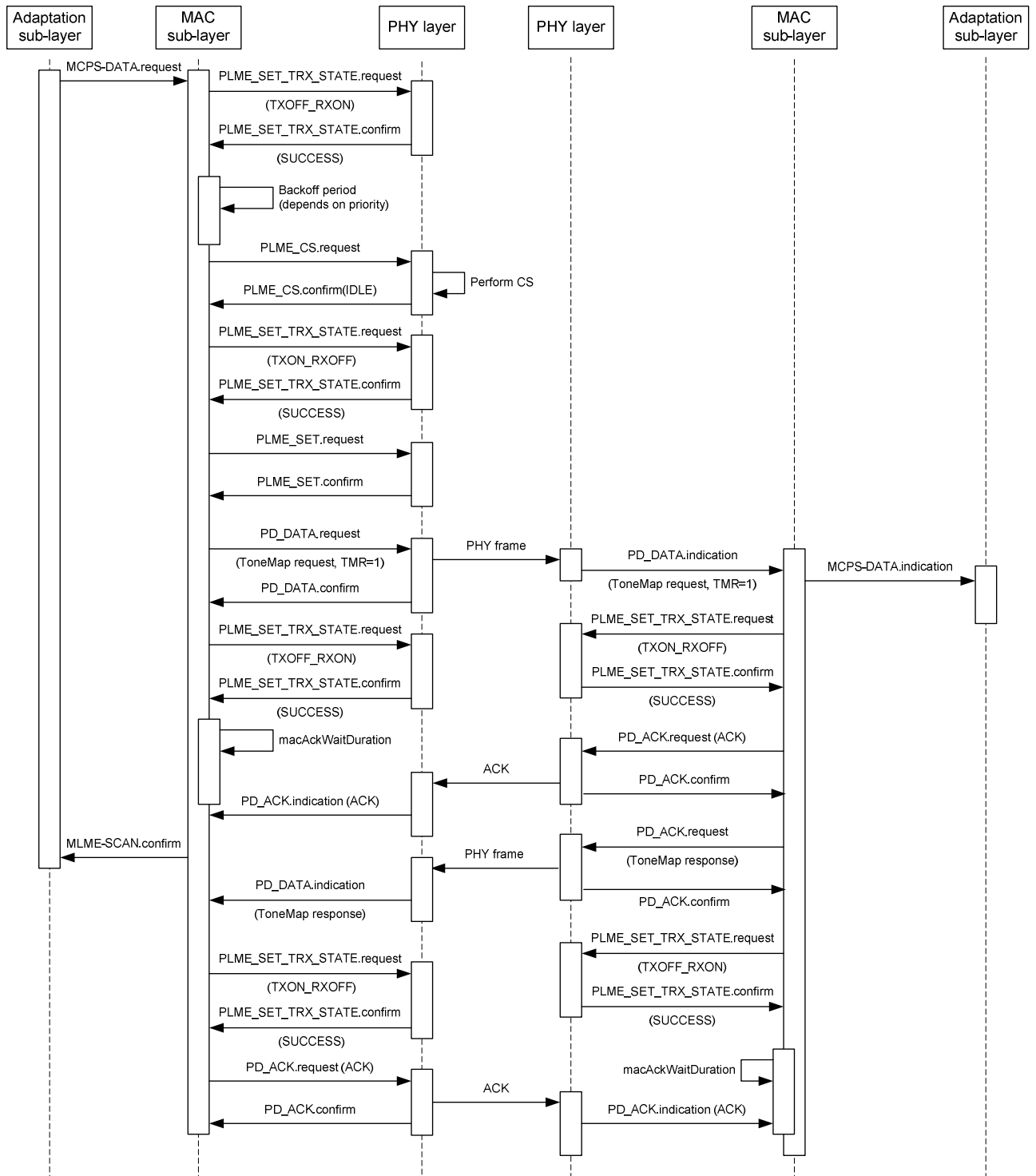


1309  
1310

1311

Figure 24 – Data transmission message sequence chart

1312 **7.3.7.2.4 Channel estimation message sequence chart**



1313  
1314

1315 **Figure 25 – Channel estimation (tone map request) message sequence chart**

1316 **7.3.8 MAC annexes (based on IEEE 802.15.4 annexes)**

1317 The MAC annexes of IEEE 802.15.4-2006 apply, with the selections specified in Table 45.

1318

**Table 45 – Selections from MAC annexes of the IEEE 802.15.4**

Clause	Title & remarks/modifications	Statement
Annex A	Service-specific convergence sublayer (SSCS) - IEEE 802.2 convergence sublayer is not used in the present specification	N/R
Annex B	CCM* mode of operation	N
Annex C	Test vectors for cryptographic building blocks	N
Annex D	Protocol implementation conformance statement (PICS) - The protocol implementation conformance tables are given in Annex B.	E
Annex E	Coexistence with other IEEE standards and proposed standards - This annex relates to wireless PHY standards and is not relevant for PLC technology	N/R
Annex F	IEEE 802.15.4 regulatory requirements - This annex relates to wireless PHY standards and is not relevant for PLC technology	N/R

1319 **7.4 Adaptation sublayer specification**

1320 **7.4.1 Services and primitives**

1321 The Services and Primitives of the adaptation sublayer are described in Annex G.

1322 **7.4.2 Information base attributes**

1323 **7.4.2.1 General**

1324 Table 46 lists the Information Base (IB) attributes of the adaptation sublayer.

1325

**Table 46 – Adaptation sublayer IB attributes**

Attribute	Identifier	Type	Read Only	Range	Description	Default
adpIPv6Address	0x01	IPv6 address	Yes	Any	Defines the IPv6 address obtained from adpShortAddress	FE80:::FFFF:00F F:FE00:FFFF
adpBroadcastLogTableEntryTTL	0x02	Unsigned Integer	No	0-3 600	Defines the time while an entry in the adpBroadcastLogTable remains active in the table (in seconds).	10
adpDiscoveryAttemptsSpeed	0x06	Unsigned Integer	No	1-3 600	Allows programming the maximum wait time between two successive network discoveries (in seconds).	60
adpPANConflictWait	0x08	Unsigned Integer	No	0-3 600	Defines the time to wait between two consecutive CONFLICT frames for the same conflicting PAN ID (in seconds).	1 800
adpMaxPANConflictCount	0x09	Unsigned Integer	No	0-100	Defines the maximum number of CONFLICT frames sent by a device for the same PAN ID.	3
adpActiveScanDuration	0x0A	Unsigned Integer	No	0-60	Defines the time while an active scan must last (in seconds).	5
adpBroadcastLogTable	0x0B	Set	Yes	-	Contains the broadcast log table, see 7.4.2.2 and 7.4.4.2.2.1.	Empty
adpRoutingTable	0x0C	Set	Yes	-	Contains the routing table, see clause 5.1 in draft-daniel-6lowpan-load-adhoc-routing-03.	Empty
macNeighborTable	0x0D	Set	Yes	-	Contains the neighbour table, see 7.3.5.2.	Empty

Attribute	Identifier	Type	Read Only	Range	Description	Default
adpGroupTable	0x0E	Set	No	-	Contains the group addresses to which the device belongs.	Empty
adpToneMask	0x0F	70 bits	No	Any	Defines the Tone Mask to use during symbol formation	All bits set to 1
adpMaxHops	0x10	Unsigned Integer	No	1-8	Defines the maximum number of hops to be used by the routing algorithm.	4
adpDeviceType	0x11	Unsigned Integer	No	0-2	Defines the type of the device connected to the modem: 0: Device, 1: Server, 2: Not_Device,Not_Server	2
adpNetTraversalTime	0x12	Unsigned Integer	No	0-3 600	The Max duration between RREQ and the correspondent RREP (in seconds)	3 000
adpRrtTtl	0x13	Unsigned Integer	No	0-3 600	The time to live of a route request table entry (in seconds)	10
adpKr	0x14	Unsigned Integer	No	0-31	The Kr constant to calculate the route cost.	6
adpKm	0x15	Unsigned Integer	No	0-31	The Km constant to calculate the route cost.	5
adpKc	0x16	Unsigned Integer	No	0-31	The Kc constant to calculate the route cost.	5
adpKq	0x17	Unsigned Integer	No	0-31	The Kq constant to calculate the route cost.	5
adpKh	0x18	Unsigned Integer	No	0-31	The Kh constant to calculate the route cost.	5
adpRREQRetries	0x19	Unsigned Integer	No	Any	The number of RREQ retransmission in case of RREP reception time out.	3
adpRREQRERRWait	0x1A	Unsigned Integer	No	Any	The number of seconds to wait between two consecutive RREQRRER generations.	400
adpWeakLQIValue	0x1B	Unsigned Integer	No	Any	The weak Link Value defines the threshold below which a direct neighbour is not taken into account during the commissioning procedure (compared to the LQI measured).	63
adpKrt	0x1C	Unsigned Integer	No	0-31	The Krt constant to calculate the route cost.	5
adpSoftVersion	0x1D	Set	Yes	-	The soft version	-
adpSpyMode	0x1E	Unsigned Integer	No	0-1	Spy Mode activation/deactivation	0

1326 **7.4.2.2 Broadcast log table entry**

1327 Table 47 describes the broadcast log table entry:

1328

**Table 47 – Broadcast log table entry**

Size →	16 bits	8 bits	13 bits
	Source Address	Sequence Number	TTL

1329 **7.4.3 Data frame format, datagram transmission and addressing (based on RFC 4944)**

1330 **7.4.3.1 Selections from RFC 4944**

1331 The data frame format, the theory of operation for datagram transmission using the IEEE  
 1332 802.15.4 MAC sublayer, and the addressing scheme as specified in RFC 4944 together with  
 1333 the selections listed in Table 48.

1334 **Table 48 – Selections from RFC 4944**

Clause	Title & remarks/modifications	Statement
1.	Introduction	N
1.1.	Requirements Notation	N
1.2.	Terms Used	N
2.	IEEE 802.15.4 Mode for IP - Data frames should always be acknowledged - Only non beacon-enabled network are used	S
3.	Addressing Modes - IPv6 prefixes learning via router advertisements is not supported	S
4.	Maximum Transmission Unit	N
5.	LoWPAN Adaptation Layer and Frame Format - Extension: additional Command Frame header: see 7.4.3.2.1. - When more than one LoWPAN header is used in the same packet, they MUST appear in the following order: Mesh Addressing Header Broadcast Header Fragmentation Header Command Frame Header (see 7.4.3.2.1)	E
5.1.	Dispatch Type and Header	N
5.2.	Mesh Addressing Type and Header - The value of the HopsLeft field must not exceed adpMaxHops (see 7.4.2.1).	S
5.3.	Fragmentation Type and Header	N
6.	Stateless Address Autoconfiguration - The Interface Identifier (see RFC 4291) for an IEEE 802.15.4 interface MUST be based on the EUI-64 identifier assigned to the device, the latest being itself based on a EUI-48. - Additional care must be taken when choosing a PAN identifier, so that not to interfere with I/G and U/L bits of the interface identifier. If the PAN identifiers are chosen randomly, then should be logically ANDed with 0xFCFF	S
7.	IPv6 Link Local Address	N
8.	Unicast Address Mapping	N
9.	Multicast Address Mapping	N
10.	Header Compression	N
10.1.	Encoding of IPv6 Header Fields	N
10.2.	Encoding of UDP Header Fields	N
10.3.	Non-Compressed Fields	N
10.3.1.	Non-Compressed IPv6 Fields	N
10.3.2.	Non-Compressed and Partially Compressed UDP Fields	N
11.	Frame Delivery in a Link-Layer Mesh - All devices must be FFD	S

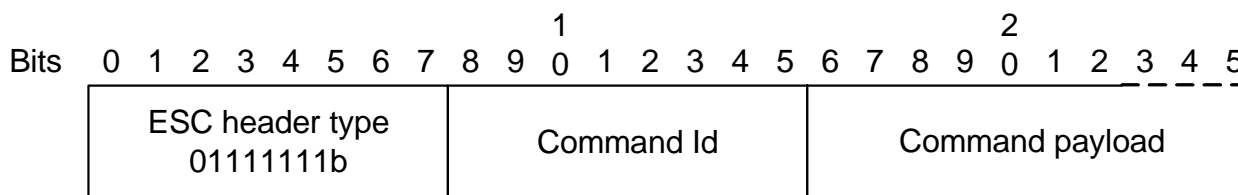
Clause	Title & remarks/modifications	Statement
&11.1.	LoWPAN Broadcast	N
12.	IANA Considerations	N
13.	Security Considerations	N
14.	Acknowledgements	N/R
15.	References	N/R
15.1.	Normative References	N
15.2.	Informative References	I
Appendix A.	Alternatives for Delivery of Frames in a Mesh	N/R

1335 **7.4.3.2 Extensions to RFC 4944**

1336 **7.4.3.2.1 Command Frame Header**

1337 In addition of the LoWPAN header specified in the RFC 4944, the present standard defines a  
 1338 new one: Command Frame header. This is used for the mesh routing procedure defines in  
 1339 7.4.4.

1340 As shown in Figure 26, the ADP sublayer command frames are identified using the ESC  
 1341 header type (see clause 5.1 of RFC 4944), followed by an 8-bit dispatch field indicating the  
 1342 type of ADP command. This header must always be in the last position if more than one  
 1343 header is present in the 6LowPAN frame.



1344 **Figure 26 – Command frame header format**

1345 The ADP sublayer command frames are specified in Table 49:

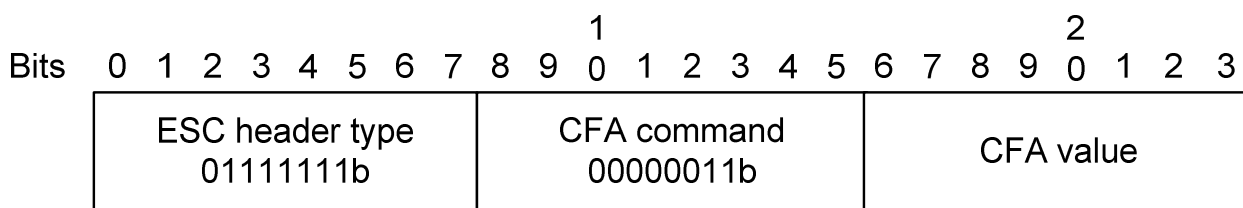
1346 **Table 49 – Command frame header identifier**

Command	Command Id	Comments	Specified in...
Mesh routing message	0x01	Use for mesh routing protocol	Clause 7.4.4
LoWPAN Bootstrapping Protocol message	0x02	Use for LoWPAN Bootstrap procedure	Clause 7.4.5
Contention Free Access Command	0x03	Optional	Clause 7.4.3.2.2

1347 **7.4.3.2.2 Contention Free Access command**

1348 Contention Free Access procedure is an optional feature of this standard and described in  
 1349 D.4.

1350 The adaptation layer generates CFA (Contention Free Access) command if it receives an  
 1351 ADPD-DATA.request primitive with QualityOfService = 2 (See G.1.2). Figure 27 defines the  
 1352 format of the CFA command (see also Table 50).



1353  
1354

Figure 27 – CFA command format

1355

Table 50 – CFA value field description

CFA value	Description
0	Request to allow a transmission during contention free slot
1	Request to stop a transmission during contention free slot
2	Response with SUCCESS
3	Response with FAIL

1356 The network coordinator may always use a contention free slot for transmission if other  
 1357 devices are not allowed to use it the same time. Other devices must ask the network  
 1358 coordinator for permission to use a contention free slot (CFS) for transmission by sending  
 1359 CFA command with request. The network coordinator may allow requested device to use a  
 1360 CFS for transmission by sending a confirmation response. After receiving a successful  
 1361 response from the network coordinator requested device can start a transmission during CFS.  
 1362 If the network coordinator denies a request the device should not use a CFS for transmission.  
 1363 The requested device must send a request to stop using CFS when it is done with contention  
 1364 free transmission.

1365 Priority management can be performed using the “Normal” and “High” priority values for QOS  
 1366 parameter of MCPS-DATA.request primitive.

1367 **7.4.4 Mesh Routing (based on draft-daniel-6lowpan-load-adhoc-routing-03)**

1368 **7.4.4.1 Selections from draft-daniel-6lowpan-load-adhoc-routing-03**

1369 The mesh routing as described in draft-daniel-6lowpan-load-adhoc-routing-03 applies, with  
 1370 the selections specified in Table 51.

1371 **Table 51 – Selections from draft-daniel-6lowpan-load-adhoc-routing-03**

Clause	Title & remarks/modifications	Statement
1.	Introduction	N
2.	Requirements notation	N
3.	Overview - Routing is only permitted with 16-bit addresses, - LOAD uses the route cost described in Annex C as a metric of routing.	S,E
4.	Terminology	N
5.	Data Structures	N
5.1	Routing Table Entry - The destination address must be a 16-bit address, - The next hop address must be a 16-bit address, - The routing table is stored in the IB under the attribute adpRoutingTable.	S, E
5.2	Route Request Table Entry - The originator address must be a 16-bit address, - The reverse route address must be a 16-bit address.	S



Clause	Title & remarks/modifications	Statement
5.3	Message Format - For path discovery procedure, two messages have been added: Path Request (PREQ) and Path Reply (PREP). See 7.4.4.2.4.	E
5.3.1	Route Request (RREQ) - The CT field must be equal to 0x0F, to specify the use of the route cost described in Annex C, - The D bit must be set to 1, - The O bit must be set to 1, - The link layer destination and originator address must be 16-bit addresses.	S
5.3.2	Route Reply (RREP) - The CT field must be equal to 0x0F, to specify the use of the route cost described in Annex C, - The D bit must be set to 1, - The O bit must be set to 1, - The link layer destination and originator address must be 16-bit addresses.	S
5.3.3	Route Error (RERR) - The D bit must be set to 1, - The O bit must be set to 1, - The unreachable address must be 16-bit addresses.	S
6.	Operation	N
6.1	Generating Route Request	N
6.2	Processing and Forwarding Route Request	N
6.3	Generating Route Reply	N
6.4	Receiving and Forwarding Route Reply	N
6.5	Local Repair and RERR - If a link break occurs or a device fails during the delivery of data packets, the upstream node of the link break MUST repair the route locally, and execute the repairing procedure described in the present clause.	S
7.	Configuration Parameters - The values of the configuration parameters must be: NET_TRAVERSAL_TIME = 4 000 RREQ_RETRIES = 3 WEAK_LQI_VALUE = 63 - Extension: the following parameters are added by the present standard: RREQ_RERR_WAIT = 2s PATH_DISCOVERY_TIME = 1 000	S, E
8.	IANA Consideration	N
9.	Security Considerations	N/R
10.	Acknowledgments	N/R
11.	References	N
11.1	Normative Reference	N
11.2	Informative Reference	I

1372 **7.4.4.2 Extensions to draft-daniel-6lowpan-load-adhoc-routing-03**

1373 **7.4.4.2.1 Unicast Packet Routing**

1374 The routing of unicast packet is performed using the following algorithm on reception of a  
1375 MCPS-DATA.indication from the MAC layer:

```

1376 IF (MAC destination address == address of device)
1377     IF (6LoWPAN destination address == 6LoWPAN address of device)
1378         – Generate an ADPD-DATA.indication primitive to indicate the arrival of a frame to
1379           the upper layer, with the following characteristics (see G.1.4):
1380             – DstAddrMode = 0x02
1381             – DstAddr = 6LoWPAN destination address
1382             – SrcAddr = The originator address in the 6LoWPAN mesh header
1383             – NsduLength = length of the payload
1384             – Nsdu = the payload
1385             – LinkQualityIndicator = msduLinkQuality (see G.3.2)
1386             – SecurityEnabled = (SecurityLevel != 0)
1387     ELSE IF (6LoWPAN destination address is in the neighbour table)
1388         – Forward the packet to the destination address, by invoking an MCPS-
1389           DATA.request primitive with the destination address set to the final destination
1390           address
1391     ELSE IF (6LoWPAN destination address is in the routing table and next hop in the
1392           neighbour table)
1393         – Forward the packet to the next hop found in the routing table, by invoking an
1394           MCPS-DATA.request primitive, and using the communication parameters to that
1395           device contained in the neighbour table.
1396     ELSE IF (6LoWPAN Destination Address not in routing table)
1397         – Perform a link repair as described in clause 6.5 of draft-daniel-6lowpan-load-
1398           adhoc-routing-03
1399         – Queue the packet for a sending retry
1400     ELSE
1401         – Drop the frame
1402     ELSE IF (MAC Destination address == 0xFFFF)
1403         – This is a broadcast frame: execute algorithm described in clause 7.4.4.2.2 of the
1404           present document
1405     ELSE
1406         – Drop the frame
1407
1408 7.4.4.2.2 Multicast / Broadcast
1409
1410 7.4.4.2.2.1 Packet Routing
1411 The packet routing mechanism is based on clause 11.1 of RFC 4944. This clause details more
1412 precisely the routing of broadcast and multicast packets.
1413
1414 As described in clause 11.1 of RFC 4944, each broadcast packet has a BC0 header
1415 containing a sequence number. Each time a node sends a broadcast packet, it must
1416 increment this sequence number.
1417
1418 Each node must have a broadcast log table. This table is used for routing broadcast packets,
1419 and each entry contains the parameters described in Table 52:

```

**Table 52 – Broadcast log table**

Field Name	Size	Description
SrcAddr	2 bytes	The 16-bit source address of a broadcast packet. This is the address of the broadcast initiator.

Field Name	Size	Description
SeqNumber	Integer, 1 byte	The sequence number contained in the BC0 header
TimeToLive	Integer	The remaining time to live of this entry in the Broadcast Log Table, in milliseconds.

1417 Each time a device receives a broadcast address with a HopsLft field of mesh header (see  
 1418 clause 5.2 of RFC 4944) strictly greater than 0, it must check if an entry already exists in the  
 1419 broadcast log table having the same SrcAddr and SeqNumber. If an entry exists, the received  
 1420 frame is silently discarded. Else, a new entry is added in the table, and the TimeToLive field is  
 1421 initialized with the value adpBroadcastLogTableEntryTTL (see 7.4.2). When this value  
 1422 reaches 0, the entry is removed from the broadcast log table.

1423 When a device receives a broadcast frame, so that it has to create an entry in the broadcast  
 1424 log table, it must decrement its HopsLft field and trigger the emission of the received  
 1425 broadcast frame using CSMA/CA. The frame will then be sent as if it was a standard unicast  
 1426 frame using CSMA/CA.

1427 This can be summarized by the following algorithm, executed upon reception of a frame  
 1428 whose destination address is 0xFFFF:

```

1429
1430 IF (HopsLft == 0)
1431     - Discard frame and exit
1432 ELSE IF ((SrcAddr, SeqNumber) exists in broadcast log table)
1433     - Discard frame
1434 ELSE
1435     - Create one entry (SrcAddr, SeqNumber, adpBroadcastLogTableEntryTTL) in broadcast
1436     log table, with the corresponding frame characteristics.
1437 IF (final destination address = broadcast address) or (final destination address is found in
1438 adpGroupTable)
1439     - Generate an ADPD-DATA.indication primitive to upper layer with the following
1440     characteristics:
1441         - DstAddrMode = 0x01
1442         - DstAddr = Destination address in the 6LoWPAN mesh header (multicast or
1443         broadcast address)
1444         - SrcAddr = The originator address in the 6LoWPAN mesh header
1445         - NsduLength = length of the data
1446         - Nsdu = the data
1447         - LinkQualityIndicator = msduLinkQuality (see G.3.2)
1448         - SecurityEnabled = (SecurityLevel != 0)
1449     - Trigger the sending of the frame using CSMA/CA
  
```

1450 NOTE In case of a multicast address, the broadcast address 0xFFFF is used at the MAC level as mentioned in  
 1451 clause 3 of RFC 4944. Multicast frames are routed using the same algorithm as broadcast frames.

1452 The broadcast log table is available in the Information Base with the attribute  
 1453 adpBroadcastLogTable (see 7.4.2).

#### 1454 7.4.4.2.2.2 Groups

1455 Each device can belong to one or more group of devices. The IB attribute adpGroupTable  
 1456 (see 7.4.2) stores a list of 16-bit group addresses.

1457 When the device receives a MAC broadcast message, and if the final destination address in  
 1458 the 6LoWPAN mesh header is equal to one of the 16-bit group addresses in adpGroupTable,

1459 then an ADPD-DATA.indication primitive is generated to the upper layer (as described in  
1460 7.4.4.2.2.1).

1461 Groups can be added or removed from the adpGroupTable using ADPM-SET.request  
1462 primitive. The size of this table is implementation specific, and must have at least one entry.  
1463 The way groups are managed by upper layers is beyond the scope of this document.

#### 1464 **7.4.4.2.3 Route Discovery**

##### 1465 **7.4.4.2.3.1 Manual Route Discovery**

1466 A manual route discovery can be triggered by the upper layer, for maintenance or  
1467 performance purposes. This is done through the invocation of the ADPM-ROUTE-  
1468 DISCOVERY.request primitive. The adaptation sublayer then generates a RREQ frame and  
1469 executes the algorithms as described in 7.4.4.1.

1470 After the algorithm completes, the adaptation sublayer generates an ADPM-ROUTE-  
1471 DISCOVERY.confirm primitive with the corresponding status code, and eventually modify its  
1472 routing table.

1473 Only one route discovery procedure can be processed in the same time. All other ADPM-  
1474 ROUTE-DISCOVERY.request will be ignored.

1475 All devices are required to handle RREQ, RREP and RERR frames as described in 7.4.4.1  
1476 and must modify their routing tables accordingly.

##### 1477 **7.4.4.2.3.2 Automatic Route Discovery**

1478 If an ADPD.DATA.request primitive is invoked with its DiscoverRoute parameter set to TRUE,  
1479 and if no entry is available in the routing table for the device designated by DstAddr, then the  
1480 adaptation layer generates a RREQ and executes the algorithms described in 7.4.4.1 in order  
1481 to find a route to the destination. If the route discovery succeeds, then the data frame is send  
1482 to the destination according to the newly discovered route. If the route discovery fails, then  
1483 the adaptation layer must generate an ADPD-DATA.confirm primitive with the status code  
1484 ROUTE\_ERROR.

1485 If an ADPD.DATA.request primitive is invoked with its DiscoverRoute parameter set to  
1486 FALSE, and if no entry is available in the routing table for the device designated by DstAddr,  
1487 then the adaptation layer must generate an ADPD-DATA.confirm primitive with the status  
1488 code ROUTE\_ERROR.

1489 Route repairing procedures are described in 7.4.4.1.

##### 1490 **7.4.4.2.3.3 RREQ RERR Generation Frequency Limit**

1491 A node must wait RREQ\_RERR\_WAIT second between two successive RREQ/RERR  
1492 generations to limit the number of broadcast packet in the network. The definition of the  
1493 RREQ\_RERR\_WAIT parameter is given in 7.4.4.1.

#### 1494 **7.4.4.2.4 Path Discovery**

##### 1495 **7.4.4.2.4.1 Operation**

1496 A path discovery can be triggered by the upper layers, for maintenance or performance  
1497 purposes. This is done through the invocation of the ADPM-PATH-DISCOVERY.request  
1498 primitive. The adaptation sublayer then generates a PREQ frame and executes the algorithms  
1499 described in following sub-clauses.

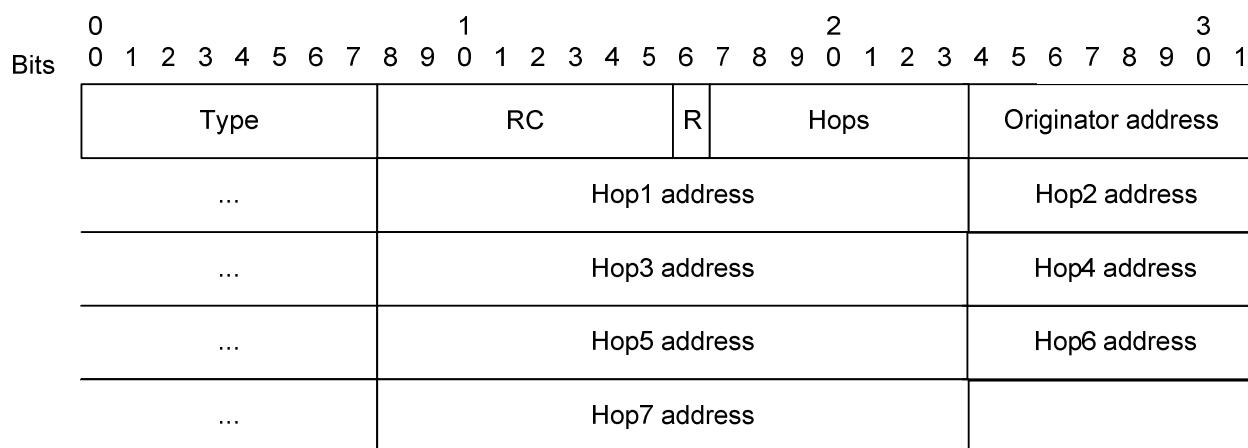


1536 **Table 53 – Path Request (PREQ) fields’ definition**

Field	Size, bits	Value	Definition
Type	8	4	Path Request (PREQ) message identifier
Destination Address	16	-	The 16 bit short link layer address of the destination for which a route is supplied
Originator Address	16	-	The 16 bit short link layer address of node which originated the packet.

1537 **7.4.4.2.4.3 Path Reply Frame**

1538 The path reply frame format and the detail of its related fields are described in Figure 29 and  
 1539 Table 54 respectively.



1540  
1541

1542 **Figure 29 – Path Reply (PREP) message format**

1543 **Table 54 – Path Reply (PREP) fields’ definition**

Field	Size (bits)	Value	Definition
Type	8	4	Path Request (PREQ) message identifier
RC	8	-	Route Cost - The accumulated link cost of the reverse route from the originator to the sender of the message.
R	1	0/1	Path discovery result: 1 Success of path discovery 0 Failure of path discovery
Hops	7	-	Number of hops of the route
Originator Address	16	-	The 16 bit short link layer address of node which originated the packet.
Hop <sub>N</sub>	16	-	The 16 bit short link layer address of nodes constituting the path.

1544 **7.4.5 Commissioning of New Devices (based on draft-6lowpan-commissioning-02)**

1545 **7.4.5.1 Selections from draft-6lowpan-commissioning-02**

1546 The commissioning of new devices on an existing network as described in draft-6lowpan-  
 1547 commissioning-02 applies, with the selections specified in Table 55.

1548

**Table 55 – Selections from draft-6lowpan-commissioning-02**

Clause	Title & remarks/modifications	Statement
1.	Introduction	N
2.	Terminology	N
2.1.	Requirements notation	N
3.	Bootstrapping - Obtaining a 16-bit short address and security credentials are mandatory parts of the commissioning process.	S
3.1.	Resetting the device	N
3.2.	Scanning through channels - For getting the information of other devices within POS, the device MUST perform an active scan.	S
3.3.	LoWPAN Bootstrapping Mechanism -'LBA discovery phase' is described in 7.4.5.2.2	E
3.3.1.	LoWPAN Bootstrapping Protocol message format	N
3.3.1.1	LBP message - Some enhancements and clarifications to LBP message format are given in 7.4.5.2.1.	E
3.3.2.	LoWPAN Bootstrapping Information Base - PAN_type must always be Secured - Address_of_LBS must be equal to the default address of the PAN coordinator that is 0x0000. - Short_Addr_Distribution_Mechanism must be 0 for centralized address management.	S
3.3.3.	LBA discovering phase - Some enhancements and clarifications to 6LoWPAN bootstrapping procedure are given in 7.4.5.2.2. - The LBD must perform an active scan instead of broadcasting a LBA solicitation message.	E
3.3.4.	LoWPAN Bootstrapping Protocol (LBP)	S
3.3.5.	Bootstrapping in open 6LoWPAN	N/R
3.3.6.	LBP in secured 6LoWPAN - The LBP messages from the LBD to the LBA are sent by invocation of the ADPD-DATA.request primitive with the following attributes: - DstAddrMode = 0x02 - DstAddr = The MAC address of the LBA passed as an argument to the ADPM-NETWORK-JOIN.request primitive - NsduLength = the length of the LBP message - Nsdu = the LBP message itself - NsduHandle = random number - MaxHops = 0 - DiscoverRoute = FALSE - QualityOfService = 0 - SecurityEnabled = FALSE  NOTE The LBA is already present in the neighbour table because an active scan must have been performed prior to invoking the ADPM-NETWORK-JOIN.request primitive. Thus the routing algorithm will operate correctly as described in 7.4.4 of the present document.  - The LBP messages from the LBA relayed to the LBS are sent by invocation of the ADPD-DATA.request primitive with the following attributes: - DstAddrMode = 0x02	S

Clause	Title & remarks/modifications	Statement
	<ul style="list-style-type: none"> <li>- DstAddr = The MAC address of the LBS</li> <li>- NsduLength = the length of the LBP message</li> <li>- Nsdu = the LBP message itself</li> <li>- NsduHandle = random number</li> <li>- MaxHops = adpMaxHops (see 7.4.2.1)</li> <li>- DiscoverRoute = TRUE</li> <li>- QualityOfService = 0</li> <li>- SecurityEnabled = TRUE</li> </ul> <p>- The LBP messages from the LBS to the LBD relayed to the LBA are sent by invocation of the ADPD-DATA.request primitive with the following attributes:</p> <ul style="list-style-type: none"> <li>- SrcAddrMode = 0x02</li> <li>- DstAddrMode = 0x03</li> <li>- DstPANId = the PAN ID</li> <li>- DstAddr = 64-bit address of the LBD</li> <li>- NsduLength = the length of payload</li> <li>- Nsdu = the payload</li> <li>- msduHandle = a random number</li> <li>- TxOptions = 100b</li> <li>- SecurityLevel = 0</li> <li>- KeyIdMode = ignored</li> <li>- KeySource = ignored</li> <li>- KeyIndex = ignored</li> </ul>	
3.3.7.	<p>Role of Entities in LBP</p> <ul style="list-style-type: none"> <li>- If a LBD does not find any LBA during the LBA discovery phase, it must still perform LBA discoveries as long as it is not commissioned. Note that LBA discovery is done using active scans rather than broadcasting LBA solicitation messages.</li> <li>- Only secured networks are used</li> </ul>	S
3.4.	<p>Assigning the short address</p> <p>Short addresses are assigned in a centralized fashion by the LBS</p>	S
3.5.	<p>Obtaining IPv6 address</p> <ul style="list-style-type: none"> <li>- The devices do not need to obtain an IPv6 address prefix, and the procedures described in this clause as well as in RFC 4862 must be ignored. Only the IPv6 Link Local Address generated as stated in clause 7 of RFC 4944 is used for communication.</li> </ul>	M
3.6.	<p>Configuration Parameters</p> <ul style="list-style-type: none"> <li>- The values of the configuration parameters must be: <ul style="list-style-type: none"> <li>CHANNEL_LIST = 0xFFFF800 (not used)</li> <li>SCAN_DURATION = adpActiveScanDuration (see 7.4.2.1)</li> <li>SUPERFRAME_ORDER = 15</li> <li>BEACON_ORDER = 15</li> <li>START_RETRY_TIME = 0 (not used)</li> <li>JOIN_RETRY_TIME = 0 (not used)</li> <li>ASSOCIATION_RETRY_TIME = 0 (not used)</li> </ul> </li> </ul>	M
4.	IANA Consideration	N/R
5.	Security Considerations	N
6.	Contributors	N/R
7.	Acknowledgments	N/R



Clause	Title & remarks/modifications	Statement
8.	References	N
8.1.	Normative References	N
8.2.	Informative References	I

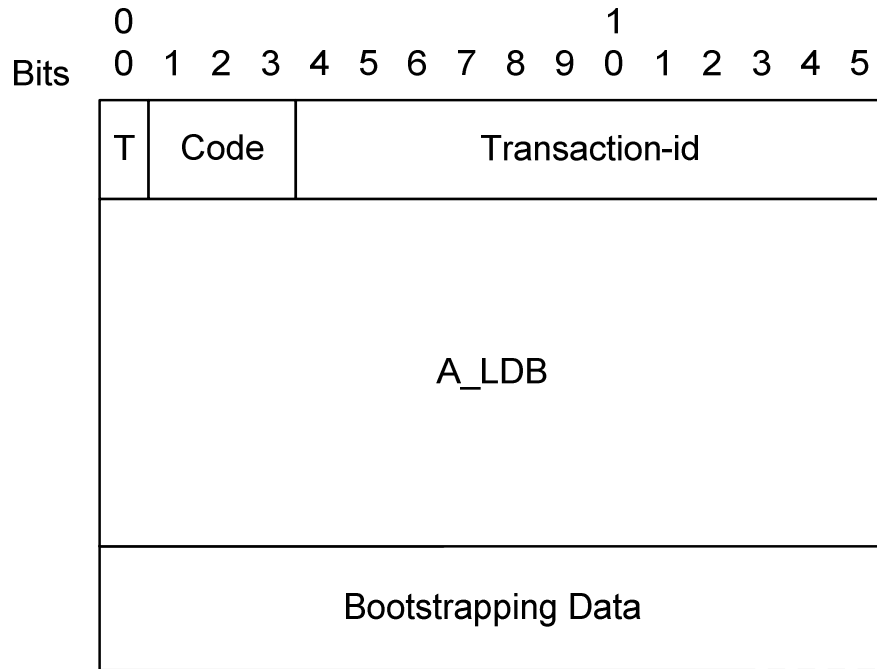
1549

1550 **7.4.5.2 Extensions to draft-6lowpan-commissioning-02**

1551 **7.4.5.2.1 LoWPAN Bootstrapping Protocol (LBP) message format**

1552 **7.4.5.2.1.1 General**

1553 LBP message format and the detail of its related fields are described in Figure 30 and Table  
 1554 56 respectively.



1555

**Figure 30 – LBP message format**

1556

1557 where

1558 T identifies the type of message (1-bit)

1559 0 Message from LDB

1560 1 Message to LDB

1561 Code identifies the message code (3-bit) defined in Table 56.

1562 Transaction-id aids in matching Responses with Request (12-bit)

1563 A\_LDB The A\_LDB field is 8 octets and indicates the EUI-64 address of the  
 1564 bootstrapping device (LBD).

1565 Bootstrapping Data The Bootstrapping Data field is of variable length and contains  
 1566 additional information elements. Two types are defined:

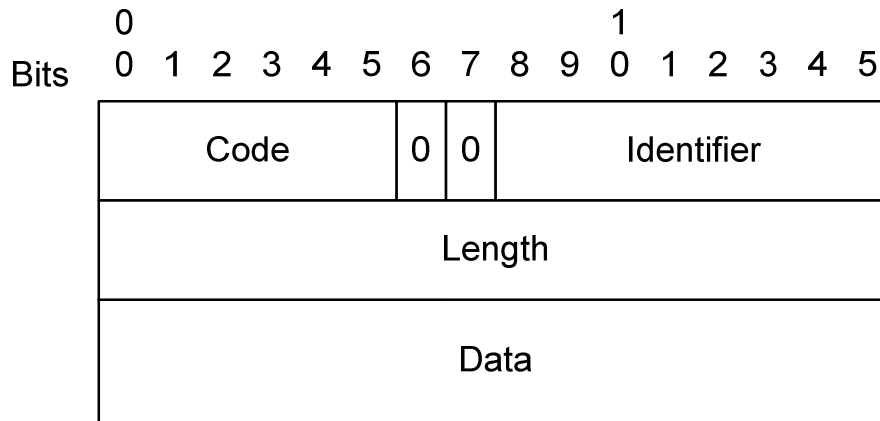
- 1567 - Embedded EAP messages (see 7.4.5.2.1.2),
- 1568 - Configuration parameters (see 7.4.5.2.1.3).

1569 **Table 56 – T & Code fields in LBP message**

T	Code	LDB message	Description
0	001	JOINING	The LDB requests joining a PAN and provides necessary authentication material
1	001	ACCEPTED	Authentication succeeded with delivery of Device Specific Information (DSI) to the LDB
1	010	CHALLENGE	Authentication in progress. PAN Specific Information (PSI) may be delivered to the LDB
1	011	DECLINE	Authentication failed
0/1	100	KICK	KICK frame is used by a PAN coordinator to force a device to loose its MAC address, or by any device to inform the coordinator that it left the PAN. On reception of this frame, a device must set its short address to the default value of 0xFFFF, disconnect itself from the network, and perform a reset of the MAC and adaptation layers. See 7.4.5.2.2.7for details about kicking procedure.
0	101	CONFLICT	CONFLICT frame is used by a device to inform the PAN coordinator that it has detected another PAN operating in the same POS. See 7.5.2 for details about PAN ID conflict handling.

1570 **7.4.5.2.1.2 Embedded EAP messages**

1571 LBP messages embed Extended Authentication messages (EAP) as defined by RFC 3748.  
1572 Figure 31 describes minor modification to fit the generic LBP information element format.



1573  
1574 **Figure 31 – Embedded EAP message format (generic)**

1575 where

1576 Code identifies the Type of EAP packet (6-bit). EAP Codes are assigned as follows:

- 1577 1 Request (sent to the peer = LDB)
- 1578 2 Response (sent by the peer)
- 1579 3 Success (sent to the peer)
- 1580 4 Failure (sent to the peer)

1581 The Code field is slightly different from a regular EAP Code field as specified  
1582 in RFC 3748. The conversion appears straightforward in both directions. The  
1583 proper conversion must apply when the EAP message is propagated over

1584 another protocol (i.e. RADIUS) and in case of integrity protection covering the  
 1585 EAP header.

1586 Identifier and aids in matching Responses with Requests (8-bit).

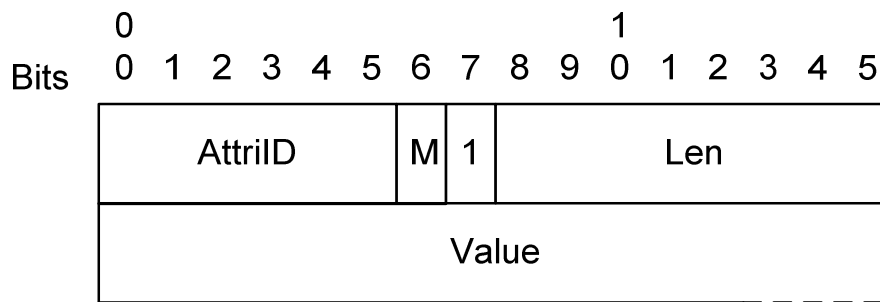
1587 Length The Length field is two octets and indicates the length, in octets, of the EAP  
 1588 packet including the Code, Identifier, Length, and Data fields. A message with  
 1589 the Length field set to a value larger than the number of received octets must  
 1590 be silently discarded.

1591 Data The Data field is zero or more octets. The format of the Data field is  
 1592 determined by the Code field. Refer to RFC 3748 for more details on:

- 1593 – Specific format for Request / Response messages and the introduction of
- 1594 the Type field (Identity, Nak, etc.),
- 1595 – Specific format for Success / Failure messages with an empty Data field.

1596 **7.4.5.2.1.3 Configuration parameters**

1597 Configuration parameter format and the detail of its related fields are described in Figure 32:



1598

1599 **Figure 32 – Configuration parameter format**

1600 where

1601 Attr-ID represents the ID of the Attribute in LoWPAN Information Base (LIB) (6-bit)

1602 M identifies the type of the Attribute (1-bit):

1603 0 Device Specific Information (DSI)

1604 1 PAN Specific Information (PSI)

1605 Len indicates the length, in octets, of the Value field (8-bit)

1606 Value is zero or more octets and contains the value of the Attribute. Its format is  
 1607 defined by Attr-ID.

1608 **7.4.5.2.2 6LoWPAN bootstrapping procedures**

1609 **7.4.5.2.2.1 Overview**

1610 This clause proposes some enhancements and clarifications to 6LoWPAN bootstrapping  
 1611 procedure. This procedure is executed when the ADPM-NETWORK-JOIN.request primitive is  
 1612 invoked by the upper layer.

1613 Figure 33 provides an overview of the messages exchanged between devices during the  
 1614 Bootstrapping procedure.



1615  
1616

1617

**Figure 33 – Bootstrapping protocol messages sequence chart**

1618 Figure 34 summarizes the forwarded messages involved during a nominal association  
1619 procedure on a PAN between different protocol layers of the devices, when a single LBP  
1620 protocol message needs to be exchanged between the LBD and the LBS.



- 1630 – ScanType = 0x01;
  - 1631 – ScanChannels = all bits to 0 (not used);
  - 1632 – ScanDuration = Duration;
  - 1633 – ChannelPage = 0 (not used);
  - 1634 – SecurityLevel = 0;
  - 1635 – KeyIdMode = Ignored;
  - 1636 – KeySource = Ignored;
  - 1637 – KeyIndex = Ignored.
- 1638 The LBD sends a 1-hop broadcast Beacon.request frame and any Full Feature Device in the  
1639 Neighbourhood should reply by sending a Beacon frame with its PAN identifier, short address  
1640 and capabilities.
- 1641 Upon completion, the MAC layer issues a MLME-SCAN.confirm primitive, with the list of  
1642 existing PAN in the PANDescriptorList parameter. In response, the adaptation layer generates  
1643 an ADPM-DISCOVERY.confirm primitive which contains the PANDescriptorList parameter  
1644 provided by the MAC layer.
- 1645 At the end of the scan, the LBD selects one of the Beacon senders. It may be either the PAN  
1646 coordinator that play the role of LoWPAN Bootstrapping Server (LBS) or another FFD. In the  
1647 latter case, the FFD (aka LoWPAN Bootstrapping Agent or LBA) is in charge of relaying the  
1648 LoWPAN Bootstrapping Protocol (LBP) frames between the LBA and the LBS.
- 1649 The choice is based on the following criteria:
- 1650 a) Association permit, rejected if negative;
  - 1651 b) Link Quality, rejected below a threshold;
  - 1652 c) PAN identifier, according to a round robin algorithm;
  - 1653 d) PAN coordinator, preferred if positive;
  - 1654 e) Short address, according to a round robin algorithm.
- 1655 A device must not perform more than `adpMaxDiscoveryPerHour` network discovery  
1656 procedures per hour.
- 1657 **7.4.5.2.2.3 Access control phase**
- 1658 Once the discovery phase is finished, the LBD send an LBP JOINING frame to the LBA. This  
1659 frame includes a field that carries the EUI-64 address of the joining LBD.
- 1660 This frame, as any other frame during the initial part of the Bootstrapping process, is  
1661 transmitted between the LBD and the LBA without any additional security at the MAC layer.
- 1662 When received by the LBA, this frame is relayed by the LBA to the LBS. The LBA is supposed  
1663 fully bootstrapped with the full capability to directly transmit any message to the LBS in a  
1664 secure way.
- 1665 The LBP protocol has been designed to fit two different authentication architectures:
- 1666 – The authentication function is directly supported by the LBS, and in this case all the  
1667 authentication material (access lists, credentials, etc.) must be loaded in the LBS or,
  - 1668 – The authentication function is supported by a remote (and usually centralized) AAA  
1669 server, and in this case, LBS is only in charge of forwarding the EAP messages to the  
1670 AAA server over a standard AAA protocol (i.e. RADIUS, RFC 2865).

1671 The following procedure description is only based on the first architecture but extension to the  
1672 second one appears straightforward.

1673 So, when received by the LBS, the EUI-64 address should be compared with an Access  
1674 Control list (white list or black list) with the following possibilities:

- 1675 – This address does not fit the Access Control list and the LBS send back an LBP  
1676 DECLINE message, embedding an EAP Failure message or,
- 1677 – This address fit the Access Control List (or the Access Control is not implemented)  
1678 and the LBS send back an LBP CHALLENGE message, embedding an EAP Request  
1679 message. This latter message also carries the first authentication message.  
1680 In the present version of this standard, the EAP identity phase is skipped as proposed  
1681 by RFC 3748 to directly move to the authentication phase by sending the first message  
1682 of the selected EAP method.
- 1683 – The EAP identity phase could be reintroduced later when the need of roaming features  
1684 arise.

1685 In both cases, these messages are relayed by the LBA to the LDB.

#### 1686 **7.4.5.2.2.4 Authentication and key distribution phase**

1687 The Authentication phase is fully dependant of the EAP method in place. The EAP protocol is  
1688 very flexible and support various EAP methods (EAP-MD5, EAP-AKA, EAP-TLS, etc.). Each  
1689 method is characterized by its credentials (shared secret, certificate, SIM cards, etc.) and by  
1690 its signature and encryption algorithms.

1691 Methods are ordinary based on two round-trip exchanges:

- 1692 – The first one for mutual authentication and initial exchange of ciphering material; and,
- 1693 – The second one for mutual control of session keys derivation.

1694 At the end, the LBD should be equipped with two sets of session keys:

- 1695 – Unicast session keys for the end-to-end security of EAP messages. These keys are  
1696 timely refreshed; and
- 1697 – Group session keys for a basic PAN security. These keys are shared by all the  
1698 authenticated nodes in the PAN. Every MAC data frame, except those involved in the  
1699 initial phases of the bootstrapping procedure, is securely transmitted with encryption  
1700 and decryption at every hop. These Group keys are timely refreshed and when a node  
1701 is detached from the PAN.

1702 Other keys may be derived for additional security services provided at the Application level.

1703 Refer to 8.5 for further details on the proposed EAP method.

#### 1704 **7.4.5.2.2.5 Authorization and initial configuration phase**

1705 Then, two possibilities:

- 1706 – The Authentication and Key Distribution process does reach completion and the LBS  
1707 sends back an LBP DECLINE message, embedding an EAP Failure message and  
1708 relayed by the LBA to the LBD; or,
- 1709 – This process reaches completion and the LBS selects 16-bit short Address, globally  
1710 defined and fully routable in the PAN. The LBS sends back an LBP ACCEPTED  
1711 message, embedding an EAP Success message. At receipt of this message, the LBD  
1712 activate the GMK key. A second LBP ACCEPTED message is sent by LBS embedding  
1713 the global 16-bit short address and the various parameters (Device Specific and PAN  
1714 Specific). These messages are relayed by the LBA to the LDB. At this stage, the LDB

1715 owns the necessary session's keys and, the messages are securely transferred end-  
1716 to-end.

1717 At reception of the LBP message, the LBD must set-up an optimized route to the LBS with the  
1718 help of the LOAD protocol (see 7.4.4).

#### 1719 7.4.5.2.2.6 Joining a PAN for any node except coordinator

1720 The network joining procedure must only be performed by a device which is not a PAN  
1721 coordinator, and which does not have a short address. It is triggered by invocation of the  
1722 ADPM-NETWORK-JOIN.request primitive. The algorithm to execute is:

- 1723 – Short\_Address = 0xFFFF (= no short address)
- 1724 – Current\_Neighbor\_index = 0
- 1725 – Connected = FALSE
- 1726 – While (Short\_Address == 0xFFFF)
  - 1727 • Wait for a random number of seconds. The number of seconds the device  
1728 must wait is  
1729 
$$\text{adpNumDiscoveryAttempts} \times \text{Rnd}$$
  
1730 where,  
1731 Rnd is a random integer value between 1 and  
1732 adpDiscoveryAttemptsSpeed,  
1733  
1734 – adpNumDiscoveryAttempts is the number of times the ADPM-  
1735 NETWORK-DISCOVERY.request  
1736 primitive was called in this procedure.
  - 1737 – adpNumDiscoveryAttempts must be reset to 0 when ADPM-  
1738 NETWORK-DISCOVERY.request succeeds, on device start-up, and after a  
1739 reset of the adaptation layer.
  - 1740 – The value of adpNumDiscoveryAttempts must not be incremented  
1741 anymore if it reaches 15,
  - 1742 • Perform the “Discovering Phase” through invocation of an ADPM-  
1743 NETWORK-DISCOVERY.request primitive.  
1744 NOTE The Neighbour Table must be updated for each received beacon
  - 1745 • If existing PANs were found
    - 1746 – Select a PAN and LBA in the neighbour table (criteria definition is  
1747 implementation specific),
    - 1748 – While ((Connected == FALSE) && (Current\_Neighbor\_index <  
1749 Size\_of\_Neighbor\_Table))
      - 1750 – Perform the access control (see 7.4.5.2.2.3), the  
1751 authentication and key distribution (see 7.4.5.2.2.4), then  
1752 the authorization and initial configuration (see 7.4.5.2.2.5)  
1753 phases through invocation of the ADPM-NETWORK-  
1754 JOIN.request primitive using the PAN identifier and MAC  
1755 address above:
        - 1756 – PANId = PANId chosen above
        - 1757 – LBAAddress = Address at index  
1758 Current\_Neighbor\_index
      - 1759 – If ADPM-NETWORK-JOIN.confirm == SUCCESS then,
        - 1760 – Short\_Address = result of association process
        - 1761 – Connected = TRUE
      - 1762 – Else
        - 1763 – Current\_Neighbor\_index ++



- 1764 • While (Connected == TRUE)
- 1765     – Wait for a disconnection

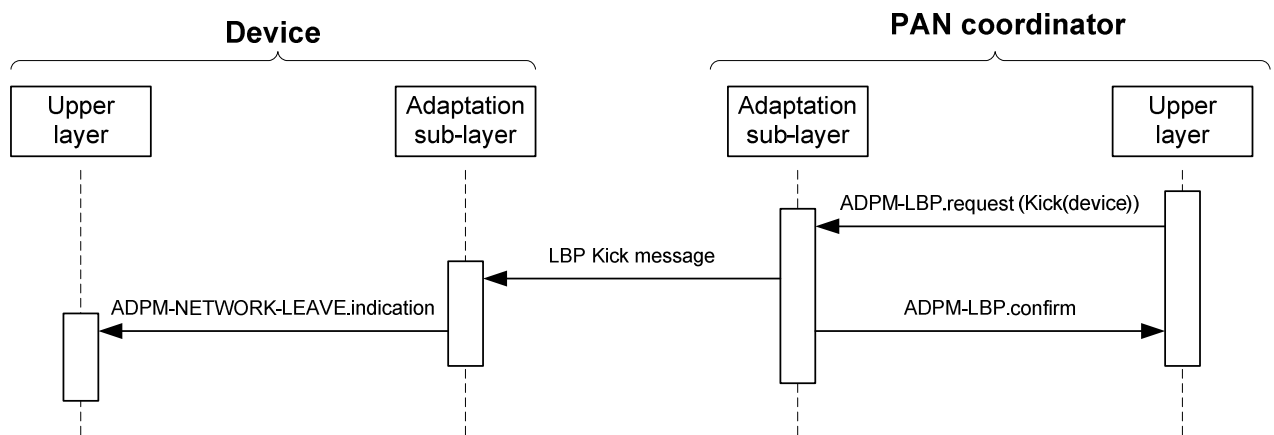
1766 **7.4.5.2.2.7 Leaving a PAN – Removal of a device by the PAN coordinator**

1767 The PAN coordinator may instruct a device to remove itself from the network invoking the  
 1768 ADPM-LBP.request primitive, using a KICK frame. This frame is a standard LBP message  
 1769 frame with its Code field set to 100b. The bootstrapping data in that message should be  
 1770 empty.

1771 When a device receives this message, it must check if the A\_LBD field of the LBP message is  
 1772 its own address. If not, the message is silently discarded. Else the device must perform the  
 1773 following steps:

- 1774     – Acknowledge the frame if necessary;
- 1775     – Set its 16-bit short address to 0xFFFF;
- 1776     – Generate a ADPM-NETWORK-LEAVE.indication containing the 64-bit address of the  
 1777         device;
- 1778     – Invoke a MLME-RESET.request primitive with the SetDefaultPIB parameter set to  
 1779         TRUE;
- 1780     – Invoke its ADPM-RESET.request primitive to reset itself.

1781 Figure 35 describes the messages exchanged during removal of a device from the PAN by the  
 1782 coordinator.



1783  
1784

1785 **Figure 35 – Message sequence chart during removal of a device by the coordinator**

1786 Upon completion of this procedure, the device must restart the joining network procedure  
 1787 described in 7.4.5.2.2.

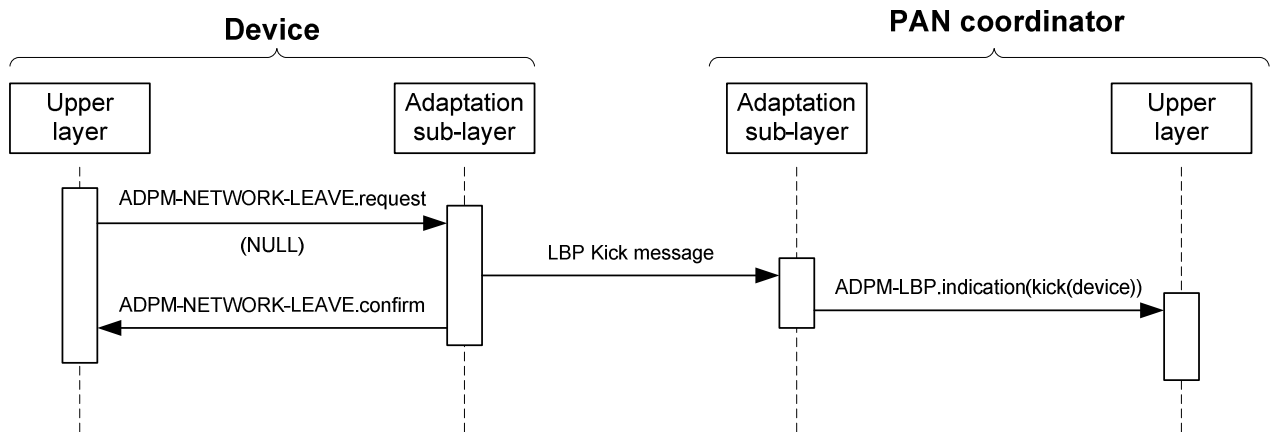
1788 **7.4.5.2.2.8 Leaving a PAN – Removal of a device by itself**

1789 A device may also call the ADPM-NETWORK-LEAVE.request primitive to remove itself from  
 1790 the network, and notify the PAN coordinator about this removal.

- 1791     – On invocation of the ADPM-NETWORK-LEAVE.request primitive by a device which is not  
 1792         the PAN coordinator, and with an ExtendedAddress parameter not NULL, the adaptation  
 1793         sublayer must issue an ADPM-NETWORK-LEAVE.confirm primitive with the status  
 1794         INVALID\_REQUEST;
- 1795     – On invocation of the ADPM-NETWORK-LEAVE.request primitive by a device which is the  
 1796         PAN coordinator, and with an ExtendedAddress parameter set to NULL, the adaptation

- 1797 sublayer must issue an ADPM-NETWORK-LEAVE.confirm primitive with the status  
 1798 INVALID\_REQUEST.
- 1799 – On invocation of the ADPM-NETWORK-LEAVE.request primitive by a device which is not  
 1800 the PAN coordinator, and with a ExtendedAddress parameter set to NULL, the adaptation  
 1801 sublayer must:
- 1802 – Send a KICK frame to the PAN coordinator using a ADPD-DATA.request primitive  
 1803 (and setting the T field in the LBP message to 1, to indicate a message from LBD);
  - 1804 – Set its 16-bit short address to 0xFFFF;
  - 1805 – Generate a ADPM-NETWORK-LEAVE.indication containing the 64-bit address of  
 1806 the device;
  - 1807 – Invoke a MLME-RESET.request primitive with the SetDefaultPIB parameter set to  
 1808 TRUE;
  - 1809 – Invoke its ADPM-RESET.request primitive to reset itself.

1810 Figure 36 describes the messages exchanged during the removal of a device initiated by the  
 1811 device itself.



1812  
 1813

1814 **Figure 36 – Message sequence chart during removal of a device by itself**

1815 On the PAN coordinator side, an ADPM-LBP.indication containing the KICK message received  
 1816 is generated to inform the upper layers. This message contains the 64-bit address of the  
 1817 device which removed itself from the PAN

1818 **7.4.6 Fragment Recovery (based on draft-thubert-6lowpan-simple-fragment-recovery-**  
 1819 **02)**

1820 The fragment recovery as described in draft-thubert-6lowpan-simple-fragment-recovery-02  
 1821 applies, with the selections specified in Table 57.

1822 **Table 57 – Selections from draft-thubert-6lowpan-simple-fragment-recovery-02**

Clause	Title & remarks/modifications	Statement
1.	Introduction	N
2.	Terminology	N
3.	Rationale	N
4.	Requirements	N
5.	Overview	N
6.	New Dispatch types and headers	N

Clause	Title & remarks/modifications	Statement
6.1.	Recoverable Fragment Dispatch type and Header	N
6.2.	Fragment Acknowledgement Dispatch type and Header	N
7.	Outstanding Fragments Control	N
8.	Security Considerations	N
9.	IANA Considerations	N
10.	Acknowledgments	N/R
11.	References	N
11.1.	Normative References	N
11.2.	Informative References	I

1823 **7.4.7 Spy Mode**

1824 This mode is used to have a spy modem supervising all transmission on its behaviour. Once  
 1825 activated; the modem will process all packets like its own. The spy modem will generate an  
 1826 ADPD-DATA.indication for all packets received. It must also, behave like in normal mode;  
 1827 processing and forwarding packets.

1828 For example, on reception of an MCPS-DATA.indication which is not mine, the modem, in this  
 1829 case, will generate an ADPD-DATA.indication for upper layer then forward the packet toward  
 1830 the destination.

1831 The route cost of all links with a spy modem is set to 31 so we prevent routing a packet via  
 1832 such modem.

1833 If a spy modem receives a fragment, it will add an IPv6 fragment header to the packet so the  
 1834 upper layer can detect it. The fragment offset field will be set to the offset of the LOWPAN  
 1835 header and the Identification field to the Datagram\_Tag.

1836 **7.5 Functional description**

1837 **7.5.1 Network formation**

1838 The network formation can only be performed by the PAN coordinator. Any device other than  
 1839 the PAN coordinator must not attempt to perform a network formation.

1840 Prior to the network formation, the PAN coordinator must perform an active scan as described  
 1841 in 7.4.5.2.2.2. If the PANDescriptorList given by the ADPM-DISCOVERY.confirm primitive is  
 1842 empty, then the PAN coordinator can start a new network. If the PANDescriptorList is not  
 1843 empty, the PAN coordinator should inform the rest of the system that a PAN is already  
 1844 operating in the POS of the device, and may start a new network afterwards. The procedures  
 1845 and decisions associated with this behaviour are implementation specific.

1846 After the network discovery, the PAN coordinator must set its PAN ID to the predefined value  
 1847 stored in it. This value can be obtained remotely from a configuration server, or locally  
 1848 computed. The way how this PAN ID is chosen and set in the coordinator is implementation  
 1849 specific.

1850 NOTE The PAN identifier must be logically ANDed with 0xFCFF, as described in 7.4.3 of the present document  
 1851 (clause 6 of RFC 4944).

1852 Once the PAN identifier has been determined, the adaptation sublayer must invoke the  
 1853 MLME-START.request with the following parameters:

- 1854 – PANId = the PAN identifier computed;
- 1855 – LogicalChannel = 0 (not used);
- 1856 – ChannelPage = 0 (not used);

- 1857 – StartTime = 0 (not used);
- 1858 – BeaconOrder = 15 (beaconless network);
- 1859 – SuperframeOrder = 15 (not used);
- 1860 – PANCoordinator = TRUE;
- 1861 – BatteryLifeExtension = FALSE (not used);
- 1862 – CoordRealignment = FALSE;
- 1863 – CoordRealignSecurityLevel, CoordRealignKeyIdMode, CoordRealignKeySource and
- 1864 CoordRealignKeyIndex: not used, should be set to 0;
- 1865 – BeaconSecurityLevel = 0;
- 1866 – BeaconKeyIdMode, BeaconKeySource, BeaconKeyIndex: not used, should be set to 0.

1867 The MAC sublayer then generates a MLME-START.confirm primitive with the corresponding  
 1868 status code, which is forwarded to the upper layers through the generation of an ADPM-  
 1869 NETWORK-START.confirm.

1870 **7.5.2 PAN ID conflict detection and handling**

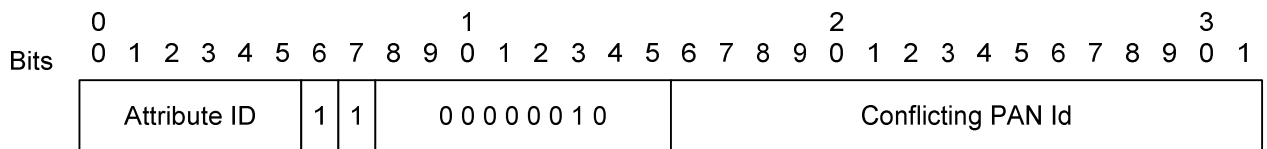
1871 At any time, when a device is associated to a PAN, its MAC sublayer must analyze the  
 1872 destination and source PAN Identifier in the MAC header of any frame it receives.

1873 If a frame containing a destination or source PAN Identifier is received and does not match  
 1874 the PAN Identifier of the device, it must generate a MLME-SYNC-LOSS.indication primitive  
 1875 with the following characteristics:

- 1876 – LossReason = PAN\_ID\_CONFLICT;
- 1877 – PANId = The conflicting PAN ID;
- 1878 – LogicalChannel = 0 (not used);
- 1879 – ChannelPage = 0 (not used);
- 1880 – SecurityLevel = 0 (not used);
- 1881 – KeyIdMode, KeySource and KeyIndex can be ignored.

1882 If the adaptation sublayer receives a MLME-SYNC-LOSS.indication primitive with another  
 1883 LossReason than PAN\_ID\_CONFLICT, it must ignore it.

1884 In response, the adaptation layer must generate a CONFLICT frame to its PAN coordinator.  
 1885 This frame is a standard LBP message frame with its Code field set to 101b. The  
 1886 bootstrapping data in that message should contain the PAN Id of the detected PAN using the  
 1887 format defined in clause 3.3.1 of draft-6lowpan-commissioning-02 and described in Figure 37:



1888  
 1889

1890 **Figure 37 – CONFLICT message format**

1891 This frame is sent using an ADPD-DATA.request primitive with the following attributes:

- 1892 – DstAddrMode = 0x02;
- 1893 – DstAddr = IPv6 destination address, formed with the short address of 0x0000;
- 1894 – NsduLength = the length of the frame;

- 1895 – Nsdu = the frame;
- 1896 – NsduHandle = a random number;
- 1897 – MaxHops = adpMaxHops;
- 1898 – DiscoverRoute = TRUE;
- 1899 – QualityOfService = FALSE;
- 1900 – SecurityEnabled = TRUE.

1901 A device must wait adpPANConflictWait seconds between two consecutive sending of a  
1902 CONFLICT frame for the same conflicting PAN Id, and the total number of CONFLICT frames  
1903 sent for a given conflicting PAN Id must not exceed adpMaxPANConflictCount. When this  
1904 value is reached, the device must stop sending CONFLICT frames for this conflicting PAN Id.

1905 When the PAN coordinator receives this frame, it must generate an ADPM-NETWORK-  
1906 STATUS.indication primitive to the upper layer, with:

- 1907 – The Status field sets to PAN\_ID\_CONFLICT; and
- 1908 – The AdditionalInformation field sets to the conflicting PAN Id.

## 1909 **8 Security**

### 1910 **8.1 Access control and authentication**

1911 An End Device (ED) may not access to the network without a preliminary Identification (with  
1912 comparison to white or black lists) and Authentication. Identification and Authentication are  
1913 based on two parameters that personalized every ED:

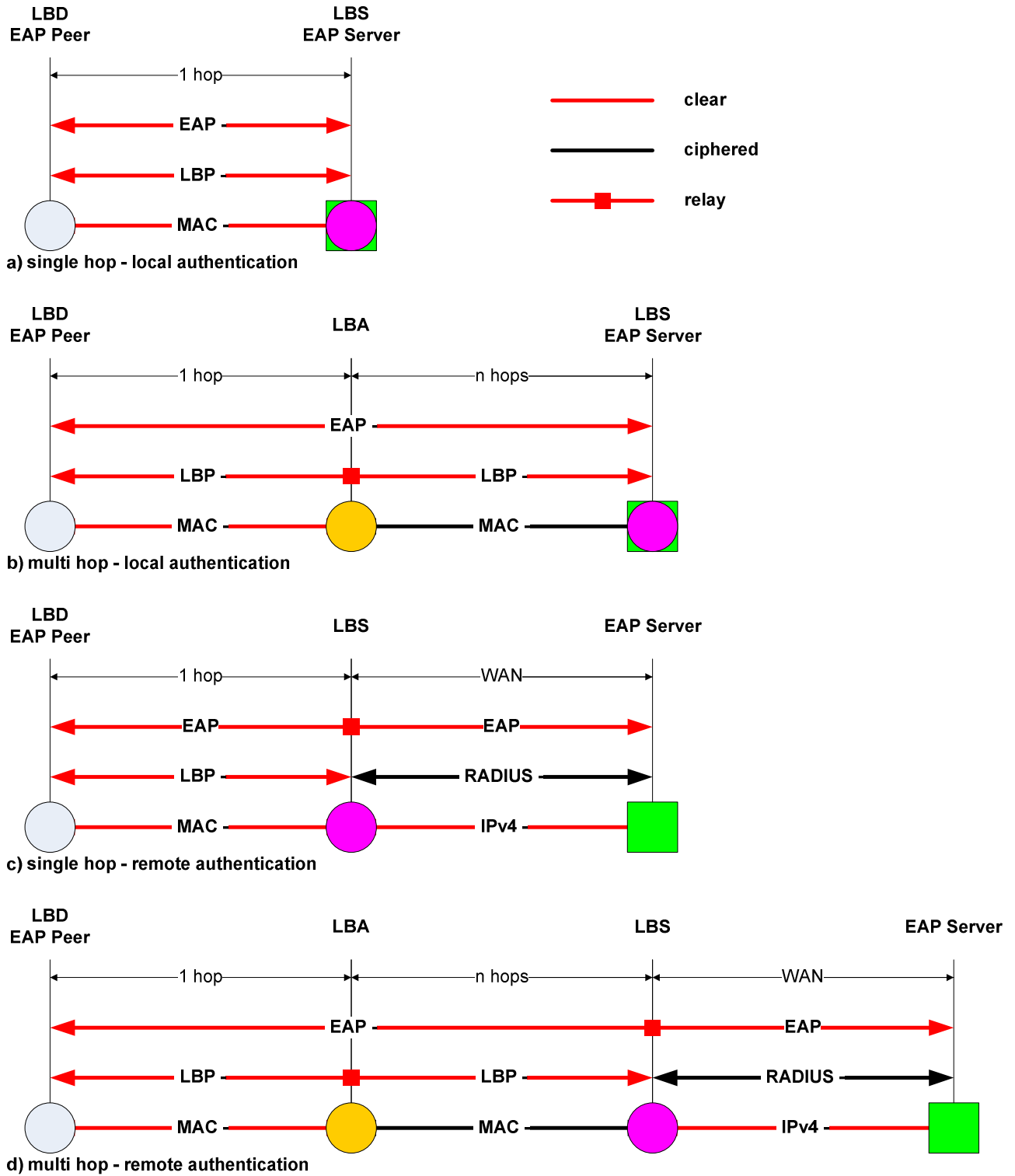
- 1914 • A EUI-48 MAC address as defined in IEEE 802. This address may be easily converted into  
1915 a EUI-64 as required by IEEE 802.15.4 and related documents;
- 1916 • A 128-bit shared secret (aka Pre-Shared Key or PSK) used as a credential during the  
1917 authentication process. It is shared by the ED itself (aka peer) and an authentication  
1918 server. The mutual authentication is based on a proof the other party knows the PSK. It is  
1919 of highest importance, the PSK remains secret.

1920 The Identification and Authentication processes are activated when an ED restarts and may  
1921 also be launched at any time according to the security policy in place. The related material is  
1922 carried by the 6LoWPAN Bootstrapping Protocol (LBP) (see 7.4.5) that embeds the Extensible  
1923 Authentication Protocol (EAP) (see 7.4.5.2.1.2).

1924 As shown in Figure 38, LBP and EAP have been designed to be relayed by intermediates  
1925 nodes. Then during the Bootstrapping phase, when an ED (aka LBD) that have not yet  
1926 acquired a routable 16-bit address, is a 1-hop distance of the PAN Coordinator (aka LBS)  
1927 they can directly communicate. Otherwise, they must use an intermediate node (aka LBA)  
1928 located at 1-hop distance of the LBD.

1929 Moreover, two different authentication architectures must be considered:

- 1930 – The authentication server function is directly supported by the LBS, and in this case all the  
1931 authentication material (access lists, credentials, etc.) must be loaded in the LBS;
- 1932 – The authentication server function is supported by a remote (and usually centralized) AAA  
1933 server, and in this case, the LBS is only in charge of forwarding the EAP messages to the  
1934 AAA server over a standard AAA protocol (i.e. RADIUS RFC 2865).



1935

1936

**Figure 38 – LBP and EAP Relaying Capabilities**

1937 The Authentication process is fully dependant of the EAP method in place. The EAP protocol  
 1938 is very flexible and support various EAP methods (EAP-MD5, EAP-AKA, EAP-TLS, etc.). Each  
 1939 method is characterized by its credentials (shared secret, certificate, SIM cards, etc.) and by  
 1940 its signature and encryption algorithms.

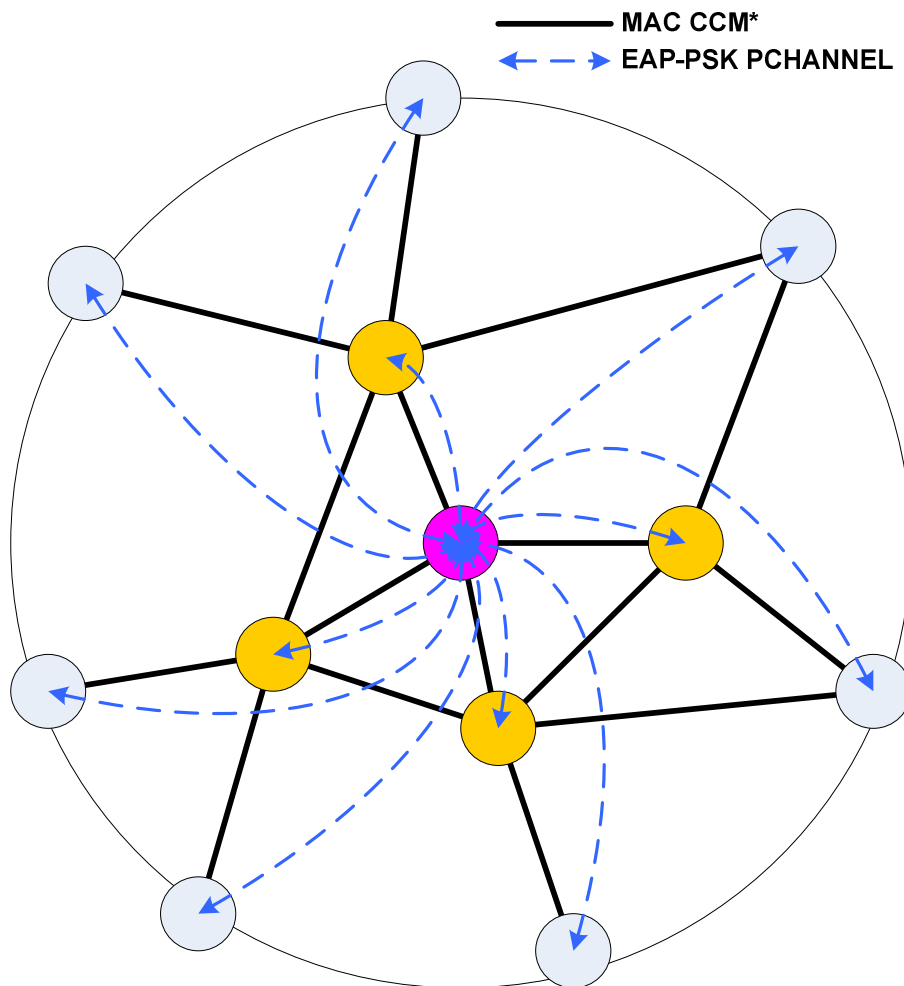
1941 The method adopted for the OFDM CPL network is EAP-PSK (see 7.4.5), the main design  
 1942 goals of which are:

- 1943 – Simplicity: it is entirely based on a single credential (a 128-bit Pre-Shared Key) and a
- 1944 single cryptographic algorithm (AES-128);
- 1945 – Security: it appears very conservative in its design following well-known and improved
- 1946 cryptographic schemes.;
- 1947 – Extensibility: in the OFDM CPL case, it is easily extended to support Group Key
- 1948 distribution (see 8.5.2).

1949 **8.2 Confidentiality and integrity**

1950 As shown by Figure 39, confidentiality and integrity services are ensured at different levels:

- 1951 – At MAC level: as defined in IEEE 802.15.4, a CCM\* type of ciphering is delivered to every
- 1952 frame transmitted between nodes in the network. It's a universal Low Layer Confidentiality
- 1953 and Integrity service (with anti-replay capabilities). The MAC frames are encrypted and
- 1954 decrypted at every hop. The only exceptions are some well-controlled frames in the early
- 1955 stages of the Bootstrapping process. To fairly support this service, all the nodes in the
- 1956 network receive the same Group session key (GMK). This GMK is individually and
- 1957 securely distributed to every node by using the EAP-PSK Secure Channel;



1958

1959 **Figure 39 – Confidentiality and Security**

- 1960 – At the EAP-PSK level: as defined in RFC 4764, EAP-PSK provides Confidentiality and
- 1961 Integrity (and Replay Protection) services, also known as Protected Channel
- 1962 (PCHANNEL) to the messages exchanged over EAP between the EAP server and any
- 1963 peer.

1964 **8.3 Anti-Replay and DoS prevention**

1965 It is always difficult to prevent DoS attacks, and especially those targeting the Physical level,  
1966 but by nature their impact is limited to a small area.

1967 The CCM\* ciphering mode is generalized at MAC layer. It prevents unauthenticated devices  
1968 accessing the network and having malicious actions on routing, provisioning and any other  
1969 Low Layer processes. The only exception is the well-controlled Bootstrapping process.

1970 Moreover, an anti-replay mechanism is specified at the MAC sublayer.

1971 **8.4 Authentication and key distribution protocol – Selections from RFC 3748**

1972 Authentication and key distribution are supported by the Extensible Authentication Protocol  
1973 (EAP) as given in RFC 3748 together with the selections listed in Table 58.

1974 **Table 58 – Selections from RFC 3748**

Clause	Title & remarks/modifications	Statement
1.	Introduction	N
2.	Extensible Authentication Protocol (EAP) - Initial Identity Request (allow roaming and EAP method negotiation) is let for further study and must be bypassed.	S
2.1	Support for Sequences	N
2.2	EAP Multiplexing Model - Only one EAP method is defined (cf. 7.4.5)	S
2.3	Pass-Through Behaviour - Over LBP, the Code field is slightly different from a regular EAP Code field as specified in RFC 3748. The conversion appears straightforward in both directions. The proper conversion must apply when the EAP message is propagated over another protocol (i.e. RADIUS) and in case of integrity protection covering the EAP header	S
2.4	Peer-to-Peer Operation	N
3.	Lower Layer Behaviour	N
3.1	Lower Layer Requirements - LBP and underlying protocols provide: - Reliable transport - Error detection (CRC) - No Lower Layer security when bootstrapping - MTU size greater than 1 020 octets (by fragmentation) - No duplication - Ordering guaranties	S
3.2	EAP Usage Within PPP	N/R
3.3	EAP Usage Within IEEE802	N/R
3.4	Lower Layer Indications	N
4.	EAP Packet Format - Over LBP, the Code field is slightly different from a regular EAP Code field.	S
4.1	Request and Response - Over LBP, the Code field is slightly different from a regular EAP Code field.	S
4.2	Success and Failure - Over LBP, the Code field is slightly different from a regular EAP Code field.	S
4.3	Retransmission Behaviour	N



Clause	Title & remarks/modifications	Statement
5.	Initial EAP Request / Response Types - For the Type field, the only available values are 3 (Nak – in Response only) and the value assigned to the EAP method (see 8.5). Other values are left for further study	S
5.1.	Identity	N/R
5.2.	Notification	N/R
5.3.	Nak	N
5.4.	MD5-Challenge	N/R
5.5.	One-Time Password (OTP)	N/R
5.6.	Generic Token Card (GTC)	N/R
5.7.	Expanded Types	N/R
5.8.	Experimental	N/R
6.	IANA Considerations	N
7.	Security Considerations	N
8.	Acknowledgements	I
9.	References	N
Appendix A.	Changes from RFC2284	I

1975 **8.5 EAP Method**

1976 The EAP protocol is very flexible and support various EAP methods (EAP-MD5, EAP-AKA,  
1977 EAP-TLS, etc.). Each method is characterized by its credentials (shared secret, certificate,  
1978 SIM cards, etc.) and by its signature and encryption algorithms.

1979 For the OFDM CPL case, the recommended method is Pre-Shared Key EAP Method (EAP-  
1980 PSK) as given in RFC 4764 together with the selections listed in Table 59.

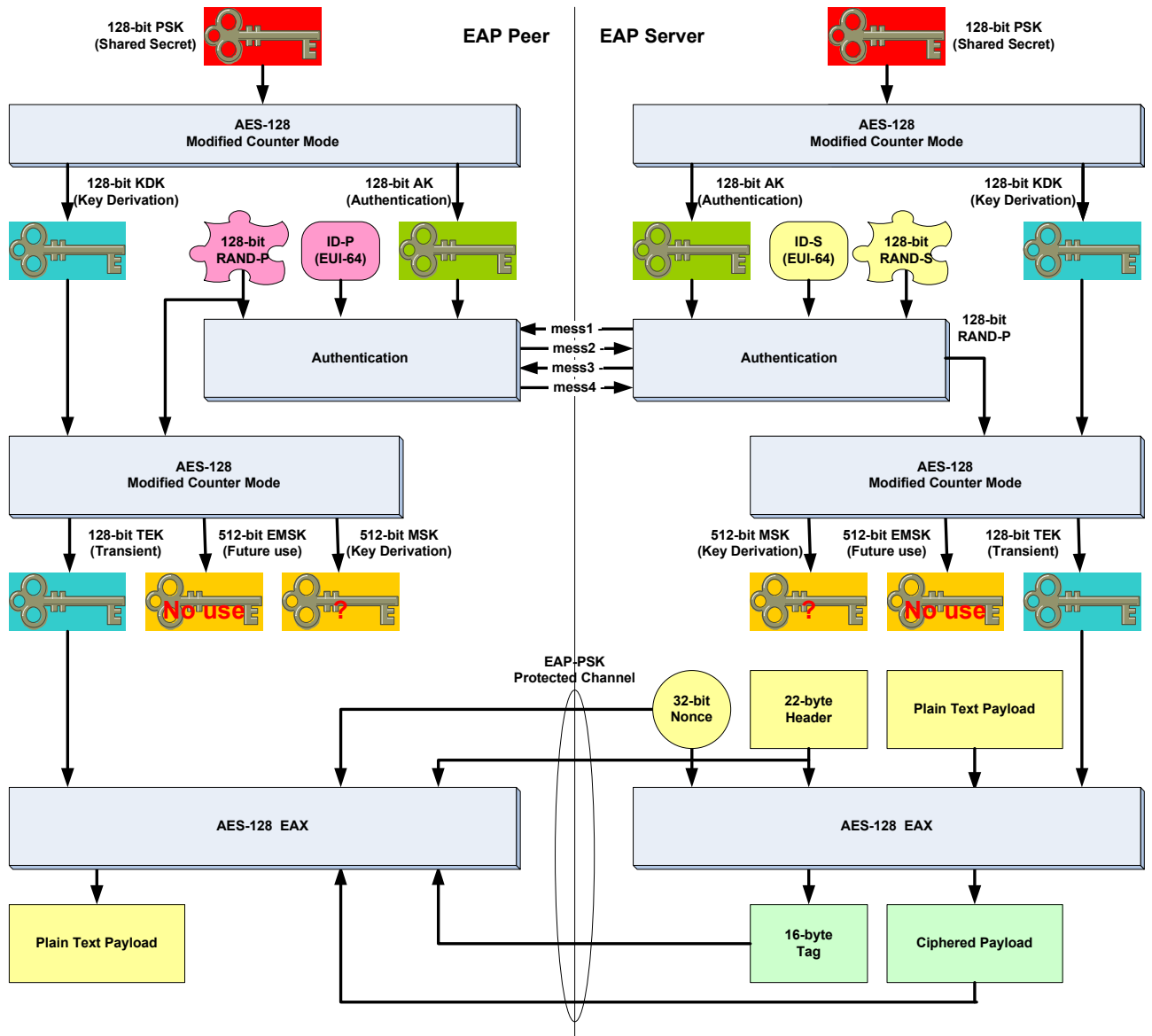
1981 **Table 59 – Selections from RFC 4764**

Clause	Title & remarks/modifications	Statement
1.	Introduction	N
2.	Protocol Overview	N
3.	Cryptographic Design of EAP-PSK EAP-PSK Message Flows	N
4.	- EAP-PSK extension capabilities are used for Group Key distribution in full compliance to RFC 4764. See 8.5.2 EAP-PSK Message Format	N
5.	- EAP-PSK extension capabilities are used for Group Key distribution in full compliance to RFC 4764. See 8.5.2	N
6.	Rules of Operation for EAP-PSK Protected Channel	N
7.	IANA Considerations	N
8.	Security Considerations	N
9.	Security Claims	I
10.	Acknowledgements	I
11.	References	N
Appendix A.	Generation of the PSK from a Password - Discouraged	N/R

1982 **8.5.1 Overview of EAP-PSK**

1983 EAP-PSK, according to the EAP specification supports the following key hierarchy:

1984 1985	Pre-Shared Key (PSK)	PSK is the long-term 128-bit credential shared by the EAP server and the peer
1986 1987	Authentication Key (AK)	A 128-bit key derived from the PSK that the EAP peer and server use to mutually authenticate
1988 1989 1990	Key-Derivation Key (KDK)	A 128-bit key derived from the PSK that the EAP peer and server use to derive session keys (such as TEK, MSK and EMSK)
1991 1992 1993 1994	Transient EAP Key (TEK)	A session key that is used to establish a protected channel between the EAP peer and server during the EAP authentication. EAP-PSK uses a 128-bit TEK in conjunction with AES-128 in EAX mode of operation as a cipher suite.
1995 1996 1997	Master Session Key (MSK)	A session key derived between the EAP peer and server. EAP-PSK generates a 512-bit MSK that may be used to provide security at the Application level.
1998 1999 2000	Extended Master Session Key (EMSK)	A session key derived between the EAP peer and server. EAP-PSK generates a 512-bit EMSK. It is not used in OFDM CPL and must not be generated.



2001

2002

Figure 40 – EAP-PSK Key Hierarchy overview

2003 **8.5.2 Group Key distribution**

2004 The 128-bit Group Master Key (GMK) is generated by the EAP Server. Then it is securely and  
 2005 individually delivered to the EAP peers via the EAP-PSK Protected Channel (PCHANNEL).

2006 GMK is assumed being random. GMK generation is considered as purely implementation  
 2007 dependant.

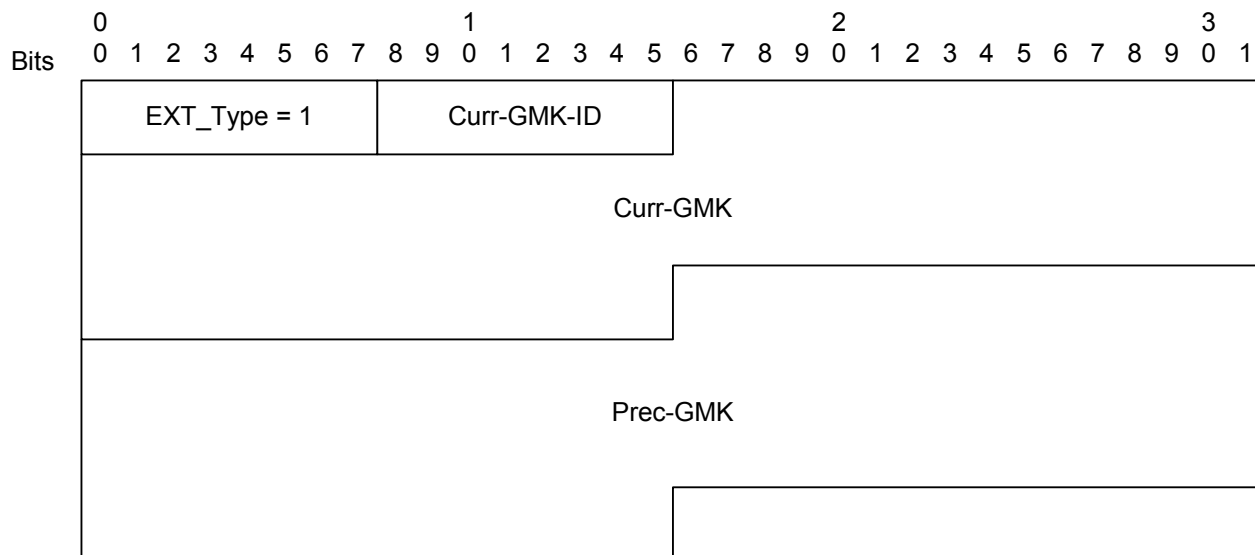
2008 GMK is distributed to the peer in two circumstances:

2009 – During the Bootstrapping process, carried as a regular extension to EAP-PSK message 3  
 2010 of the Figure 40;

2011 – During the Re-keying process, carried as a regular extension to EAP-PSK message 5 of  
 2012 the Figure 40. The GMK lifetime is rather long (several 10s years) due to the 4 byte  
 2013 counter included in the nonce. Nevertheless it's of good policy to timely re-key the network  
 2014 or when a node is leaving it.

2015 **8.5.3 GMK field format**

2016 The GMK field in message 3 or 5 of the Figure 40 is defined in compliance with the generic  
 2017 extension field (EXT) (see RFC 4764 clause 5.3.) described in Figure 41.



2018  
2019

2020 **Figure 41 – GMK field format**

2021 where

2022 EXT\_Type The EXT\_TYPE field is one octet and indicates the type of the Extension

2023 1 GMK

2024 Curr-GMK-ID The Curr-GMK-ID field is one octet and represents the Key Identifier of the  
 2025 current GMK.

2026 Curr-GMK The Curr-GMK is 16 octets and contains the value of the current GMK.

2027 Prec-GMK The Prec-GMK is 16 octets and contains the value of the preceding GMK.

2028 **8.5.4 Peer side procedure**

2029 When a peer receives this field embedded in a message 3 in case of Bootstrapping, or a  
 2030 message 5 in case of Re-keying (refer to Figure 40), it installs both keys in their respective  
 2031 slot and process the message according to RFC 4764.

2032 In every case, both keys are immediately available in reception according to the Key Identifier  
 2033 contained in the MAC header of the received frame.

2034 In case of Bootstrapping, the peer keeps sending the frames in clear text up to the reception  
 2035 of an EAP Success message. Then, it starts sending ciphered frames using the current GMK.

2036 In case of Re-keying, the peer keeps sending messages according to the previously assigned  
 2037 policy until reception of an EAP Success message. Then, it starts sending frames using the  
 2038 current GMK.

2039 After switching to the current GMK, a peer may keep receiving some messages encrypted  
2040 with the preceding GMK during a transient period. The previous GMK must be deleted after a  
2041 configurable delay (default value = 10 min).

#### 2042 **8.5.5 Server side procedure**

2043 The Bootstrapping procedure is defined in 7.4.5.2.2.

2044 In case of re-keying, the EAP server generates a new GMK. Then it transmits a LBP  
2045 challenge message, embedding an EAP Request message that contains the newly generated  
2046 GMK, coupled with the preceding one, to every formerly authenticated peer. Upon reception  
2047 of the corresponding EAP Response, or after a configurable delay (default value = 10 min),  
2048 the server starts sending EAP Success messages for the validation of the new GMK.

**Annex A**  
(normative)

2049  
2050  
2051  
2052

**Interleaver pattern generator**

2053 The following piece of code is used for generating a good interleaving pattern. It needs two  
2054 parameters, freqNum which is the number of data-holding sub-carriers, and symbNum which  
2055 is the number of OFDM symbols. The interleaving table will be generated in ILV\_TBL array.

```
2056 void Interleaver_init( int freqNum, int symbNum )
```

```
2057 {  
2058     volatile int i, j, l, J, m, n, m_i, m_j, n_i, n_j;
```

```
2059     n = symbNum;
```

```
2060     m = freqNum;
```

```
2061     n_j = 1; n_i = 1;
```

```
2062     m_i = 1; m_j = 1;
```

```
2063
```

```
2064     for ( i = 3; i < n; i++ )
```

```
2065     if ( gcd(n,i) == 1 )
```

```
2066     {
```

```
2067         n_j = i;
```

```
2068         break;
```

```
2069     }
```

```
2070     for ( i++; i < n; i++ )
```

```
2071     if ( gcd(n,i) == 1 )
```

```
2072     {
```

```
2073         n_i = i;
```

```
2074         break;
```

```
2075     }
```

```
2076     for ( i = 3; i < m; i++ )
```

```
2077     if ( gcd(m,i) == 1 )
```

```
2078     {
```

```
2079         m_i = i;
```

```
2080         break;
```

```
2081     }
```

```
2082     for ( i++; i < m; i++ )
```

```
2083     if ( gcd(m,i) == 1 )
```

```
2084     {
```

```
2085         m_j = i;
```

```
2086         break;
```

```
2087     }
```

```
2088
```

```
2089     ILV_SIZE = m * n
```

```
2090
```

```
2091     for ( j = 0; j < n; j++ )
```

```
2092     for ( i = 0; i < m; i++ )
2093     {
2094         J = ( j * n_j + i * n_i ) % n;
2095         l = ( i * m_i + J * m_j ) % m;
2096         ILV_TBL[ i + j * m ] = l + J * m;
2097     }
2098 }
```

2099 Interleaving itself can be done using the following piece of code:

```
2100     for ( i = 0; i < size; i += ILV_SIZE )
2101     for ( j = 0; j < ILV_SIZE; j++ )
2102         y[ i + ILV_TBL[j] ] = (i+j) < size ? x[i+j] : 0;
```

2103 Similarly, de-interleaving can be done using

```
2104     for ( i = 0; i < size; i += ILV_SIZE )
2105     for ( j = 0; j < DLV_SIZE; j++ )
2106         y[i+j] = x[ i + ILV_TBL[j] ];
```

2107

**Annex B**  
(normative)

2108  
2109  
2110  
2111

**Protocol Implementation Conformance Statement**

2112 **B.1 Overview**

2113 The first part of this annex entirely takes as reference the protocol implementation  
2114 conformance statement of the IEEE 802.15.4, annex D.

2115 The second part of this annex gives similar tables to ensure that all items related to the  
2116 physical layer of PLC OFDM Type 2 have been taken into account.

2117 **B.2 PICS proforma tables**

2118 **B.2.1 Functional device types (from annex D.7.1 of IEEE 802.15.4)**

2119 **Table B.1 – PICS – Functional device types (from annex D.7.1 of IEEE 802.15.4)**

Item number	Support			Comments
	N/A	Yes	No	
FD1		X		
FD2			X	
FD3		X		
FD4		X		
FD5		X		

2120

2121 **B.2.2 PHY functions (from annex D.7.2.1 of IEEE 802.15.4)**

2122 **Table B.2 – PICS – PHY functions (from annex D.7.2.1 of IEEE 802.15.4)**

Item number	Support			Comments
	N/A	Yes	No	
PLF1		X		
PLF2		X		
PLF3	X			Radio specific requirement
PLF4	X			Radio specific requirement
PLF5	X			Radio specific requirement
PLF6		X		
PLF7	X			Radio specific requirement
PLF8		X		
PLF8.1	X			Radio specific requirement
PLF8.2		X		
PLF8.3	X			Radio specific requirement

2123



2124 **B.2.3 PHY packet (from annex D.7.2.2 of IEEE 802.15.4)**

2125 **Table B.3 – PICS – PHY packet (from annex D.7.2.2 of IEEE 802.15.4)**

Item number	Support			Comments
	N/A	Yes	No	
PLP1		X		

2126

2127 **B.2.4 Radio frequency (from annex D.7.2.3 of IEEE 802.15.4)**

2128 **Table B.4 – PICS – Radio frequency (from annex D.7.2.3 of IEEE 802.15.4)**

Item number	Support			Comments
	N/A	Yes	No	
RF1	X			Radio specific requirement
RF1.1	X			Radio specific requirement
RF1.2	X			Radio specific requirement
RF1.3	X			Radio specific requirement
RF1.4	X			Radio specific requirement
RF2	X			Radio specific requirement

2129

2130 **B.2.5 MAC sublayer functions (from annex D.7.3.1 of IEEE 802.15.4)**

2131 **Table B.5 – PICS – MAC sublayer functions (from annex D.7.3.1 of IEEE 802.15.4)**

Item number	Support			Comments
	N/A	Yes	No	
MLF1		X		
MLF1.1			X	Indirect transmission is not supported
MLF2		X		
MLF2.1		X		
MLF2.2		X		
MLF2.3		X		
MLF3		X		
MLF3.1		X		
MLF3.2		X		
MLF4		X		
MLF5			X	
MLF5.1			X	
MLF5.2			X	
MLF6		X		
MLF7		X		
MLF8			X	Performed by 6LoWPAN
MLF9		X		
MLF9.1		X		
MLF9.2		X		
MLF9.2.1		X		

Item number	Support			Comments
	N/A	Yes	No	
MLF9.2.2		X		
MLF10.1	X			Radio specific requirement
MLF10.2		X		
MLF10.3			X	Not necessary for non beacon-enabled networks
MLF10.4			X	
MLF11			X	
MLF12			X	
MLF13			X	

2132

2133 **B.2.6 MAC frames (from annex D.7.3.2 of IEEE 802.15.4)**

2134 **Table B.6 – PICS – MAC frames (from annex D.7.3.2 of IEEE 802.15.4)**

Item number	Support						Comments
	Transmitter			Receiver			
	N/A	Yes	No	N/A	Yes	No	
MF1		X			X		
MF2		X			X		
MF3		X			X		Acknowledgement frames are described in PHY specification associated with the present specification and annex 6 of the present document
MF4		X			X		
MF4.1			X			X	Association performed by 6LoWPAN
MF4.2			X			X	Association performed by 6LoWPAN
MF4.3			X			X	Association performed by 6LoWPAN
MF4.4			X			X	No transaction support
MF4.5			X			X	Performed by 6LoWPAN
MF4.6			X			X	
MF4.7		X			X		
MF4.8			X			X	
MF4.9			X			X	

2135

2136 **B.3 Conformance to PLC OFDM Type 2 physical layer**

2137 NOTE Item numbers in Table B.7 refer to subsections of section 6 Physical layer specification.

2138 **Table B.7 – conformance to PLC OFDM Type 2 physical layer**

Item number	Support			Comments
	N/A	Yes	No	
6.2.2		X		
6.2.3		X		
6.2.4		X		

Item number	Support			Comments
	N/A	Yes	No	
6.2.5.1		X		
6.2.5.2		X		
6.2.6		X		
6.3.1.2		X		
6.3.1.3		X		
6.3.1.4		X		
6.3.2		X		
6.3.3		X		
6.3.4		X		
6.6.1		X		
6.6.2		X		
6.6.3		X		
6.6.4		X		
6.6.5		X		
6.7.1		X		
6.7.2		X		
6.8.1		X		
6.8.2		X		
6.9		X		
6.10.1		X		
6.10.2		X		
6.10.3		X		
6.10.4		X		
6.10.5		X		
6.11.1		X		All primitives defined in the related subsections must be implemented
6.11.2		X		All primitives defined in the related subsections must be implemented

**Annex C**  
(informative)

**Routing Cost**

2140  
2141  
2142  
2143  
2144 This part describes the characteristics a routing cost used in the LOAD routing algorithm  
2145 (described in draft-daniel-6lowpan-load-adhoc-routing-03 and in 7.4.4) must have.

2146 A route cost is defined as the sum of all the link costs on the route. As described in draft-  
2147 daniel-6lowpan-load-adhoc-routing-03, a route cost is an integer value between 0 and 255,  
2148 lower values meaning better routes.

2149 As there can be at most 8 hops on a route as defined in 7.4.3 (see clause 5.2 of RFC 4944), a  
2150 link cost is an integer between 0 and 31.

2151 If we note  $P$  a route which goes through devices  $\{D_0, D_1, \dots, D_{N-1}\}$ , where  $N$  is the number  
2152 of hops on the route ( $0 < N \leq 8$ ), and  $C\{\{D_i, D_j\}\}$  the link cost between devices  $D_i$  and  $D_j$ ,  
2153 the route cost  $RC(P)$  of  $P$  can then be defined as:

2154 
$$RC(P) = \sum_{i=0}^{N-1} C\{\{D_i, D_{i+1}\}\}$$

2155 The link cost should take into account PHY transmission parameters, number of hops, etc...  
2156 The link cost computation algorithm is implementation dependant.

## Annex D (normative)

### Channel access

2157  
2158  
2159  
2160

#### 2161 D.1 Overview

2162 The channel access is accomplished by using the Carrier Sense Multiple Access with  
2163 Collision Avoidance (CSMA/CA) mechanism with a random backoff time. The random backoff  
2164 mechanism spreads the time over which stations attempt to transmit, thereby reducing the  
2165 probability of collision. Each time a device wishes to transmit data frames, it shall wait for a  
2166 random period. If the channel is found to be idle, following the random backoff, the device  
2167 shall transmit its data. If the channel is found to be busy, following the random backoff, the  
2168 device shall wait for another random period before trying to access the channel again.

2169 A Carrier sense is a fundamental part of the distributed access procedure. Physical Carrier  
2170 Sense (PCS) is provided by the PHY upon detection of the Preamble. In the latter case, PCS  
2171 shall stay high long enough to be detected and Virtual Carrier Sense (VCS) to be asserted by  
2172 the MAC. A virtual carrier sense mechanism is provided by the MAC by tracking the expected  
2173 duration of channel occupancy. Virtual carrier sense is set by the length of received packet or  
2174 upon collision. In these cases, virtual carrier sense tracks the expected duration of the Busy  
2175 state of the medium. The medium shall also be considered Busy when the station is  
2176 transmitting.

2177 A VCS timer is maintained by all stations to improve reliability of channel access. The VCS  
2178 timer is set based on received long (data) or short (ACK) frames. The VCS timer is also set  
2179 upon collision or when the station powers up. Stations use this information to compute the  
2180 expected Busy condition of the medium or the expected duration of the Contention State and  
2181 store this information in the VCS timer.

2182 A Collision occurs in each of the following circumstances:

- 2183 – The transmitting station receives a something other than ACK or NACK response when a  
2184 response is expected.
- 2185 – The transmitting station shall infer a Collision from the absence of any response to a  
2186 transmission when a response is expected. Note that the absence of a response could  
2187 also be the result of a bad channel. Since there is no way to distinguish between the two  
2188 causes a Collision is inferred.

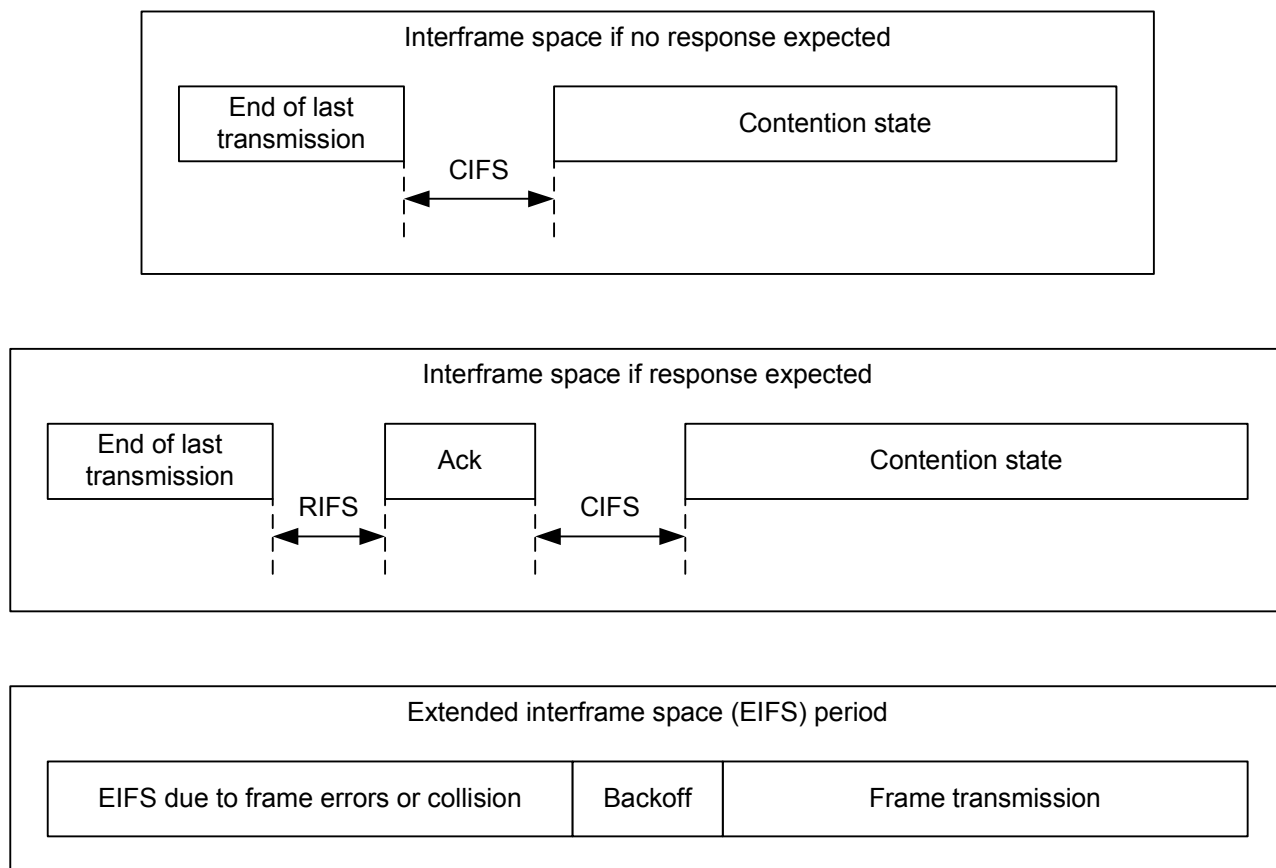
#### 2189 D.2 Interframe (IFS) Spacing

2190 A time intervals between frames on the medium constitute the Interframe Space and are  
2191 necessary due to propagation and processing time. As shown in Figure D.1, three interframe  
2192 space values are defined. Contention Interframe Space (CIFS) occurs after the end of the  
2193 previous transmission. The second defined interval is the Response Interframe Space (RIFS).

2194 RIFS is the time between the end of a transmission and the start of its associated response. If  
2195 no response is expected, the CIFS is in effect.

2196 An Extended Interframe Space (EIFS) is defined for conditions when the station does not  
2197 have complete knowledge of the state of the medium. This can occur when the station initially  
2198 attaches to the network, when errors in the received frames make them impossible to decode  
2199 unambiguously. If a packet is received and correctly decoded before the expiration of the  
2200 EIFS, then the EIFS is cancelled. The EIFS is significantly longer than the other interframe  
2201 spaces, providing protection from Collision for an ongoing frame transmission or segment  
2202 burst when any of these conditions occur. The EIFS is calculated as follows:

2203  $aEIFS = aAckTime + aCIFS + aRIFS + MaxFrameSize * aSymbolTime$



2204  
2205

2206

**Figure D.1 – IFS**

2207 **D.3 CSMA-CA**

2208 The present specification supports only an unslotted version of the CSMA-CA algorithm for  
2209 non-beacon PAN described in IEEE 802.15.4

2210 The random backoff mechanism spreads the time over which stations attempt to transmit,  
2211 thereby reducing the probability of collision, using a truncated binary exponential backoff  
2212 mechanism.

2213 The CSMA-CA algorithm shall be used before the transmission of data or MAC command  
2214 frames

2215 The algorithm is implemented using units of time called backoff periods, where one backoff  
2216 period shall be equal to *unitBackoffPeriod* symbols.

2217 Each device shall maintain two variables for each transmission attempt: **NB** and **BE**. **NB** is the  
2218 number of times the CSMA-CA algorithm was required to backoff while attempting the current  
2219 transmission; this value shall be initialized to 0 before each new transmission attempt.

2220 **BE** is the backoff exponent, which is related to how many backoff periods a device shall wait  
2221 before attempting to assess a channel. **BE** shall be initialized to the value of *minBE*.

2222 Note that if **minBE** is set to 0, collision avoidance will be disabled during the first iteration of  
2223 this algorithm. Figure D.2 illustrates the steps of the CSMA-CA algorithm. The MAC sublayer  
2224 shall first initialize **NB**, and **BE** [step (1)] and then proceed directly to step (2).

2225 The MAC sublayer shall delay for a random number of complete backoff periods in the range  
2226 0 to  $2^{BE} - 1$  [step (2)] and then request that the PHY perform a PCS (Physical Carrier Sense)  
2227 [step (3)].

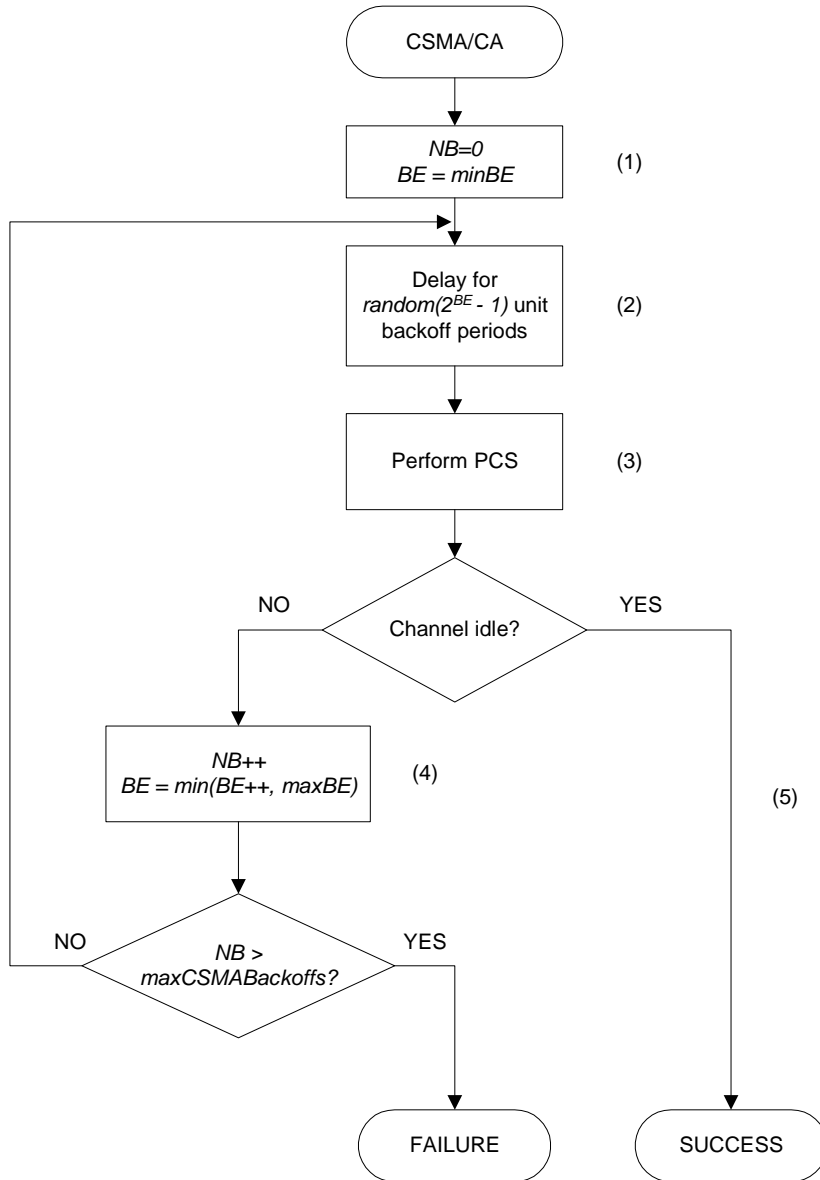
2228           Backoff Time =  $\text{Random}(2^{BE} - 1) \times \text{aSlotTime}$

2229 If the channel is assessed to be busy [step (4)], the MAC sublayer shall increment both **NB**  
2230 and **BE** by one, ensuring that **BE** shall be no more than **maxBE**. Note: for high priority  
2231 packets **maxBE** should be equal to **minBE**.

2232 If the value of **NB** is less than or equal to **maxCSMABackoffs**, the CSMA-CA algorithm shall  
2233 return to step (2).

2234 If the value of **NB** is greater than **maxCSMABackoffs**, the CSMA-CA algorithm shall  
2235 terminate with a Channel Access Failure status.

2236 If the channel is assessed to be idle [step (5)], the MAC sublayer shall begin transmission of  
2237 the frame immediately.



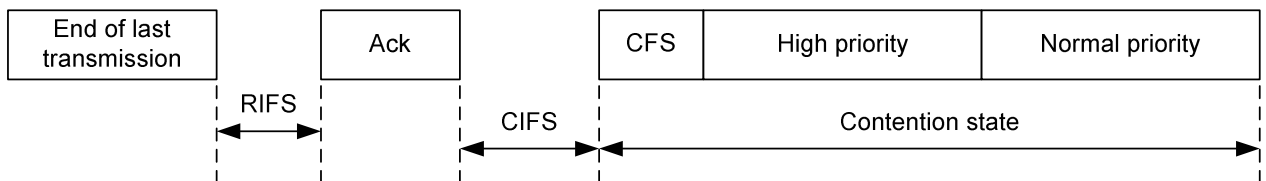
2238

2239

Figure D.2 – CSMA/CA algorithm

2240 **D.4 Priority**

2241 Prioritized access to the channel can be beneficial for real time application or control  
 2242 application when urgent message should be delivered as soon as possible. Only two levels of  
 2243 priority (High and Normal) will be used to minimize complexity. Priority resolution is  
 2244 implemented by using two contention time windows during contention state as shown in  
 2245 Figure D.3:



2246  
2247

2248

Figure D.3 – Priority Contention Windows



2249 First slot of contention window is called Contention Free Slot (CFS). It is used to implement  
2250 packet bursting without backoff procedure in order to prevent possible interruption from other  
2251 nodes.

2252 The high and normal priority stations will compete for channel during HPCW and NPCW  
2253 correspondingly. Since HPCW is located before NPCW high priority stations will get access to  
2254 the channel before station with normal priority. Duration of HPCW and NPCW are calculated  
2255 as follow:

2256 
$$\text{HPCW time} = \text{macHighPriorityWindowSize} * \text{aSlotTime};$$

2257 
$$\text{NPCW time} = (2^{\text{maxBE}} * \text{aSlotTime}) - \text{HPCW time};$$

2258 
$$\text{CFS time} = \text{aSlotTime};$$

## 2259 **D.5 ARQ**

2260 ARQ (Automatic Repeat reQuest) is implemented based on acknowledged and  
2261 unacknowledged retransmission. The MAC sublayer uses a response type as part of its ARQ  
2262 mechanism. ACK is a traditional positive acknowledgment that when received allows the  
2263 transmitter to assume successful delivery of the frame. The negative acknowledgment (NACK)  
2264 is used to inform a packet originator that the receiver received the packet but it was  
2265 corrupted.

2266 A successful reception and validation of a data can be confirmed with an acknowledgment. If  
2267 the receiving device is unable to handle the received data frame for any reason, the message  
2268 is not acknowledged.

2269 If the originator does not receive an acknowledgment after waiting period, it assumes that the  
2270 transmission was unsuccessful and retries the frame transmission. If an acknowledgment is  
2271 still not received after several retries, the originator can choose either to terminate the  
2272 transaction or to try again. When the acknowledgment is not required, the originator assumes  
2273 the transmission was successful. Also if acknowledgment is not required, the originator can  
2274 retransmit the same packets few times to increase probability of data delivery. The receiver  
2275 should be able distinguish and discard redundant copies using the Sequence Number and  
2276 Segment Count. The retransmitted packet will have the same Sequence Number and  
2277 Segment Count as original.

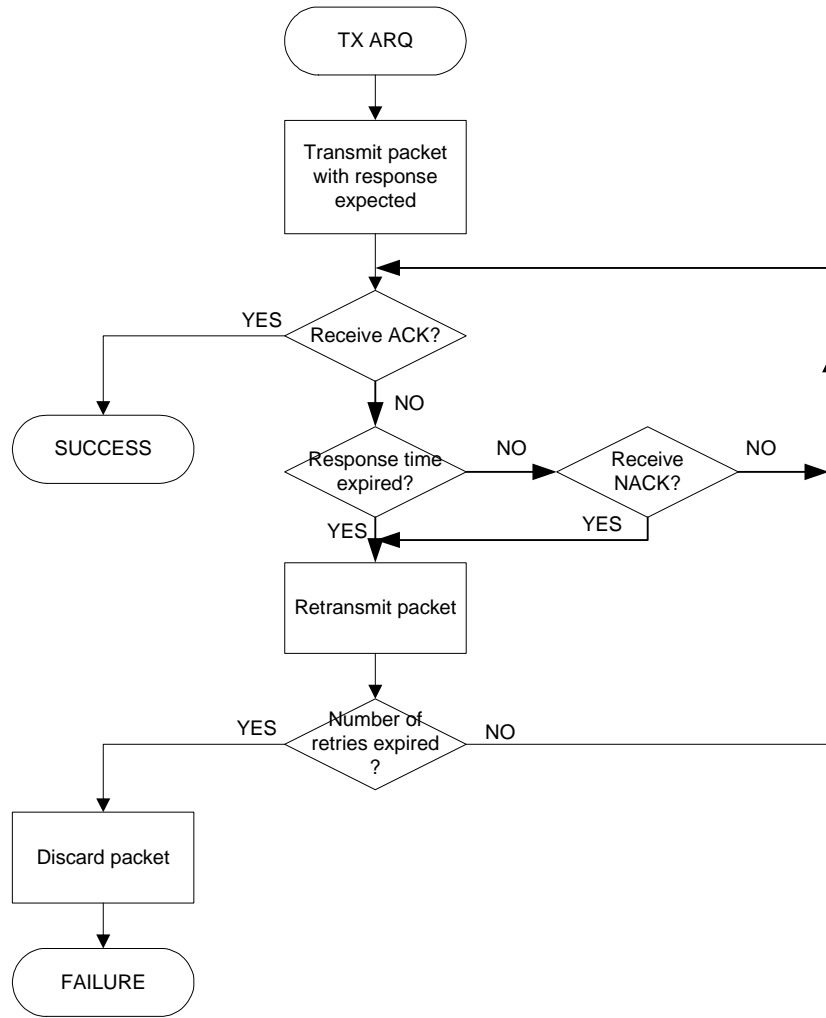
2278 The acknowledgment cannot be requested for broadcast or multicast transmission. On  
2279 transmit side ARQ requires configurable number of retransmissions (cf. macMaxFrameRetries  
2280 from 7.4.2 of IEEE 802.15.4) as shown in Figure D.4.

2281 On receive side ARQ generates acknowledgement for PLC packet with correct FCS (CRC16)  
2282 if packet corresponds to this address as shown in Figure D.5.

2283 The received packet FCS (16 bit) will be sent back to the packet originator as a part of an  
2284 acknowledgement (Frame Control Header).

2285 All nodes will detect ACK during response time but only one station expecting ACK will accept  
2286 it as acknowledgement and use 16 bit of FCS from ACK for identification.

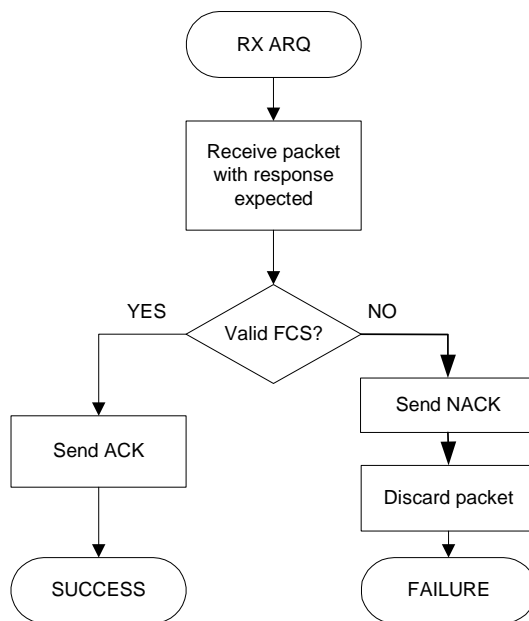
2287 MAC acknowledgement is described in details in 7.3.2.



2288

2289

Figure D.4 – Transmit ARQ



2290

2291

Figure D.5 – Receive ARQ

2292 **D.6 Segmentation and reassembly overview**

2293 Since PHY specification supports different types of modulation and tone map a number of  
 2294 data bytes of PHY payload can be changed dynamically based on channel condition. This  
 2295 requires implementing MAC payload fragmentation on MAC sublayer.

2296 If the MAC payload is too large to fit wholly within an MSDU, it must be partitioned into  
 2297 smaller segments that can each fit within an MSDU. This process of partitioning MAC frame  
 2298 into MSDU s is called segmentation.

2299 The segmentation may require adding padding bytes to the last segment in order fill last PHY  
 2300 frame. The reverse process is called reassembly. The segmentation improves the probability  
 2301 of delivery over harsh channels and contributes to better latency characteristics for all  
 2302 stations by restricting the length of each individual transmission.

2303 All forms of addressed delivery (unicast, multicast, and broadcast) are subject to  
 2304 segmentation. Acknowledgments and retransmissions occur independently for each segment.

2305 The Segment Control fields: **SL**, **SC** and **LSF** are used to keep track of segments of  
 2306 fragmented packet and assembly whole packet on receiver side.

2307 **Table D.1 – Segment control fields**

Field	Byte	Bit number	Bits	Definition
RES	0	7-4	4	Reserved
TMR	0	3	1	Tone map request: 1: Tone map is requested 0: Tone map is not requested
CC	0	2	1	Contention Control: 0: contention is allowed in next contention state 1: contention free access
CAP	0	1	1	Channel access priority: 0: Normal 1: High
LSF	0	0	1	Last Segment Flag is set for last segment only
SC	1	7-2	6	Segment Count
SL[9-8]	1	1-0	2	Segment Length of MAC frame
SL[7-0]	2	7-0	8	Segment Length of MAC frame

**Annex E**  
(normative)

2308  
2309  
2310  
2311

**Modified MAC sublayer data primitives**

2312 **E.1 MCPS-DATA.request**

2313 The semantic of the MCPS-DATA.request primitive is as follows:

2314 MCPS-DATA.request (

2315                   SrcAddrMode,

2316                   DstAddrMode,

2317                   DstPANId,

2318                   DstAddr,

2319                   msduLength,

2320                   msdu,

2321                   msduHandle,

2322                   TxOptions,

2323                   SecurityLevel,

2324                   KeyIdMode,

2325                   KeySource,

2326                   KeyIndex,

2327                   QualityOfService

2328                   )

2329 Table E.1 specifies the parameters for the MCPS-DATA.request primitive.

2330 **Table E.1 – MCPS-DATA.request parameters**

Name	Type	Valid range	Description
SrcAddrMode	Integer	0x00–0x03	The source addressing mode for this primitive and subsequent MPDU.  This value can take one of the following values:  0x00 = no address (addressing fields omitted, see 7.2.1.1.8).  0x01 = reserved.  0x02 = 16-bit short address.  0x03 = 64-bit extended address.
DstAddrMode	Integer	0x00–0x03	The destination addressing mode for this primitive and subsequent MPDU.  This value can take one of the following values:  0x00 = no address (addressing fields omitted, see 7.2.1.1.6).  0x01 = reserved.  0x02 = 16-bit short address.  0x03 = 64-bit extended address.
DstPANId	Integer	0x0000–0xffff	The 16-bit PAN identifier of the entity to which the MSDU is being transferred.

Name	Type	Valid range	Description
DstAddr	Device address	As specified by the DstAddrMode parameter	The individual device address of the entity to which the MSDU is being transferred.
msduLength	Integer	□ <i>aMaxMACPayloadSize</i>	The number of octets contained in the MSDU to be transmitted by the MAC sublayer entity.
msdu	Set of octets	—	The set of octets forming the MSDU to be transmitted by the MAC sublayer entity.
msduHandle	Integer	0x00–0xff	The handle associated with the MSDU to be transmitted by the MAC sublayer entity.
TxOptions	Bitmap	3-bit field	The 3 bits (b0 , b1 , b2 ) indicate the transmission options for this MSDU. For b0: 1 = acknowledged transmission, 0 = unacknowledged transmission. For b1: 1 = GTS transmission, 0 = CAP transmission for a beacon-enabled PAN. For b2: 1 = indirect transmission, 0 = direct transmission. For a non beacon-enabled PAN, bit b1 should always be set to 0.
QualityOfService	Integer	0x00–0x02	The QOS (Quality of Service) parameter of the MSDU to be transmitted by the MAC sublayer entity. This value can take one of the following values: 0 = Normal priority, 1 = High priority, 2 = Contention free.
SecurityLevel	Integer	0x00–0x07	The security level to be used (see 7.3.6).
KeyIdMode	Integer	0x00–0x03	The mode used to identify the key to be used (see 7.3.6). This parameter is ignored if the SecurityLevel parameter is set to 0x00.
KeySource	Set of 0, 4, or 8 octets	As specified by the KeyIdMode parameter	The originator of the key to be used (see 7.3.6). This parameter is ignored if the KeyIdMode parameter is ignored or set to 0x00.
KeyIndex	Integer	0x01–0xff	The index of the key to be used (see 7.3.6). This parameter is ignored if the KeyIdMode parameter is ignored or set to 0x00.

2331 **E.2 MCPS-DATA.indication**

2332 The semantic of the MCPS-DATA.indication primitive is as follows:

2333 MCPS-DATA.request (

2334                   SrcAddrMode,

2335                   SrcPANId,

2336                   SrcAddr,

2337                   DstAddrMode,



<b>Name</b>	<b>Type</b>	<b>Valid range</b>	<b>Description</b>
Timestamp	Integer	0x00000000 – 0xFFFFFFFF	The time, in symbols, at which the frame was received.
SecurityLevel	Integer	0x00–0x07	The security level used (see Table 95 in clause 7.6.2.2.1 of IEEE 802.15.4).
KeyIdMode	Integer	0x00–0x03	The mode used to identify the key used (see Table 96 in clause 7.6.2.2.2 of IEEE 802.15.4). This parameter is ignored if the SecurityLevel parameter is set to 0x00.
KeySource	Set of 0, 4, or 8octets	As specified by the KeyIdMode parameter	The originator of the key used (see clause 7.6.2.4.1 of IEEE 802.15.4). This parameter is ignored if the KeyIdMode parameter is ignored or set to 0x00.
KeyIndex	Integer	0x01–0xff	The index of the key used (see clause 7.6.2.4.2 of IEEE 802.15.4). This parameter is ignored if the KeyIdMode parameter is ignored or set to 0x00.
QualityOfService	Integer	0x00–0x02	The QoS (Quality of Service) parameter of the MSDU received by the MAC sublayer entity.  This value can take one of the following values: 0 = Normal priority; 1 = High priority; 2 = Contention free;

**Annex F  
(normative)**

2353  
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2356

**MAC acknowledgement**

2357 The present specification does not use IEEE802.15.4-2006 MAC acknowledgment frame but  
2358 specifies a positive and negative acknowledgments using Frame Control Header (section 5.5  
2359 of PHY specification).

2360 The Frame Control Header contains an information used by all stations in the network for  
2361 channel access, as well as PHY receiver information used by the destination. For this reason,  
2362 Frame Control Header has specific physical layer encoding and modulation as defined in PHY  
2363 specification.

2364 Only Frame Control Header will be used as positive (ACK) or negative (NACK)  
2365 acknowledgement.

2366 The packet originator may request an acknowledgment by setting Delimiter Type field of  
2367 Frame Control Header (section 5.5 of PHY specification).

2368 The receiver will send ACK to the originator if it is requested and the MAC frame was  
2369 decoded correctly by PHY.

2370 The receiver will send NACK to the originator if it is requested and the received MAC frame is  
2371 corrupted and cannot be recovered by PHY.

2372 ACK and NACK frames contain the 16-bit CRC (MAC FCS field) received in the MAC frame  
2373 for which the ACK or NACK response is being sent. These 16 bits are used as ACK or NACK  
2374 identifier and located in 2 bytes of FCH (TM[0:7] and PDC) (see 5.5 of PHY specification).  
2375 The transmitter will compare against transmitted FCS to determine validity of the response. If  
2376 it matches of transmitted FCS, the response is accepted. If it does not match the FCS, the  
2377 response is ignored and treated as a collision.



**Annex G  
(normative)**

**Adaptation sublayer service primitives**

2378  
2379  
2380  
2381

2382 **G.1 ADP Data service**

2383 **G.1.1 Overview**

2384 The ADPD is used to transport application layer PDU to other devices on the network, and  
2385 supports the following primitives:

- 2386 – ADPD-DATA.request;
- 2387 – ADPD-DATA.confirm;
- 2388 – ADPD-DATA.indication.

2389 **G.1.2 ADPD-DATA.request**

2390 **G.1.2.1 Semantics of the service primitive**

2391 This primitive requests the transfer of an application PDU to another device, or multiple  
2392 devices. The semantics of this primitive are as follows:

```

2393 ADPD-DATA.request (
2394     NsduLength,
2395     Nsdu,
2396     NsduHandle,
2397     DiscoverRoute,
2398     QualityOfService,
2399     SecurityEnabled
2400 )
    
```

2401 **Table G.1 – Parameters of the ADPD-DATA.request primitive**

Name	Type	Valid Range	Description
NsduLength	Integer	0 – 1 280	The size of the NSDU, in bytes
Nsdu	Set of octets	-	The NSDU to send
NsduHandle	Integer	0x00 - 0xFF	The handle of the NSDU to transmit. This parameter is used to identify in the ADPD-DATA.confirm primitive which request is concerned. It can be randomly chosen by the application layer.
DiscoverRoute	Boolean	TRUE or FALSE	If TRUE, a route discovery procedure will be performed prior to sending the frame if a route to the destination is not available in the routing table.  If FALSE, no route discovery is performed.
QualityOfService	Integer	0x00 - 0x01	The required quality of service (QoS) of the frame to send. Allowed values are:  0x00 = standard priority  0x01 = high priority
SecurityEnabled	Boolean	TRUE or FALSE	If TRUE, this parameter enables the adaptation sublayer security for processing the frame.

2402

2403 **G.1.2.2 When generated**

2404 This primitive is generated by the upper layer to request the sending of a given NSDU.

2405 **G.1.2.3 Effect on receipt**

2406 If this primitive is received when the device has not joined a network, the adaptation sublayer  
2407 will issue an ADPD-DATA.confirm primitive with the status INVALID\_REQUEST. Else, the  
2408 ADPD constructs a 6LoWPAN frame with the following characteristics depending on  
2409 transmission mode:

2410 • Case of unicast frame:

2411 – The mesh addressing header is present as described in clause 5.2 of RFC 4944,  
2412 where:

2413 – V must be set to 1, to specify that the originator address is a 16-bit network  
2414 address;

2415 – F must be set to 1, to specify that the originator address is a 16-bit network  
2416 address;

2417 – HopsLft = MaxHops;

2418 – Originator address = The 16-bit network address of the sending device, available in  
2419 the NIB;

2420 – Final destination address = 16-bit destination address of the device designated by  
2421 the IPv6 address “DstAddr”.

2422 – The broadcast header is not present,

2423 – If necessary, the fragmentation header must be present to transport NPDU which do  
2424 not fit in an entire IEEE 802.15.4 frame. In that case, clause 5.3 of RFC 4944 applies,

2425 – LOWPAN\_HC1 compressed IPv6 header is present with the following parameters:

2426 – IPv6 Source Address mode = PC-IC (bits 0 and 1 set to 1);

2427 – IPv6 Destination Address mode = PC-IC (bits 2 and 3 set to 1);

2428 – Bit 4 = 1 (no Traffic Class and Flow Label);

2429 – Bits 5 and 6 = value of NsduType.

2430 • Case of multicast frame:

2431 – The mesh addressing header is present as described in part 5.2 of [RFC4944], where

2432 – V must be set to 1, to specify that the originator address is a 16-bit network  
2433 address;

2434 – F must be set to 1, to specify that the originator address is a 16-bit network  
2435 address;

2436 – HopsLft = MaxHops;

2437 – Originator address = The 16-bit network address of the sending device, available in  
2438 the NIB;

2439 – Final destination address = 0xFFFF;

2440 – The broadcast header is present with the following values:

2441 – Sequence Number = previous Sequence Number + 1

2442 – If necessary, the fragmentation header must be present to transport NPDU which do  
2443 not fit in an entire IEEE 802.15.4 frame. In that case, clause 5.3 of RFC 4944 applies,

2444 – LOWPAN\_HC1 compressed IPv6 header is present with the following parameters:

2445 – IPv6 Source Address mode = PC-IC (bits 0 and 1 set to 1);

2446 – IPv6 Destination Address mode = PC-IC (bits 2 and 3 set to 1);

2447 – Bit 4 = 1 (no Traffic Class and Flow Label);

2448           – Bits 5 and 6 = value of NsduType.

2449           Once the frame is constructed, it is routed according to the procedures described in 7.4.4  
2450 (modified clauses 6 of draft-daniel-6lowpan-load-adhoc-routing-03) if the destination address  
2451 is a unicast address. If the frame is to be transmitted, the MCPS-Data.request primitive is  
2452 invoked, with the following parameters in case of a unicast sending:

2453           – SrcAddrMode = 0x02, for 16-bit address;

2454           – DstAddrMode = 0x02, for 16-bit address;

2455           – SrcPANId = DstPANId = the value of macPANId obtained from the MAC PIB;

2456           – SrcAddr = the value of macShortAddr obtained from the MAC PIB;

2457           – DstAddr = the 16-bit address of the next hop determined by the routing procedure;

2458           – msduLength = the length of the frame, or fragment in case of fragmentation, in  
2459 bytes;

2460           – msdu = the frame itself;

2461           – msduHandle = NsduHandle;

2462           – TxOptions:

2463               • b0 = 1 if unicast transmission, 0 otherwise;

2464               • b1 = 0;

2465               • b2 = 0.

2466           – SecurityLevel:

2467               • 0 if SecurityEnabled = FALSE;

2468               • 5 if SecurityEnabled = TRUE;

2469           – KeyIdMode, KeySource : Ignored,

2470           – KeyIndex : Ignored if SecurityLevel=0; Else depends on security policy.

2471           In case of a broadcast (or multicast) frame, the MCPS-Data.request primitive is invoked with  
2472 the following parameters:

2473           – SrcAddrMode = 0x02, for 16-bit address;

2474           – DstAddrMode = 0x02, for 16-bit address;

2475           – SrcPANId = DstPANId = the value of macPANId obtained from the MAC PIB;

2476           – SrcAddr = the value of macShortAddr obtained from the MAC PIB;

2477           – DstAddr = 0xFFFF;

2478           – msduLength = the length of the frame, or fragment in case of fragmentation, in  
2479 bytes;

2480           – msdu = the frame itself;

2481           – msduHandle = NsduHandle;

2482           – TxOptions:

2483               • b0 = 1 if unicast transmission, 0 otherwise,

2484               • b1 = 0,

2485               • b2 = 0.

2486           – SecurityLevel

2487               • 0 if SecurityEnabled = FALSE,

2488               • 5 if SecurityEnabled = TRUE.

2489           – KeyIdMode, KeySource : Ignored;

2490           – KeyIndex : Ignored if SecurityLevel=0; Else depends on security policy.

2491 If security processing fails for that frame, it must be discarded and an ADPD-DATA.confirm  
 2492 primitive must be generated with the status code returned by the security processing suite.

2493 If the DiscoverRoute parameter is set to TRUE then, the route discovery procedure should be  
 2494 initiated prior to sending the frame in case the final destination address is not available in the  
 2495 routing table. For a complete description of this procedure, see 7.4.4.

2496 **G.1.3 ADPD-DATA.confirm**

2497 **G.1.3.1 Semantics of the service primitive**

2498 This primitive reports the result of a previous ADPD-DATA.request primitive.

2499 The semantics of this primitive are as follows:

```
2500 ADPD-DATA.confirm (
2501     Status,
2502     NsduHandle
2503 )
```

2504 **Table G.2 – Parameters of the ADPD-DATA.confirm primitive**

Name	Type	Valid Range	Description
Status	Enum	SUCCESS, INVALID_IPV6_FRAME, INVALID_REQUEST, NO_KEY, BAD_CCM_OUTPUT, ROUTE_ERROR, BT_TABLE_FULL, FRAME_NOT_BUFFERED or any status values returned from security suite or the MCPS-DATA.confirm primitive	The status code of a previous ADPD-DATA.request identified by its NsduHandle
NsduHandle	Integer	0x00 - 0xFF	The handle of the NSDU confirmed by this primitive

2505 **G.1.3.2 When generated**

2506 This primitive is generated in response to an ADPD-DATA.request primitive. The Status  
 2507 parameter indicates if the request succeeded or the reason of failure.

2508 **G.1.3.3 Effect on receipt**

2509 On reception of this primitive, the upper layer is notified of the status of a previous ADPD-  
 2510 DATA.request primitive.

2511 **G.1.4 ADPD-DATA.indication**

2512 **G.1.4.1 Semantics of the service primitive**

2513 This primitive is used to transfer received data from the adaptation sublayer to the upper  
 2514 layer. The semantics of this primitive are as follows:

```
2515 ADPD-DATA.indication (
2516     NsduLength,
```

2517 Nsdu,  
 2518 LinkQualityIndicator,  
 2519 SecurityEnabled  
 2520 )

**Table G.3 – Parameters of the ADPD-DATA.indication primitive**

Name	Type	Valid Range	Description
NsduLength	Integer	0-1280	The size of the NSDU, in bytes
Nsdu	Set of octets	-	The received NSDU
LinkQualityIndicator	Integer	0x00-0xFF	The value of the link quality during reception of the frame
SecurityEnabled	Boolean	TRUE or FALSE	TRUE if the frame was received using security.

2522 **G.1.4.2 When generated**

2523 This primitive is generated by the adaptation sublayer when a valid data frame whose final  
 2524 destination is the current station has been received.

2525 **G.1.4.3 Effect on receipt**

2526 On generation of this primitive, the upper layer is notified of the arrival of a data frame.

2527 **G.2 ADP Management service**

2528 **G.2.1 Overview**

2529 The ADPM allows the transport of command frames used for network maintenance. The list of  
 2530 primitives supported by the ADPM is:

- 2531 – ADPM-DISCOVERY.request;
- 2532 – ADPM-DISCOVERY.confirm;
- 2533 – ADPM-NETWORK-START.request;
- 2534 – ADPM-NETWORK-START.confirm;
- 2535 – ADPM-NETWORK-JOIN.request;
- 2536 – ADPM-NETWORK-JOIN.confirm;
- 2537 – ADPM-NETWORK-JOIN.indication;
- 2538 – ADPM-NETWORK-LEAVE.request;
- 2539 – ADPM-NETWORK-LEAVE.indication;
- 2540 – ADPM-NETWORK-LEAVE.confirm;
- 2541 – ADPM-RESET.request;
- 2542 – ADPM-RESET.confirm;
- 2543 – ADPM-GET.request;
- 2544 – ADPM-GET.confirm;
- 2545 – ADPM-SET.request;
- 2546 – ADPM-SET.confirm;
- 2547 – ADPM-NETWORK-STATUS.indication;
- 2548 – ADPM-ROUTE-DISCOVERY.request;
- 2549 – ADPM-ROUTE-DISCOVERY.confirm.

2550 **G.2.2 ADPM-DISCOVERY.request**

2551 **G.2.2.1 Semantics of the service primitive**

2552 This primitive allows the upper layer to request the ADPM to scan for networks operating in its  
2553 POS.

2554 The semantics of this primitive are as follows:

2555 ADPM-DISCOVERY.request (  
2556                                   Duration,  
2557                                   )

2558 **Table G.4 – Parameters of the ADPM-DISCOVERY.request primitive**

Name	Type	Valid Range	Description
Duration	Integer	0x00-0xFF	The number of seconds the active scan must last

2559 **G.2.2.2 When generated**

2560 This primitive is generated by the next upper layer to get informed of the current networks  
2561 operating in the POS of the device.

2562 **G.2.2.3 Effect on receipt**

2563 On receipt of this primitive, the ADP layer will initiate an active scan by invoking the MLME-  
2564 SCAN.request with the following parameters:

- 2565       – ScanType = 0x01 for active scan;
- 2566       – ScanChannels = all bits set to 0 (not used);
- 2567       – ScanDuration = Duration;
- 2568       – ChannelPage = 0 (not used);
- 2569       – SecurityLevel = 0;
- 2570       – KeyIdMode, KeySource and KeyIndex: Ignored.

2571 On receipt of the MLME-SCAN.confirm primitive, the ADP layer issues an ADPM-  
2572 DISCOVERY.confirm primitive containing the PAN ID of all the PANs operating in the POS of  
2573 the device, or an error code.

2574 **G.2.3 ADPM-DISCOVERY.confirm**

2575 **G.2.3.1 Semantics of the service primitive**

2576 This primitive is generated by the ADP layer upon completion of a previous ADPM-  
2577 DISCOVERY.request.

2578 The semantics of this primitive are as follows:

2579 ADPM-DISCOVERY.confirm (  
2580                                   Status,  
2581                                   PANCount,  
2582                                   PANDescriptor  
2583                                   )

2584 **Table G.5 – Parameters of the ADPM-DISCOVERY.confirm primitive**

Name	Type	Valid Range	Description
------	------	-------------	-------------

Name	Type	Valid Range	Description
Status	Enum	Any status returned by the MLME-SCAN.confirm primitive	See IEEE 802.15.4 for the complete list of status codes and their meaning
PANCount	Integer	0x00-0xFF	The number of networks operating in the POS of the device
PANDescriptor	List of PAN descriptors	This list contains the PAN descriptors as described in Table .	The PAN operating in the POS of the device

2585

**Table G.6 – PAN descriptor structure specification**

Name	Type	Valid Range	Description
ExtendedPANId	List of integers	This list contains the PAN IDs of the network found. The size of the list is PANCount. Each ExtendedPANId must be in the range 0x000000000001 – 0xFFFFFFFFFFFFE	The list of 64-bit PAN identifiers.
PANId	List of integers	This list contains the 16-bit PAN IDs of the network found. The size of the list is PANCount, and its elements appear in the same order as the ExtendedPANId list. Each PANId must be in the range 0x0000 – 0xFFFF	The list of 16-bit PAN identifiers.

2586

**G.2.3.2 When generated**

2587 This primitive is generated by the ADP layer for the upper layer on completion of an ADPM-  
2588 DISCOVERY.request primitive.

2589

**G.2.3.3 Effect on receipt**

2590 On receipt of this primitive, the upper layer is notified of the completion of the network scan,  
2591 and obtains a list of found operating networks.

2592

**G.2.4 ADPM-NETWORK-START.request**

2593

**G.2.4.1 Semantics of the service primitive**

2594 This primitive allows the upper layer to request the starting of a new network. It must only be  
2595 invoked by device designated as the PAN coordinator during the factory process.

2596

The semantics of this primitive are as follows:

```
2597 ADPM-NETWORK-START.request (
2598     PANId
2599 )
```

2600

**Table G.7 – Parameters of the ADPM-NETWORK-START.request primitive**

Name	Type	Valid Range	Description
PANId	Integer	0x0000 – 0xFFFF	The PANId of the network to create, determined at the application level

2601

**G.2.4.2 When generated**

2602 This primitive is generated by the upper layer of the PAN coordinator to start a new network.

2603

**G.2.4.3 Effect on receipt**

2604 On receipt of this primitive by a device which is not a PAN coordinator, it must issue an  
2605 ADPM-NETWORK-START.confirm primitive with the status INVALID\_REQUEST.





2637 **Table G.9 – Parameters of the ADPM-NETWORK-JOIN.request primitive**

Name	Type	Valid Range	Description
PANId	Integer	0x0000 – 0xFFFF	The 16-bit PAN identifier of the network to join.
LBAAddress	16-bit address	0x0000 – 0xFFFF	The 16-bit short address of the device acting as a LoWPAN Bootstrap Agent as defined in draft-6lowpan-commissioning-02.

2638 **G.2.6.2 When generated**

2639 The upper layer invokes this primitive when it wishes to join an existing PAN using the MAC  
2640 association procedure.

2641 **G.2.6.3 Effect on receipt**

2642 On receipt of this primitive by a device which is already joined, the adaptation sublayer  
2643 generates an ADPM-NETWORK-JOIN.confirm with the status INVALID\_REQUEST.

2644 On receipt of this primitive by a device which is not already joined, the adaptation sublayer  
2645 initiates the MAC association procedure (“bootstrap”) described in 7.4.5.2.2.

2646 On completion, an MLME-SET.request is invoked to set the 16-bit short address of the device  
2647 which was obtained during the “bootstrapping” phase. Then, an ADPM-NETWORK-  
2648 JOIN.confirm primitive is generated with a status of SUCCESS.

2649 **G.2.7 ADPM-NETWORK-JOIN.confirm**

2650 **G.2.7.1 Semantics of the service primitive**

2651 This primitive is generated by the ADP layer to indicate the completion status of a previous  
2652 ADPM-NETWORK-JOIN.request.

2653 The semantics of this primitive are as follows:

```
2654 ADPM-NETWORK-JOIN.confirm (
2655     Status,
2656     NetworkAddress,
2657     PANId
2658 )
```

2659 **Table G.10 – Parameters of the ADPM-NETWORK-JOIN.confirm primitive**

Name	Type	Valid Range	Description
Status	Status	SUCCESS, INVALID_REQUEST, NOT_PERMITTED.	The result of the attempt to join the network.
NetworkAddress	Integer	0x0001 – 0xFFF7 and 0xFFFF	The 16-bit network address that was allocated to the device. If the allocation fails, this address is equal to 0xFFFF.
PANId	Integer	0x0000 – 0xFFFF	The 16-bit address of the PAN of which the device is now a member.

2660 **G.2.7.2 When generated**

2661 This primitive is generated in response to an ADPM-NETWORK-JOIN.request primitive, and  
2662 allows the upper layer to obtain information on the status of its request.

2663 The status NOT\_PERMITTED is given if the device was unable to authenticate itself to the  
 2664 PAN coordinator.

2665 **G.2.7.3 Effect on receipt**

2666 On receipt of this primitive the upper layer is informed on the status of its request.

2667 **G.2.8 ADPM-NETWORK-JOIN.indication**

2668 **G.2.8.1 Semantics of the service primitive**

2669 This primitive allows the upper layer of the PAN coordinator to be notified when a new device  
 2670 has successfully completed the association procedure, and is now part of the network.

2671 The semantics of this primitive are as follows:

2672 ADPM-NETWORK-JOIN.indication (  
 2673                                      NetworkAddress,  
 2674                                      ExtendedAddress,  
 2675                                      CapabilityInformation,  
 2676                                      )

2677 **Table G.11 – Parameters of the ADPM-NETWORK-JOIN.indication primitive**

Name	Type	Valid Range	Description
NetworkAddress	IPv6 address	See RFC 4944	The IPv6 network address of the device that was added to the network. This address was given during the association procedure.
ExtendedAddress	64-bit address	0x000000000001 – 0xFFFFFFFFFFFFE	The 64-bit address of the device that was added to the network. This address is unique for any device.
CapabilityInformation	Bitmap	See Figure 56 of IEEE 802.15.4	The capability information field of the device.

2678 **G.2.8.2 When generated**

2679 **G.2.8.3 Effect on receipt**

2680 This primitive is generated by the ADP layer upon successful completion of the association of  
 2681 a new device.

2682 The upper layer is notified of the completion of the association.

2683 **G.2.9 ADPM-NETWORK-LEAVE.request**

2684 This primitive allows the PAN coordinator to remove a device from the network, or allows a  
 2685 device to remove itself from the network.

2686 **G.2.9.1 Semantics of the service primitive**

2687 The semantics of this primitive are as follows:

2688 ADPM-NETWORK-LEAVE.request (  
 2689                                      ExtendedAddress  
 2690                                      )

2691 **Table G.12 – Parameters of the ADPM-NETWORK-LEAVE.request primitive**

Name	Type	Valid Range	Description
ExtendedAddress	64-bit address	Any	The 64-bit network address of the device to remove from the network. If NULL, the device removes itself from the network.

2692 **G.2.9.2 When generated**

2693 The next higher layer generates this primitive to leave the network, or to request another  
2694 device to do so.

2695 **G.2.9.3 Effect on receipt**

2696 On receipt of this primitive by a device which is not associated to any network, the adaptation  
2697 sublayer must issue an ADPM-NETWORK-LEAVE.confirm primitive with the status  
2698 INVALID\_REQUEST.

2699 On receipt of this primitive by a device which is associated to any network, the following steps  
2700 must be performed:

- 2701 – If the device is a coordinator
  - 2702 • If ExtendedAddress == NULL
    - 2703 – Issue ADPM-NETWORK-LEAVE.confirm with INVALID\_REQUEST
  - 2704 • Else
    - 2705 – If the device exists
      - 2706 – Remove the device which has the address ExtendedAddress from  
2707 the network using the procedure described in 7.4.5.2.2.7.
      - 2708 – Issue ADPM-NETWORK-LEAVE.confirm with SUCCESS
    - 2709 – If the device doesn't exist
      - 2710 – Issue ADPM-NETWORK-LEAVE.confirm with UNKNOWN\_DEVICE
  - 2711 – Else (device is not a coordinator)
    - 2712 • If ExtendedAddress == NULL
      - 2713 – The device removes itself from the network, using the procedure  
2714 described in 7.4.5.2.2.8.
      - 2715 – Issue ADPM-NETWORK-LEAVE.confirm with SUCCESS
    - 2716 • Else
      - 2717 – Issue ADPM-NETWORK-LEAVE.confirm with INVALID\_REQUEST

2718 **G.2.10 ADPM-NETWORK-LEAVE.indication**

2719 **G.2.10.1 Semantics of the service primitive**

2720 This primitive is generated by the ADP layer to inform the upper layer that a device has been  
2721 unregistered from the network.

2722 The semantics of this primitive are as follows:

2723 ADPM-NETWORK-LEAVE.indication (  
2724 ExtendedAddress,  
2725 )

2726 **Table G.13 – Parameters of the ADPM-NETWORK-LEAVE.indication primitive**

Name	Type	Valid Range	Description
------	------	-------------	-------------

Name	Type	Valid Range	Description
ExtendedAddress	64-bit address	Any	The 64-bit network address of the device removed from the network.

2727 **G.2.10.2 When generated**

2728 This primitive is generated by the adaptation sublayer of a device when it has been removed  
2729 from the network by the PAN coordinator or by the adaptation sublayer of the PAN coordinator  
2730 when a device has decided to leave the network.

2731 **G.2.10.3 Effect on receipt**

2732 On receipt of this primitive, the upper layer of the device is notified that it is no more a part of  
2733 the PAN.

2734 **G.2.11 ADPM-NETWORK-LEAVE.confirm**

2735 **G.2.11.1 Semantics of the service primitive**

2736 This primitive allows the upper layer to be informed on the status of its previous ADPM-  
2737 NETWORK-LEAVE.request. This request can be either to leave by itself the network, or to  
2738 instruct another device to leave (PAN coordinator only).

2739 The semantics of this primitive are as follows:

2740 ADPM-NETWORK-LEAVE.confirm (

2741 Status,

2742 ExtendedAddress

2743 )

2744 **Table G.14 – Parameters of the ADPM-NETWORK-LEAVE.confirm primitive**

Name	Type	Valid Range	Description
Status	Enum	SUCCESS, INVALID_REQUEST, UNKNOWN_DEVICE or any status returned by the MCPS-DATA.confirm primitive	The status of the request.
ExtendedAddress	64-bit address	Any	The 64-bit network address of the device removed from the network.

2745 **G.2.11.2 When generated**

2746 This primitive is generated on completion of a device removal. If it is successful, the  
2747 SUCCESS code is given. Else, an error status is given as explained in 7.4.5.2.2.8.

2748 **G.2.11.3 Effect on receipt**

2749 On receipt, the upper layer is notified of the result of its request.

2750 **G.2.12 ADPM-RESET.request**

2751 **G.2.12.1 Semantics of the service primitive**

2752 This primitive allows the upper layer to request that the ADP layer performs a reset.

2753 The semantics of this primitive are as follows:

2754 ADPM-RESET.request (

2755 )



2790 **Table G.16 – Parameters of the ADPM-GET.request primitive**

Name	Type	Valid Range	Description
AttributeId	Integer	See clause 7.4.2	The identifier of the IB attribute to read
AttributeIndex	Integer	Depends on attribute, see 7.4.2	The index within the table of the specified IB attribute to read. This parameter is valid only for IB attributes that are tables.

2791 **G.2.14.2 When generated**

2792 This primitive is generated by the upper layer to read the value of an attribute from the IB.

2793 **G.2.14.3 Effect on receipt**

2794 On receipt of this primitive, the adaptation sublayer attempts to retrieve the selected attribute  
 2795 in the information base. If the attribute is not found, the adaptation layer generates an ADPM-  
 2796 GET.confirm primitive with the status UNSUPPORTED\_ATTRIBUTE. If the attribute is found  
 2797 (and is a table), but the AttributeIndex is out of range, the adaptation layer generates an  
 2798 ADPM-GET.confirm primitive with the status INVALID\_INDEX.

2799 Else, the adaptation sublayer generates an ADPM-GET.confirm primitive with the status  
 2800 SUCCESS, and the value read from the IB in the AttributeValue parameter.

2801 **G.2.15 ADPM-GET.confirm**

2802 **G.2.15.1 Semantics of the service primitive**

2803 This primitive allows the upper layer to be informed of the status of a previously issued  
 2804 ADPM-GET.request primitive.

2805 The semantics of this primitive are as follows:

2806 ADPM-GET.confirm (  
 2807       Status,  
 2808       AttributeId,  
 2809       AttributeIndex,  
 2810       AttributeValue  
 2811     )

2812 **Table G.17 – Parameters of the ADPM-GET.confirm primitive**

Name	Type	Valid Range	Description
Status	Enum	SUCCESS, UNSUPPORTED_ATTRIBUTE or INVALID_INDEX	The status of the reading.
AttributeId	Integer	See 7.4.2	The identifier of the IB attribute read.
AttributeIndex	Integer	Depends on attribute, see 7.4.2	The index within the table of the specified IB attribute read. This parameter is valid only for IB attributes that are tables.
AttributeValue	Various	Attribute specific	The value of the attribute read from the IB.

2813 **G.2.15.2 When generated**

2814 This primitive is generated by the adaptation sublayer in response to an ADPM-GET.request  
 2815 primitive.

2816 **G.2.15.3 Effect on receipt**

2817 On reception of this primitive, the upper layer is informed on the status of its request, and  
2818 eventually gets the desired value.

2819 **G.2.16 ADPM-SET.request**

2820 **G.2.16.1 Semantics of the service primitive**

2821 This primitive allows the upper layer to set the value of an attribute in the information base.

2822 The semantics of this primitive are as follows:

```
2823 ADPM-SET.request (
2824     AttributeId,
2825     AttributeIndex,
2826     AttributeValue
2827 )
```

2828 **Table G.18 – Parameters of the ADPM-SET.request primitive**

Name	Type	Valid Range	Description
AttributeId	Integer	See 7.4.2	The identifier of the IB attribute to write
AttributeIndex	Integer	Depends on attribute, see 7.4.2	The index within the table of the specified IB attribute to write. This parameter is valid only for IB attributes that are tables.
AttributeValue	Various	Depends on attribute	The value to write

2829 **G.2.16.2 When generated**

2830 This primitive is generated by the upper layer to write the value of an attribute in the IB.

2831 **G.2.16.3 Effect on receipt**

2832 On receipt of this primitive, the adaptation sublayer attempts to retrieve the selected attribute  
2833 in the information base. If the attribute is not found, the adaptation layer generates an ADPM-  
2834 SET.confirm primitive with the status UNSUPPORTED\_ATTRIBUTE. If the attribute is found  
2835 (and is a table), but the AttributeIndex is out of range, the adaptation layer generates an  
2836 ADPM-SET.confirm primitive with the status INVALID\_INDEX. If the attribute is found but is  
2837 read only, the adaptation layer generates an ADPM-SET.confirm primitive with the status  
2838 READ\_ONLY. If the attribute is found, can be written, but the AttributeValue is out of range,  
2839 the adaptation layer generates an ADPM-SET.confirm primitive with the status  
2840 INVALID\_PARAMETER. Else, the adaptation layer generates an ADPM-SET.confirm primitive  
2841 with the status SUCCESS.

2842 **G.2.17 ADPM-SET.confirm**

2843 **G.2.17.1 Semantics of the service primitive**

2844 This primitive allows the upper layer to be informed about a previous ADPM-SET.request  
2845 primitive.

2846 The semantics of this primitive are as follows:

```
2847 ADPM-SET.confirm (
2848     Status,
2849     AttributeId,
2850     AttributeIndex
```







Name	Type	Valid Range	Description
		ROUTE_ERROR	

2903 **G.2.20.2 When generated**

2904 This primitive is generated by the adaptation layer on completion of a route discovery as  
2905 described in 7.4.4.2.3, and in draft-daniel-6lowpan-load-adhoc-routing-03.

2906 **G.2.20.3 Effect on receipt**

2907 On reception of this primitive, the upper layer is informed on the completion of the route  
2908 discovery. If the Status value is SUCCESS, the routing table has been correctly updated with  
2909 a brand new route to the desired destination, and the device may begin sending frames to  
2910 that destination.

2911 **G.2.21 ADPM-PATH-DISCOVERY.request**

2912 **G.2.21.1 Semantics of the service primitive**

2913 This primitive allows the upper layer to initiate a path discovery.

2914 The semantics of this primitive are as follows:

```
2915 ADPM-PATH-DISCOVERY.request (
2916     DstAddr
2917 )
```

2918 **Table G.23 – Parameters of the ADPM-PATH-DISCOVERY.request primitive**

Name	Type	Valid Range	Description
DstAddr	short address	0 – 1 199	The short unicast destination address of the path discovery.

2919 **G.2.21.2 When generated**

2920 This primitive is generated by the upper layer of a device to obtain the path to another device.

2921 **G.2.21.3 Effect on receipt**

2922 An ADPM-PATH-DISCOVERY.confirm with the status INVALID\_REQUEST is generated if the  
2923 DstAddr is not in the routing table or after the failure of the procedure.

2924 On receipt of this primitive, the device will initiate a path discovery procedure as described in  
2925 7.4.4.2.4.

2926 **G.2.22 ADPM-PATH-DISCOVERY.confirm**

2927 **G.2.22.1 Semantics of the service primitive**

2928 This primitive allows the upper layer to be informed of the completion of a path discovery.

2929 The semantics of this primitive are as follows:

```
2930 ADPM-ROUTE-DISCOVERY.request (
2931     DstAddr,
2932     NSDU
2933 )
```

2934 **Table G.24 – Parameters of the ADPM-PATH-DISCOVERY.confirm primitive**

Name	Type	Valid Range	Description
DstAddr	Short address	0 – 1 199	The Short unicast destination address of the path discovery.
Nsduld	integer	N.C	The buffer containing addresses of nodes constituting the path.

2935 **G.2.22.2 When generated**

2936 This primitive is generated by the adaptation layer on completion of a path discovery as  
2937 described in clause 5.4.4 of the present document.

2938 **G.2.22.3 Effect on receipt**

2939 On reception of this primitive, the upper layer is informed on the completion of the path  
2940 discovery.

2941 **G.2.23 ADPM-LBP.request**

2942 **G.2.23.1 Semantics of the service primitive**

2943 This primitive allows the upper layer of client to send the LBP message to server modem .

2944 The semantics of this primitive are as follows:

2945 ADPM-LBP.request (

2946                   DstAddrType,

2947                   DstAddr,

2948                   Nsdulength,

2949                   Nsdul,

2950                   NsdulType,

2951                   MaxHops,

2952                   DiscoveryRoute,

2953                   QualityOfService,

2954                   SecurityEnable

2955                   )

2956 **Table G.25 – Parameters of the ADPM-LBP.request primitive**

Name	Type	Valid Range	Description
DstAddrType	Integer	0x01 – 0x02	The type of destination address contained in the DstAddr parameter. The allowed values are: 0x01 = 2 Bytes address (LBA address) 0x02 = 8 Bytes address (LBD address)
DstAddr	Set of octets	-	16 bits address of LBA or 64 bits (extended address of LBD)
Nsdulength	Integer	0 – 1 280	The size of the NSDU, in bytes
Nsdul	Set of octets	-	The NSDU to send
NsdulHandle	Integer	0x00 – 0xFF	The handle of the NSDU to transmit. This parameter is used to identify in the ADPM-LBP.confirm primitive which request is concerned. It can be randomly chosen by the application layer.
NsdulType	Integer	0x00 – 0x03	The type of data contained in the NSDU. 0x00 = any data

Name	Type	Valid Range	Description
			0x01 = UDP 0x02 = ICMP 0x03 = TCP
MaxHops	Integer	0x00 – 0x07	The number of times the frame will be repeated by network routers.
DiscoveryRoute	Boolean	TRUE – FALSE	If TRUE, a route discovery procedure will be performed prior to sending the frame if a route to the destination is not available in the routing table.  If FALSE, no route discovery is performed.
QualityOfService	Integer	0x00 – 0x01	The required quality of service (QoS) of the frame to send. Allowed values are:  0x00 = standard priority 0x01 = high priority
SecurityEnabled	Boolean	TRUE – FALSE	If TRUE, this parameter enables the ADP layer security for processing the frame.

2957 **G.2.23.2 When generated**

2958 This primitive is generated by the client LBPServer to perform the authentication, re-keying  
2959 and leave procedure.

2960 **G.2.23.3 Effect on receipt**

2961 On reception of this primitive, the modem sends the coming frame to the destination.

2962 **G.2.24 ADPM-LBP.confirm**

2963 **G.2.24.1 Semantics of the service primitive**

2964 This primitive reports the result of a previous ADPM-LBP.request primitive.

2965 The semantics of this primitive are as follows:

```

2966 ADPM-LBP.confirm (
2967     Status,
2968     NsduHandle,
2969 )
    
```

2970 **Table G.26 – Parameters of the ADPM-LBP.confirm primitive**

Name	Type	Valid Range	Description
Status	Enum	SUCCESS, INVALID_REQUEST, NO_KEY, BAD_CCM_OUTPUT, ROUTE_ERROR, BT_TABLE_FULL, FRAME_NOT_BUFFERED or any status values returned from security suite or the MCPS-DATA.confirm primitive	The status code of a previous ADPM-LBP.request identified by its NsduHandle.

Name	Type	Valid Range	Description
NsduHandle	Integer	0x00 – 0xFF	The handle of the NSDU confirmed by this primitive.

2971 **G.2.24.2 When generated**

2972 This primitive is generated in response to a ADPM-LBP.request primitive, the Status  
2973 parameter indicating if the request succeeded, or the reason of failure.

2974 **G.2.24.3 Effect on receipt**

2975 On reception of this primitive, the upper layer is notified of the status of a previous ADPM-  
2976 LBP.request primitive.

2977 **G.2.25 ADPM-LBP.indication**

2978 **G.2.25.1 Semantics of the service primitive**

2979 This primitive is used to transfer received LBP frame from the ADP layer to the upper layer.

2980 The semantics of this primitive are as follows:

2981 ADPM-LBP.request (

2982                   DstAddr,

2983                   SrcAddr,

2984                   NsduLength,

2985                   Nsdu,

2986                   NsduType,

2987                   LinkQualityIndicator,

2988                   SecurityEnabled

2989                   )

2990 **Table G.27 – Parameters of the ADPM-LBP.indication primitive**

Name	Type	Valid Range	Description
DstAddr	Integer	0x0000 – 0xFFFF	16 bits final destination address
SrcAddr	Integer	0x0000 – 0xFFFF	16 bits original source address
NsduLength	Integer	0 – 1 280	The size of the NSDU, in bytes
Nsdu	Set of octets	-	The NSDU to send
NsduType	Integer	0x00 – 0x03	The type of data contained in the NSDU. 0x00 = any data 0x01 = UDP 0x02 = ICMP 0x03 = TCP
LinkQualityIndicator	Integer	0x00 – 0xFF	The value of the link quality during reception of the frame
SecurityEnabled	Boolean	TRUE – FALSE	If TRUE, this parameter enables the adaptation sublayer security for processing the frame.

2991 **G.2.25.2 When generated**

2992 This primitive is generated by the ADP layer of client modem when a valid LBP frame whose  
2993 final destination is the current station has been received.

2994 **G.2.25.3 Effect on receipt**

2995 On generation of this primitive, the upper layer is notified of the arrival of a LBP frame.

2996 **G.2.26 ADPM-BUFFER.indication**

2997 **G.2.26.1 Semantics of the service primitive**

2998 This primitive allows the next higher layer to be notified when the modem reach his limit  
2999 capability to perform coming frame.

3000 The semantics of this primitive are as follows:

3001 ADPM-BUFFER.indication (

3002                                    BufferReady

3003                                    )

3004 **Table G.28 – Parameters of the ADPM-BUFFER.indication primitive**

Name	Type	Valid Range	Description
BufferReady	Boolean	TRUE – FALSE	TRUE : modem is ready to receipt more data frame FALSE : modem is not ready, stop sending data frame

3005 **G.2.26.2 When generated**

3006 This primitive is generated when the adaptation layer of a modem has reached his limit to  
3007 perform more Data frame.

3008 **G.2.26.3 Effect on receipt**

3009 On reception, the upper layer should stop the data flow if BufferReady is equal to FALSE and  
3010 open it if BufferReady is TRUE.

3011 **G.3 Behavior to MAC Indications**

3012 **G.3.1 Overview**

3013 This clause describes the behaviour of the adaptation layer in response to an unsolicited  
3014 indication from the MAC layer.

3015 **G.3.2 MCPS-DATA.indication**

3016 On reception of this indication, the adaptation layer must execute the routing algorithm as  
3017 described in 7.4.4.

3018 **G.3.3 MLME-ASSOCIATE.indication**

3019 Nothing must be done upon reception of this primitive by the adaptation layer.

3020 **G.3.4 MLME-DISASSOCIATE.indication**

3021 Nothing must be done upon reception of this primitive by the adaptation layer.

3022 **G.3.5 MLME-BEACON-NOTIFY.indication**

3023 When a MLME-BEACON-NOTIFY.indication is received, and if an ADPM-  
3024 DISCOVERY.request is currently operating, the adaptation layer must add the PANId to the  
3025 PANDescriptorList which will be forwarded to the upper layer in the ADPM-  
3026 DISCOVERY.confirm primitive.

3027 **G.3.6 MLME-GTS.indication**

3028 Nothing must be done upon reception of this primitive by the adaptation layer.

3029 **G.3.7 MLME-ORPHAN.indication**

3030 Nothing must be done upon reception of this primitive by the adaptation layer.

3031 **G.3.8 MLME-COMM-STATUS.indication**

3032 On reception of this primitive, the adaptation layer must generate an ADPM-NETWORK-  
3033 STATUS.indication primitive, with the Status parameter equal to that of the MLME-COMM-  
3034 STATUS.indication primitive, and the AdditionalInformation parameter equal to the  
3035 concatenation of the SrcAddr and DstAddr, separated by a “:”.

3036 **G.3.9 MLME-SYNC-LOSS.indication**

3037 Nothing must be done upon reception of this primitive by the adaptation layer.

**Annex H  
(normative)**

3038  
3039  
3040  
3041

**Device Starting Sequence of messages**

3042 Each device should start on Not\_Device\_Server status and then the following procedure is  
3043 performed:

- 3044 a) Reset the equipment by sending the ADPM-RESET.request;  
3045 b) Set the type of the device to switch it on Device or Server mode and optionally set the PIB  
3046 parameters to configure it;  
3047     – If the equipment is a device it should perform:  
3048 c) Discovery procedure by invoking the ADPM-NETWORK-DISCOVERY.request;  
3049 d) If there is a device or a server in its pose, it must then invoke the ADPM-NETWORK-  
3050 JOINNING.request to perform the bootstrapping procedure;  
3051     – Else (the equipment is a server) it should perform:  
3052 e) Discovery procedure by invoking the ADPM-NETWORK-DISCOVERY.request.  
3053 f) If there is a device in the server's pose, it should invoke the ADPM-NETWORK-START;  
3054 else it switches to joining status.  
3055 Equipment can't send or receive data or load packet unless it is joined.